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# Bayesian Statistical Mechanics: Entropy-Enthalpy Compensation and Universal Equation of State at the Tip of Pen

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This work has shown the way to put the formal statistical-mechanical basement under the hotly debated notion of enthalpy-entropy compensation. The possibility of writing down the universal equation of state based upon the statistical mechanics is discussed here.

**Keywords:** entropy, enthalpy, compensation, equation of state, thermodynamics

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## INTRODUCTION

The present communication is based upon the work of an outstanding Viennese-born USA-American physical chemist, Dr. Georg(e) Augustus Linhart (1885–1951) [1–11] and aims at demonstrating its fundamental significance, in an attempt to bridge the gap between Linhart's approach and those introduced and followed by other colleagues. In fact, this report is the sequel to our most recent publications on this theme [12–15], and our work is still going on, but we would greatly appreciate presenting some preliminary results herewith.

We realize that submitting such a manuscript to a modern physical journal ought to be running a gauntlet—both for the authorship and for the readership. The present author would greatly appreciate to revitalize a number of well-forgotten ideas expressed long time ago by “widely unknown” colleagues, and this warrants the novelty of the communication at hand.

Specifically, Dr. Linhart could have managed to formally infer the “notoriously magic” Boltzmann-Planck logarithmic formula connecting the entropy notion with some Probability. Linhart's idea was to employ the Bayesian approach to clarify the physical sense of the latter, and he successfully solved the problem by demonstrating that the “Probability” is in effect nothing else than just a handy algebraic function of the absolute temperature, and it is this way that it could have become possible to start building up the physically sound statistical mechanics. To our regret, Dr. Linhart had no chance to complete his seminal work, and this communication ought to represent one of the steps in our already active project aiming at the revitalization of his legacy.

In analyzing Dr. Linhart's published and unpublished work, we have encountered a totally fresh, unconventional and, howbeit, fully professional line of sight as concerns thermodynamics. Further, in thoroughly digging the available literature we have encountered works by a non-negligible number of colleagues, who were consistently following strikingly similar trains of thoughts. This has clearly suggested that trying to combine the details of the latter ones might definitely be throughout fruitful. Hence, by choosing the word “unconventional” here we would greatly appreciate attracting the attention of the young professional readership in the first place.

## RESULTS AND DISCUSSION

Dr. Linhart could not only manage to formally prove the ingenious Boltzmann-Planck guess that entropy is proportional to the natural logarithm of some fancy probability. He could also clarify the physical sense of the latter in demonstrating that it ought to be a handy algebraic function of the absolute temperature [1–3, 6].

In our works [12, 15] we have shown that Linhart's representation of the heat capacity at constant volumes,  $C_V$ , as an algebraic function of the absolute temperature might not only lead to the formal inference of the Boltzmann-Planck formula, but also to revealing the actual physical sense of the fancy probability variable under the natural logarithm's sign. It also occurs to be possible to introduce the "Bayesian Statistical Mechanics" upon such a ground, which is not in contradiction with the conventional one, but definitely extending the applicability scope of the latter.

Here we would greatly appreciate presenting some further formal proof of the above statement.

### Entropy-Enthalpy Compensation at the Tip of Pen

In the works [12, 15] we could have derived handy mathematical expressions for the entropy, internal energy—and therefore for the Helmholtz free energy—as functions of the absolute temperature and demonstrated that the actual statistical properties of the systems under consideration ought to obey the Beta probability distribution. This way it is throughout possible to treat mathematically formally and rigorously the ubiquitous fuzzy aspects of the problem under study.

Meanwhile, the next important theoretical problem is to how might we treat further important thermodynamic functions, namely, the enthalpy—and therefore the Gibbs free energy?

It is well known [16, 17] that enthalpy is connected with the heat capacity at constant pressures,  $C_p$ , which might well be approximated by  $C_V$ , but solely at low and intermediate temperatures, whereas at higher temperatures there are always discrepancies between the both. The actual reason for such a behavior has been thoroughly studied both empirically and computationally, and the point is that  $C_V$  gets anyway saturated at approximately Dulong-Petit level, whereas  $C_p$  is still noticeably temperature-dependent (see e.g., [1–3, 6, 18, 19] and the references therein).

This urges us to look in for the physical sense of the enthalpy notion in much more detail.

