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Abstract: Gear-shifting mechanism has a key role in transmission system of a vehicle. During gear shifting, there is a risk of losing the engine optimal speed that will ultimately lead to more emission from the vehicle. It is demanded for optimal performance of transmission systems to increase quality of synchronizer used for gear shifting. Especially in the case of heavy vehicles, the synchronizer performance needs to be robust more even during different operating scenarios. Synchronization process varies by changing its parameters values. So one of the ways to improve performance of the synchronizer is to optimizing its parameters. In the paper, a generic synchronizer mechanism (GSM) is considered. Mathematical model of GSM is presented based on constrained Lagrangian formalism (CLF) and detailed kinematics of synchronization process in transmission system. Speed difference at the end of the main synchronization phase and synchronization time are chosen as two objectives. The following eight parameters of the synchronizer have taken as input parameters: cone angle, cone coefficient of friction, cone radius, rate of shift force, blocker angle, blocker coefficient of friction, gear moment of inertia, and ring moment of inertia. Influence of the parameters on objectives is studied. The values of the objective functions decrease with increasing some of the parameters and increase with increasing others. Not only the...
objective functions have opposite behavior between the parameters but also have opposite behavior with variation of the same parameters. For example, the synchronization time decreases but the speed difference increases with increasing cone coefficient of friction. The Matlab routine of multi-objective optimization is applied to obtain the optimized parameter values of the generic synchronizer at different operating conditions. In the first case, the sleeve is considered as a master, in the second case the gear is considered as master, and in the third case both sleeve and gear are considered as slaves. In each case, three different operating conditions are studied which are nominal, transmission vibrations, and road grade. The obtained results of biobjective optimization (Pareto fronts, Pareto sets, and corresponding performance diagrams) are analyzed and the most influencing synchronizer parameters have been identified.

Subjects: Dynamics and Kinematics; General Systems; Automotive Technology and Engineering

Keywords: generic synchronizer; quickness; smoothness; Pareto optimization; road grade; vibrations

1. Introduction

The synchronizer is a mechanism that is commonly used in heavy vehicles' transmissions to ensure quick and smooth gear shifting. New engine designs developed to meet demands for higher power output and reduced fuel consumptions require more frequent gear shifting under higher loads. To this end, enhancing the performance of the synchronizer mechanism is crucial and is, therefore, receiving increasing attention in the engineering community as well as academia (Abel, Schreiber, & Schindler, 2006; Berbyuk, 2015; Gong, Zhang, Chen, & Wang, 2008; Gustavsson, 2009; Häggström, Sellgren, Stenström, & Björklund, 2015; Häggström, 2016; Häggström & Nordlander, 2011; Häggström, Nyman, Sellgren, & Björklund, 2016; Hoshino, 1999; Irfan, Berbyuk and Johansson, 2015a, 2015b; Irfan, Berbyuk, & Johansson, 2016; Kelly & Kent, 2000; Liu & Tseng, 2007; Lovas, 2004; Razzacki & Hottenstein, 2007; Sahlinh & Johansson, 2010; Sandooja, 2012; Sharma & Salva, 2012; Stenvall, 2010; Yuming, 2011).