The interconnections between the  $C_V$  and  $C_p$  are well known as well (cf. e.g., [20]). Specifically, to work with an intensive property, we first define the specific heat capacity

$$c = \frac{C}{m} \equiv \frac{C}{\rho V} \Rightarrow c_p = \left( \frac{\partial C}{\partial m} \right)_p, \quad c_v = \left( \frac{\partial C}{\partial m} \right)_v. \quad (1)$$

Here  $C$  stands for the heat capacity of some physical-chemical system of interest, being built-up by a definite material;  $m$  is the mass of this system, whereas  $\rho$  and  $V$  are the corresponding

density and volume, respectively. With this in mind, we might speak of the following basic relationship, first of all:

$$\begin{aligned} c_p - c_v &= \frac{\alpha^2 T}{\rho \beta_T}; \\ \alpha &= \frac{1}{V} \left( \frac{\partial V}{\partial T} \right)_p \\ \beta_T &= -\frac{1}{V} \left( \frac{\partial V}{\partial p} \right)_T. \end{aligned} \quad (2)$$

Here  $\alpha$  stands for the thermal expansion/compression coefficient, whereas  $\beta_T$  is isothermal compressibility,  $p$ —pressure,  $T$ —absolute temperature. Moreover, there is ratio  $c_p/c_v$  as well

$$\begin{aligned} \frac{c_p}{c_v} &= \frac{\beta_T}{\beta_S}; \\ \beta_S &= -\frac{1}{V} \left( \frac{\partial V}{\partial p} \right)_S. \end{aligned} \quad (3)$$

Here  $\beta_S$  stands for the adiabatic/isentropic compressibility,  $S$  is the entropy.

Further, in general terms, the enthalpy change ought to be expressed as follows:

$$dH = C_p dT + V(1 - \alpha T) dp. \quad (4)$$

Taking into account (Equations 1–3), we get:

$$dH = \frac{\beta_T}{\beta_S} c_v dT + \rho^{-1} dp - \left( \frac{(\beta_T)^2}{\beta_S} - \beta_T \right) c_v dp. \quad (5)$$

Of considerable interest would be evaluating how enthalpy is dependent on the absolute temperature. With this in mind, first we would like to neglect the pressure dependence of enthalpy, in considering the case of the ideal gas. Otherwise, to avoid working with basically ideal systems, we might wish to consider some realistic isobaric situation. Then,  $dp = 0$ , implying that in our considerations we might control from outside the pressure imposed on our system, and wish now to ensure that the pressure imposed on our system is constant. This way, we might recast Equation (5) as follows:

$$H = \int \frac{\beta_T}{\beta_S} c_v dT. \quad (6)$$

As we do know from Linhart's work what the temperature dependence of  $c_v$  should be, of our primary interest is to correctly assign the temperature dependences of both the isothermal and the adiabatic compressibility.

In the literature we might fetch an extended row of papers dealing with this topic thoroughly and in detail (cf. e.g., [21–42] and the references therein). After taking into account this entire information, we dare to conclude that whereas the adiabatic compressibility might largely be considered a linear function of temperature, the isothermal compressibility is dependent on temperature in a much more non-trivial way, namely:

$$\begin{aligned} \beta_T &\propto a_1 T + a_2 (T)^{-\frac{1}{2}} + \frac{a_3}{T}; \\ \beta_T &\propto \exp[c_1 + \frac{c_2}{T} + c_3 \ln T]. \end{aligned} \quad (7)$$

In these relationships, the temperature is in Kelvin, whereas isothermal compressibility is in  $(J \cdot cm^{-3})^{-1}$ .

The work [26] shows that the both relationships given by Equation (7) properly guarantee satisfactory fitting the relevant experimental results, while using the exponential expression leads to noticeably better results than employing the algebraic equation. On the other hand, to our mind, the exponential functional dependence is much more difficult to treat theoretically, while the latter one enables us to evaluate and analyze the anti-derivative of Equation (6) in a straightforward way.

This is why we employ the algebraic formulation in our present work, implying that it delivers a handy functional approximation to the exponential one. That the latter one is possessed of the better numeric properties renders our conclusions a rather reasonable approximation.

With this in mind we shall present here our result, with sending the readership to the mathematical Appendix here for all the necessary technical details.

To sum up, we adopt here the relative absolute temperature like in our work [12],  $x \equiv T/T_{ref}$ , where  $T_{ref}$  is some reference temperature, the physical sense of which we shall clarify. Bearing this in mind; we arrive at the following result for the *isobaric* temperature dependence of enthalpy,  $H_p$  (“ ${}_2F_1$ ” denotes below the conventional Gaussian hypergeometric function  ${}_2F_1(a, b; c, z)$ ):

$$\begin{aligned} H_p(x) &= \int \left( a_1 + \frac{a_2}{x\sqrt{x}} + \frac{a_3}{x^2} \right) \cdot \frac{x^K dx}{(1+x^K)} \\ &\equiv a_1 \cdot \frac{x^{K+1}}{K+1} \cdot {}_2F_1 \left( \left[ 1, \frac{K+1}{K} \right], \left[ \frac{2K+1}{K} \right], -x^K \right) + \\ &\quad a_2 \cdot \frac{x^{K-\frac{1}{2}}}{(K-\frac{1}{2})} \cdot {}_2F_1 \left( \left[ 1, \frac{2K-1}{2K} \right], \left[ \frac{4K-1}{2K} \right], -x^K \right) + \\ &\quad a_3 \cdot \frac{x^{K-1}}{K-1} \cdot {}_2F_1 \left( \left[ 1, \frac{K-1}{K} \right], \left[ \frac{2K-1}{K} \right], -x^K \right). \end{aligned} \quad (8)$$

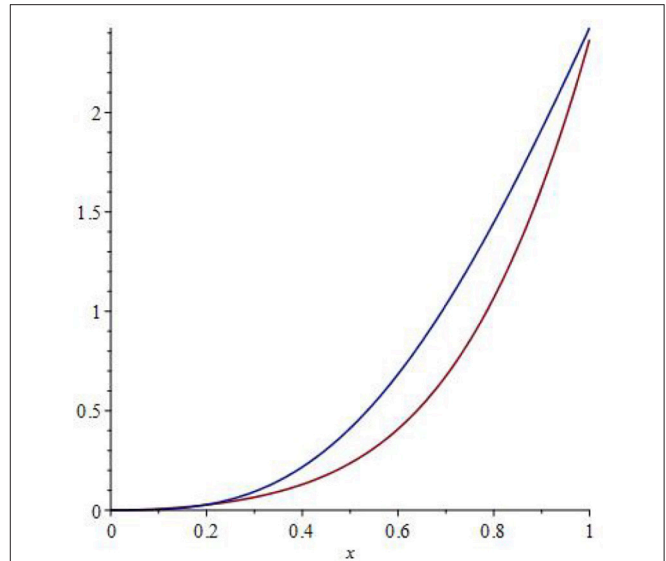
Analyzing Equation (8) enables to recast it in the following approximate form (see the Appendix in Supplementary Material for the technical details):

$$H_p(x) \equiv \ln(1+x^K) \cdot \left( \frac{a_1 x(1+x^K)}{K+1} + \frac{a_2}{(K-\frac{1}{2})\sqrt{x}} + \frac{a_3(1+x^K)}{x(K-1)} \right). \quad (9)$$

One striking feature might be of immediate interest, namely the similarity of the functional expressions of the enthalpy and entropy’s temperature dependencies. Indeed, Linhart’s result for the entropy’s temperature dependence dictates [1–3, 6, 12] that

$$S(x) = \frac{C_\infty}{K} \ln(1+x^K). \quad (10)$$

Here  $C_\infty$  stands for the limiting heat capacity ( $C_\infty \cong 3N_A k_B \equiv 3R$ , where  $N_A$  is the Avogadro number,  $k_B$ —the Boltzmann’s constant and  $R$ —the universal gas constant) [1–12]. **Figure 1** depicts typical behavior of entropy and enthalpy vs. temperature,



**FIGURE 1** | The graphs of Equations 9 (magenta) and 10 (blue) with some plausible parameters.

and one can immediately recognize the convergent behavior of the both up to the value of  $x = 1$ , after which the graphs diverge.