Lovas (2004), for instance, highlighted the importance of synchronizer behavior by pointing out the problems of stick-slip, double bump, etc., incorporated mathematical models in a numerical simulation software, confronted simulation results with test bench results, and gave some suggestion to improve the synchronizer performance. Abel et al. (2006) addressed comfort optimization challenges of the dual-clutch transmission system and illustrated modeling solutions. Gong et al. (2008) analyzed synchronization theoretically and with shift robot. Gustavsson (2009) focused on control design to obtain a smooth movement of the synchronizer sleeve. A simulation technique developed to clarify abnormality of the shift force in Hoshino (1999; Liu & Tseng, 2007). Häggström and Nordlander (2011) developed user-friendly Matlab program for synchronization. (Kelly and Kent (2000) presented a dynamic model to highlight the gear-shifting problems. Razzacki and Hottenstein (2007) established a mathematical relationship between the synchronizer parameters and verified those experimentally. Sandooja (2012) provided simulation of higher level of gear-shifting performance and analytical results. Sharma and Salva (2012) dealt with techniques to reduce the double bump. Yuming (2011) introduced working principle, listed the designing formula and parameters and designing principle. Irfan, Berbyuk and Johansson (2015a, 2015b; Irfan et al., 2016) have developed a mathematical model of the generic synchronizer with five degrees of freedom based on constrained Lagrangian formalism (CLF) and studied sensitivity of the synchronization time and speed difference with respect to three synchronizer parameters and optimize the synchronization. The development of two types of numerical models for the synchronization process, namely fluid-structure interaction to simulate the presynchronization phase and
thermomechanical FE models to simulate the main synchronization phase, are presented by Häggström (2016).

However, these studies do not address the design problem of finding the most suitable set of structural parameters to optimize the synchronization process. Main focus of the study is to optimize the synchronization process and highlight the most influencing parameters of the synchronizer performance. The generic synchronizer presented in Irfan, Berbyuk and Johansson (2015a) has been used for analysis. Performance of synchronization process is measured by noticing the time for complete gear shifting and the speed difference at end of the main synchronization. Sensitivity of the parameters is studied based on two performance measures; synchronization time and speed difference. The mathematical statement of the biobjective Pareto optimization problem is given and Matlab routine of multi-objective optimization is used to obtain Pareto front between the synchronization time and the speed difference. The values of eight parameters from Pareto set analyzed with Pareto front to find out the trends. The most influencing parameters found by looking at the trends of parameters, percentage change of their values within the optimized range, and trends of the objectives with increasing parameters. Three scenarios under three cases are studied. The three scenarios are nominal case, road grade and transmission vibrations. The three cases are sleeve as a master, gear as a master and both sleeve and gear as slaves. The most influencing parameters in each scenario at every case are presented at the end.

2. A generic synchronizer and synchronization
A generic synchronizer introduced in Irfan, Berbyuk and Johansson (2015a) is considered for sensitivity analysis and optimization. The generic synchronizer as shown in Figure 1 consists of three main bodies: engaging sleeve, synchronizer ring, and gearwheel. The sleeve has engaging teeth and a frictional cone, the ring has a frictional cone and blocker and the gearwheel has blocker and engaging teeth. The synchronization process is the process of engaging the sleeve and gearwheel teeth after reducing the speed difference between the engaging bodies. To reduce the speed difference frictional cones slide over each other. A blocker contact is used to stop the axial movement of the sleeve from going further for teeth engagement until the speed difference reduces to a certain level.

A multibody system modeled the generic synchronizer has five degrees of freedom where the sleeve and the ring can rotate and translate but the gearwheel can only rotate. Three cases of synchronization process are considered. In the first two cases, the master rotational speed is prescribed throughout the synchronization process while the slave’s speed is adapted to the master’s. In the third case, both the sleeve and the gearwheel are free to rotate. The sleeve and the ring also move axially during synchronization except during phase 2 (see Figure 2).
Kinematics of a synchronization process is presented schematically in Figure 3. In the synchronization process, the sleeve starts to move axially by applying the shift force and the ring gets its axial indexing position during phases 1a and 1b. Lubricant oil is squeezed out from the cones after the ring gets its angular indexing position during phases 1c–1e. In phase 1f, the shift force overcomes the spring axial resistance. During phase 2, movement of the sleeve is blocked due to blocker contact between the ring and the gearwheel. The speed difference reduces between the cones due to friction torque. The system shifts from phase 2 to phase 3 when the sleeve again moves axially. During phases 3a–3c, the sleeve travels axially, passes the blocker contact, and reaches at engagement of the teeth. The sleeve teeth and the gearwheel teeth come in contact during phase 4 until end of the synchronization process as shown in Figure 3.

The vector of generalized coordinates of the system is

$$q = [x_s, \theta_s, x_r, \theta_r, \theta_g]^T$$

Here, $\theta_s$, $\theta_r$, and $\theta_g$ are angular coordinates of sleeve, ring, and gearwheel, respectively; $x_s$ and $x_r$ are translational coordinates of the sleeve and ring, respectively. Due to the design of synchronizer, motion of the system is restricted by a set of holonomic kinematic constraints $\Phi(q, t) = 0$. By introducing $\lambda$ as the Lagrange multiplier vector (same dimension as $\Phi$), motion of the system is described by a set of differential-algebraic equations (DAE)

$$\left\{ \frac{d}{dt} \left( \begin{array}{c} \dot{q} \\ \dot{\lambda} \end{array} \right) \right\} - \frac{\partial L}{\partial q} + \frac{\partial L}{\partial \dot{q}} \dot{q} = Q^A - \frac{\partial \Phi}{\partial q} \lambda = 0$$

where $L(q, \dot{q})$ is the Lagrangian of the system and $Q^A$ is a vector of generalized forces due to non-conservative applied loads. Vector of non-conservative forces $Q^A$ is further divided into $Q^A = Q^A_{\text{app}} + Q^A_{\text{fric}}$, where $Q^A_{\text{fric}}$ are generalized forces arising due to friction between bodies and other losses and $Q^A_{\text{app}}$ are generalized forces due to applied forces arising from external stimuli. For the generic synchronizer under consideration, the DAE is written as follows:

$$\begin{bmatrix} m_s & 0 & 0 & 0 & 0 \\ 0 & I_s & 0 & 0 & 0 \\ 0 & 0 & m_r & 0 & 0 \\ 0 & 0 & 0 & I_r & 0 \\ 0 & 0 & 0 & 0 & I_g \end{bmatrix} \begin{bmatrix} \ddot{x}_s \\ \ddot{\theta}_s \\ \ddot{x}_r \\ \ddot{\theta}_r \\ \ddot{\theta}_g \end{bmatrix} + \begin{bmatrix} \partial L / \partial q \end{bmatrix} \dot{q} - \begin{bmatrix} F_s \spa_{\text{fric}} \\ M_s \spa_{\text{fric}} \\ F_r \spa_{\text{fric}} \\ M_r \spa_{\text{fric}} \\ N_g \spa_{\text{fric}} \end{bmatrix} \lambda = \begin{bmatrix} 0 \\ 0 \\ 0 \\ 0 \\ 0 \end{bmatrix}$$

$$\Phi = \begin{bmatrix} \Phi_{\text{imposed}} \\ \Phi_{\text{internal}} \end{bmatrix} = 0, \quad \Phi(q, t)_{\text{imposed}} = \theta_s - \theta_s^{\text{pres}}$$

Notations and constraints in Eqs. (3) and (4) are presented in Tables 1 and 2.
The synchronizer process is complex to understand because of the nature of interaction of the bodies. To simplify the understanding of the interaction of each part of the synchronization process, it is divided into several sub-phases, as shown in Figure 3. Cut views of each sub-phase in Figure 3.