Hence, it is throughout possible to interpret this as an enthalpy-entropy compensation (EEC), whereas  $x = 1$ , that is,  $T = T_{ref}$  defines the so-called “enthalpy-entropy compensation temperature”  $T_c$ , that is,  $T_{ref} \equiv T_c$  (see e.g., [43–52] and the references therein).

Noteworthy, the approximate considerations here (cf. **Figure 1**) support our conclusion that the generic functional form of the EEC ought to be rather non-linear, although linear function still appears to be a rather good approximation for the EEC anyway (for details, see [44, 45] and the references therein).

Howbeit, in the work [12] we discussed a possibility of interpreting the temperature values  $T_{ref}$  as points of some “hidden zero-order phase transition.” Such a conclusion is firmly supported by finding a discontinuity at  $x = 1$  in the temperature dependencies of the internal energy  $U(T)$  and, accordingly, in the Helmholtz free energy  $F(T)$ , without revealing it in the temperature dependencies of both  $C_V(T)$  and  $S(T)$ .

Interestingly in this respect, according to our isobaric analysis above, the temperature dependence of the Gibbs’ free energy  $G(T)$  does not exhibit any discontinuities for the whole range of  $x$  values from zero to unity:

$$\begin{aligned} G(p, T) \text{ [it should be } G(T) \text{, in our isobaric case]} &= H(T) \\ &- TS(T) \Rightarrow G(T) \\ &= \left( \frac{a_1 x(1+x^K)}{K+1} + \frac{a_2}{(K-\frac{1}{2})\sqrt{x}} + \frac{a_3(1+x^K)}{x(K-1)} - \frac{x \cdot C_\infty T_{ref}}{K} \right) \\ &\quad \cdot \ln(1+x^K). \end{aligned} \quad (11)$$

Noteworthy, this is a very interesting result, for our earlier elementary thermodynamic consideration of the EEC

phenomenon reveals a definite interrelationship between the Helmholtz' and Gibbs' free energies [51].

Meanwhile, the both free energy types are possessed of quite different mathematical properties, and therefore ought to reveal two quite different sides of one and the same phenomenon.

Indeed, the first idea coming to mind would be that although the enthalpy notion does contain the internal energy notion, adding the  $pV$  product to the latter ought to remove the discontinuity in the  $U$  as a function of  $T$ . This means that the absolute temperature dependence of the  $pV$  product does somehow compensate the discontinuity in the  $U(T)$ . In more mathematical and physical detail we would like to analyze and discuss this point in the mathematical Appendix in Supplementary Material.

This train of thoughts brings us immediately to the old and good poser of writing the equation of state, as here we have just arrived at the fundamental problem of properly describing correlations among volume/density, pressure and temperature.

## Universal Equation of State

After reading the above headline our readership might immediately exclaim: Stop, there is but still no universal equation of state, see any serious handbook of thermodynamics (e.g., [53]).

Indeed, we open this book and read in its Chapter 6 on the Page 280 as follows:

*"If we only had a general relation that perfectly described  $P = P(V, T)$  for all the chemicals in the universe, it could be combined with the tools in this chapter to compute any property required by the energy and entropy balances. At present, no such perfect equation exists. This means that we need to understand what makes it so difficult to develop such an equation and how the various available equations can be applied in various situations to achieve reasonable and continuously improving estimates."*

Without going in for answering the question as to "what makes it so difficult to develop such an equation?"—This exciting topic will be discussed in a separate publication—we just give here the pointer to the ingenious works by Swedish colleagues Dr. Nils Engelbrektsson (1875–1963) and Karl Alexius Franzén (1882–1967), who had answered this poser quite positively and very constructively. Specifically, Dr. Engelbrektsson could have inferred such an equation by analyzing in detail the fundamental principles of thermodynamics, whereas Mr. Franzén could have successfully checked Dr. Engelbrektsson's inferences experimentally and proven their complete validity. Back in 1920 they both have published their seminal results as a separate book in Swedish, but until now nobody had anywhere come to pay attention to this publication. Dr. Engelbrektsson had devoted his whole life in working on the formulation of the basic thermodynamics, being also an interesting publicist as a hobby aside of this. His works might now be fetched in the Swedish National Library, and we would greatly appreciate presenting here his full publication list [54–68].