### Table 1. Internal constraints during sub-phases of the synchronization

<table>
<thead>
<tr>
<th>Phase</th>
<th>Constraint: $\Phi(q, t)^{\text{internal}} = 0$</th>
<th>Phase</th>
<th>Constraint: $\Phi(q, t)^{\text{internal}} = 0$</th>
</tr>
</thead>
<tbody>
<tr>
<td>1a</td>
<td>$[x_i] = 0$</td>
<td>2</td>
<td>$[x_i - B_{ax}, x_i - x_{\text{mix}}, \theta_i - \theta_{ax} - B_{ang}] = 0$</td>
</tr>
<tr>
<td>1b</td>
<td>$[\theta_i - \theta_{ax} - B_{ang}] = 0$</td>
<td>3a</td>
<td>$[x_i - x_{\text{mix}} - d_{ax}, \theta_i - \theta_{ax} - B_{ang} - \frac{d_{ax}}{y_{ax}} \tan \beta = 0$</td>
</tr>
<tr>
<td>1c</td>
<td>$[x_i - x_{\text{mix}} - d_{ax}, \theta_i - \theta_{ax} - B_{ang}] = 0$</td>
<td>3b</td>
<td>$[x_i - x_{\text{mix}} - d_{ax}, \theta_i - \theta_{ax} - B_{ang} - \frac{d_{ax}}{y_{ax}} \tan \beta = 0$</td>
</tr>
<tr>
<td>1d</td>
<td>$[x_i - B_{ax}, x_i - x_{\text{mix}}, \theta_i - \theta_{ax} - B_{ang}] = 0$</td>
<td>3c</td>
<td>$[x_i - x_{\text{mix}} - d_{ax}, \theta_i - \theta_{ax} - B_{ang} - \frac{d_{ax}}{y_{ax}} \tan \beta = 0$</td>
</tr>
<tr>
<td>1e</td>
<td>$[x_i - B_{ax}, x_i - x_{\text{mix}}, \theta_i - \theta_{ax} - B_{ang}] = 0$</td>
<td>4</td>
<td>$[x_i - x_{\text{mix}} - d_{ax}, \theta_i - \theta_{ax} + \theta_{ax} - \theta_{ax} - B_{ang}] = 0$</td>
</tr>
<tr>
<td>1f</td>
<td>$[x_i - B_{ax}, x_i - x_{\text{mix}}, \theta_i - \theta_{ax} - B_{ang}] = 0$</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

### Table 2. Parameters for the synchronization process

<table>
<thead>
<tr>
<th>Variable</th>
<th>Name</th>
<th>Variable</th>
<th>Name</th>
</tr>
</thead>
<tbody>
<tr>
<td>$m_s = 1.5$ kg</td>
<td>Sleeve mass</td>
<td>$m_r = 0.5$ kg</td>
<td>Ring mass</td>
</tr>
<tr>
<td>$I_g = 0.2$ kgm²</td>
<td>Gear moment of inertia</td>
<td>$I_r = 0.01$ kgm²</td>
<td>Sleeve moment of inertia</td>
</tr>
<tr>
<td>$I_g = 0.004$ kgm²</td>
<td>Ring moment of inertia</td>
<td>$r_g = 0.07$ m</td>
<td>Chamfer radius</td>
</tr>
<tr>
<td>$r_g = 0.1$ m</td>
<td>Cones mean radius</td>
<td>$d_{ax} = 1$ mm</td>
<td>Viscous clearance</td>
</tr>
<tr>
<td>$b = 5$ mm</td>
<td>Cones contact length</td>
<td>$d_{ax} = 2$ mm</td>
<td>Cones Initial clearance</td>
</tr>
<tr>
<td>$\beta = 60^\circ$</td>
<td>Chamfer angle</td>
<td>$h_{\text{mix}} = 0.1$ mm</td>
<td>Mixed friction clearance</td>
</tr>
<tr>
<td>$\alpha = 7^\circ$</td>
<td>Cone angle</td>
<td>$B_{ang} = 5^\circ$</td>
<td>Angle clearance</td>
</tr>
<tr>
<td>$C_{r} = 0.003$</td>
<td>Ring oil splash losses</td>
<td>$\mu_f = 0.17$</td>
<td>Cones friction coefficient</td>
</tr>
<tr>
<td>$C_{s} = 5$</td>
<td>Gear oil splash losses</td>
<td>$C_{s} = 1$</td>
<td>Sleeve oil splash losses</td>
</tr>
<tr>
<td>$C_{d} = 0.002$</td>
<td>Ring sliding friction</td>
<td>$C_{d} = 0.02$</td>
<td>Sleeve sliding friction</td>
</tr>
<tr>
<td>$\omega_1 = 1000$ rpm</td>
<td>Sleeve rotational speed</td>
<td>$\omega_2 = 700$ rpm</td>
<td>Initial gear rotational speed</td>
</tr>
<tr>
<td>$x_i$</td>
<td>Sleeve axial speed</td>
<td>$x_i$</td>
<td>Sleeve axial acceleration</td>
</tr>
<tr>
<td>$x_i$</td>
<td>Ring axial speed</td>
<td>$x_i$</td>
<td>Ring axial acceleration</td>
</tr>
<tr>
<td>$\theta_1$</td>
<td>Sleeve rotational speed</td>
<td>$\theta_1$</td>
<td>Sleeve rotational acceleration</td>
</tr>
<tr>
<td>$\theta_1$</td>
<td>Ring rotational speed</td>
<td>$\theta_1$</td>
<td>Ring rotational acceleration</td>
</tr>
<tr>
<td>$r_1^{\text{app}}$</td>
<td>Applied shift force</td>
<td>$\theta_1$</td>
<td>Gear rotational acceleration</td>
</tr>
<tr>
<td>$\phi_1$</td>
<td>Sleeve rotational displ.</td>
<td>$\omega_1^{\text{pres}}$</td>
<td>Sleeve prescribed speed</td>
</tr>
<tr>
<td>$\mu_0 = 0.09$</td>
<td>Blocker friction coefficient</td>
<td>$T_e = \lambda_{\text{mix}} \mu_f \omega_1$</td>
<td>Cone Torque (Lovas, 2004)</td>
</tr>
</tbody>
</table>

$F_{ax} = 16\pi p_k \sin(\alpha) r_i \left( \frac{d}{2} \right)^3$ Axial force at viscous friction (Lovas, 2004) phase 1d and 1e

$M_{ax} = 4\pi p_k r_i^3 \omega_1 \left( 1 - \frac{\omega_1^{\text{pres}}}{\omega_1} \right)$ Torque at viscous friction (Lovas, 2004) phase 1d and 1e
show only those sections of the bodies where the contact changes its nature. Detail description is given in Irfan, Berbyuk and Johansson (2015a). Figure 2 shows an example that how the ring and the gear can have internal contact through blockers, detent, and spring.

3. The generic synchronizer performance

A synchronizer performance is modeled by applying the developed mathematical model and numerical algorithm in Irfan, Berbyuk and Johansson (2015a). All input parameters are considered same as in Irfan, Berbyuk and Johansson (2015a) and are shown in Table 2. The sleeve, ring, and gear move to perform the gear shift by engaging the teeth. The generic synchronizer performance diagram obtained from simulation of the synchronizing process for a down shift gear change is presented in Figure 3.

As follows from Figure 4, the sleeve translates because of applying the shift force. The sleeve keeps its rotational speed constant throughout the synchronization process. Before the fluid friction (phase 1c), rotational speed of the gearwheel decreases and rotational speed of the ring increases. The sleeve does not translate during phases 1f and 2 but speed of the gearwheel increases with increasing shift force. From phase 3, the sleeve moves axially again. Engagement of teeth starts before ending phase 3 which complete during phase 4.

4. Validation

The generic synchronizer depicted in Figure 1 was modified to be able to use available experimental data for model validation. The modified generic synchronizer has cones between the ring and the gear and blocking chamfers are shifted from slave to master side. The kinematics of the synchronizing process of the modified synchronizer is shown in Figure 5.

The shift force is applied on the modified synchronizer to obtain the synchronizer performance. Experimental and simulated results of sleeve axial displacement and gear rotational speed are compared as shown in Figure 6. The results show that synchronizer model developed in Irfan, Berbyuk and Johansson (2015a) is reasonably predicting motion of the synchronizer mechanism.
gearwheel. There is a noticeable gap between the experimental and simulated results and the gap is because of the experimental errors which cannot be adjusted in the simulated model.