May the above be considered an answer to our poser in such a case? In our opinion, not to 100%, for Dr. Engelbrektsson was not studying interrelationships between thermodynamics

and statistical mechanics. Instead, Dr. Linhart could have solely managed to show the way of developing the statistical mechanics in connection with thermodynamics, and thus duly pursuing Prof. Dr. J. W. Gibbs' train of thoughts. This is why; to answer the above poser we would actually need bridging the gap between Linhart and Engelbrektsson's approaches.

Still, our picture would be largely incomplete, if we would not mention here the works by another outstanding theoretical physicist, who was working in Germany: Prof. Dr. Max Bernhard Weinstein (1852–1918). Prof. Dr. Weinstein is presently known solely as a harsh censor of A. Einstein's approach to the relativity theory, whereas in fact, he was one of the serious and productive multitalented workers in the fields of theoretical metrology, physics and natural philosophy. Here we would like to present the full list of his works (the publications in the periodic media as well as monographs, including both his original works and German translations of the classical physical treatises) [69–101]. As it is apparent from his publication list, among other important problems, Prof. Dr. Weinstein was productively working on the formulation of the equation of state. These works by him have been noticed and taken into detailed consideration by Dr. Engelbrektsson.

Apart from the above, of immense interest for us here ought to be Prof. Dr. Weinstein's immense and productive efforts in the fields of thermodynamics and statistical mechanics' foundations. Specifically, to be mentioned are Prof. Weinstein's criticism of the then vogue in connection with the so-called "Third Basic Law of Thermodynamics" and the reaction to it from the scientific community [102, 103], as well as Prof. Weinstein's grounded, constructive criticism of Ludwig Boltzmann's approach to the statistical thermodynamics [104] (noteworthy, Prof. Weinstein has published this work under the pseudonym of "Mr. F. Cohen"), as well as the immediate reaction to this publication by Max Planck (revealing but not much more than his outrage and voluntarism in this particular case) [105].

Meanwhile, as we know now, Dr. Linhart could mathematically formally infer the famous, but mostly guessed Boltzmann-Planck formula  $S = k_B \cdot \ln W$ . He had demonstrated that revealing the important details of the function  $S \equiv S(T)$ , where  $T$  is the absolute temperature, leads to the conclusion that  $S(0) = 0$ . As it is throughout possible to infer the latter fact in the mathematically rigorous way, it immediately loses its truly fabulous context of some Basic and Fundamental Natural Law... This interesting theme will be discussed elsewhere in detail.

## CONCLUSIONS AND FUTURE PROSPECTS

To sum up, here we could show that the Bayesian Statistical Mechanics initiated by Dr. Linhart allows us to put the rational statistical-mechanical basement under the phenomenon of the valid enthalpy-entropy compensation hotly debated till nowadays. Recently, we have carried out a detailed overview and thoroughly discussed the possible implications of the valid enthalpy-entropy compensation at the molecular level [106].

Following this direction, it becomes in principle possible to approach the problem of statistically-mechanically deriving the universal equation of state, and we have demonstrated here, how this might be accomplished for a particular case of an isobaric system, where the externally applied pressure might be reliably controlled. Meanwhile, Dr. Engelbrektsson had already inferred the truly universal equation of state, whereas Mr. Franzén could successfully check its validity in the pertinent experiments. Still, Dr. Engelbrektsson was employing a unique thermodynamic approach, without any application to statistical mechanics. Prof. Dr. Weinstein has approached solving the latter problem, but all the mentioned colleagues had no more lifetimes to accomplish their seminal task.

Therefore, we might conclude that ultimately writing down the universal equation of state based upon the statistical mechanics ought to be throughout possible, if we would manage to properly synthesize the approaches by G. A. Linhart, N. Engelbrektsson and M. B. Weinstein. Writing down such a form of the universal equation of state would definitely open us the way to pertinent and handy mechanistic analyses of the whole wealth of interesting and important physical-chemical-biological events at the molecular level.

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## AUTHOR CONTRIBUTIONS

ES is the sole author of this work and confirms that he meets the following authorship criteria.

- Substantial contributions to the conception or design of the work; or the acquisition, analysis, or interpretation of data for the work;
- Drafting the work or revising it critically for important intellectual content;
- Final approval of the version to be published; and
- Agreement to be accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved.

## SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fphy.2018.00002/full#supplementary-material>

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