5. Sensitivity analysis
One of the main objectives under all circumstances is to shift the gear as quick as possible. But it is also demanded to make the gear shift as smooth as possible.
To this end, two objectives to measure the generic synchronizer performance are defined as follows:

1. Synchronization time, \( t_{\text{synch}} \), as a measure of quickness; time from neutral to full engagement of the teeth.

2. Speed difference, \( \Delta \omega_s \), as a measure of smoothness; the difference between rotational speed of the master and the slave at end of phase 2 from where the sleeve starts to move axially again.

Eight parameters of the generic synchronizer are selected as variable parameters to study their influence on the objectives \( t_{\text{synch}} \) and \( \Delta \omega_s \):

- Rate of applying shift force \( F_{\text{rate}} \)
- Cone angle \( \alpha \)
- Cones coefficient of friction \( \mu_\alpha \)
- Blocker angle \( \beta \)
- Blocker coefficient of friction \( \mu_\beta \)
- Mean cones radius \( r_\alpha \)
- Moment of inertia of the slave \( I_s \) or \( I_g \)
- Moment of inertia of the blocker ring \( I_r \)

The developed model is used to perform sensitivity analysis with eight parameters and two chosen objectives. Synchronization time \( t_{\text{synch}} \) and speed difference \( \Delta \omega_s \) in Figure 7(f-h) have same trends with respect to each other where \( t_{\text{synch}} \) and \( \Delta \omega_s \) increase with increasing gear moment of inertia \( I_g \) and ring moment of inertia \( I_r \) but decrease with increasing cone radius \( r_\alpha \). \( t_{\text{synch}} \) and \( \Delta \omega_s \) have opposite trends in Figure 7(a-e) with respect to each other where \( t_{\text{synch}} \) decreases with increasing cones coefficient of friction \( \mu_\alpha \), rate of force \( F_{\text{rate}} \) and blocker coefficient of friction \( \mu_\beta \) but increases with increasing cone angle \( \alpha \) and blocker angle, \( \beta \) whereas \( \Delta \omega_s \) increases with increasing \( \mu_\alpha \), \( F_{\text{rate}} \) and \( \mu_\beta \) but decreases with increasing \( \alpha \) and \( \beta \). As it
is follows from Figure 7 $t_{\text{synch}}$ and $\Delta \omega_{\text{tot}}$ have conflicting behavior not only within some parameters but also between parameters. A quick synchronizer will be less smooth and a smooth synchronizer will be less quick. A trade-off is obtained between two conflicting objectives in form of Pareto front by applying the optimization technique in the next sections.

In Figure 8, each parameter is analyzed with respect to $t_{\text{synch}}$ to illustrate the degree of influence within the given bounds of parametric variation. Change of $r_{\alpha}$ from 0.07 m to 0.27 m can change $t_{\text{synch}}$ from 0.077 s to 0.07 s while change of $\alpha$ from 4$^\circ$ to 30$^\circ$ can change $t_{\text{synch}}$ from 0.05 s to 0.15 s. It is predicted that $\alpha$ is more influencing parameter than $r_{\alpha}$. In the case where effect of eight parameters on $t_{\text{synch}}$ is analyzed; $r_{\alpha}$, $I_{m}$, and $I_{g}$ are less influencing parameters than $\alpha$, $\mu_{\alpha}$, $\mu_{\beta}$, $\beta$, and $F_{\text{rate}}$. 

Figure 7. Individual sensitivity of synchronization time and speed difference with respect to varying parameters.

Figure 8. Variation of synchronization time with each parameter.
Figure 9 shows an effect of each parameter on $\Delta \omega_{s-g}$. In this local sensitivity analysis $I_r$, $F_{rate}$, $\mu_\alpha$, $I_g$ and $\alpha$ have less influence than $\mu_\beta$, $r_\alpha$ and $\beta$ because the former parameters can bring less change in $\Delta \omega_{s-g}$ than the later parameters.

6. Pareto optimization

Multi-objective optimization of the synchronizer with respect to the two conflicting objectives, $t_{\text{synch}}$ and $\Delta \omega_{s-g}$, is studied. In particular, variations of parameters along the Pareto front is analyzed. To obtain optimal performance of the synchronizer, it is necessary to determine the optimized value of $X$ which gives minimum of the functions $t_{\text{synch}}(X)$ and $\Delta \omega_{s-g}(X)$ at the same time subject to DAEs and constraints. Mathematical statement of the bi-objective optimization problem is formulated as follows:

$$\begin{align*}
\min_X \{ & t_{\text{synch}}(X), \Delta \omega_{s-g}(X) \} \\
& \tan(\alpha) \geq \mu_\alpha \\
& T_C(\alpha, \mu_\alpha, r_\alpha) \geq T_I(\beta, \mu_\beta) \\
& X_L \leq X \leq X_U
\end{align*}$$

where $X = [I_r, I_g, r_\alpha, \mu_\beta, \beta, \alpha, \mu_\alpha, F_{rate}]^T$, $X_L = [0.003, 0.1, 0.1, 0.05, 40, 6, 0.1, 600]^T$, $X_U = [0.01, 0.3, 0.3, 0.25, 60, 15, 0.3, 20000]^T$.

Lower and upper parameters boundaries, $X_L$ and $X_U$, are reasonably chosen for commercial vehicle.

$$T_C = \frac{F_s \mu_\alpha r_\alpha \sin(\alpha)}{\sin(\alpha)}, \quad T_I = r_\beta F_s \left(1 - \frac{\mu_\beta \tan(\beta)}{\mu_\beta + \tan(\beta)}\right)$$

Here $T_C$ is cone torque and $T_I$ is indexing torque (Irfan, Berbyuk and Johansson, 2015a). The constraint $\tan(\alpha) > \mu_\alpha$ stands for wedging condition and $T_C \geq T_I$ must be hold during gear shifting otherwise the synchronizer will produce clashing of the engaging teeth in every gearshift. The stated Pareto optimization problem is solved by using a Matlab routine of multi-objective optimization with genetic algorithm.

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Figure 9. Variation of speed difference with each parameter.
Eight cases are considered as shown in Table 3 to optimize the synchronization process where sleeve/gearwheel can be considered as master/slave under three operating conditions: nominal, available transmission vibration, and road grade.

7. Results of optimization

7.1. Sleeve as a master

In synchronizer mechanism, different settings of master/slave are possible to support working environment of the transmission line in the vehicle. Initially, as a nominal case sleeve is considered as a master.

7.1.1. Nominal case

In nominal case, the synchronization process is studied without introducing the vibrations and road grade in the mathematical model of the synchronizer. Figure 10 shows the trade-off between $t_{\text{synch}}$ and $\Delta \omega_{s-g}$ obtained by solving Pareto optimization. The synchronizer with minimum $t_{\text{synch}}$ can be selected from point 1 but $\Delta \omega_{s-g}$ is maximum at the same point. At point 3 the synchronizer has minimum $\Delta \omega_{s-g}$ but has maximum $t_{\text{synch}}$. The synchronizer selected at point 2 illustrate a trade-off values of synchronization time and speed difference.

The synchronizer selected at each point of the Pareto front has corresponding values of the eight parameters. Figure 11 shows variation of the cone angle ($r_\alpha$) along the Pareto front. $r_\alpha$ has no trend because it goes up and down along the Pareto front from point 1 to point 3.

<table>
<thead>
<tr>
<th>Table 3. 8 cases with different setting of master and slave</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Sleeve as a master</strong></td>
</tr>
<tr>
<td>Nominal</td>
</tr>
<tr>
<td>Vibrations</td>
</tr>
<tr>
<td>Road grade</td>
</tr>
</tbody>
</table>

![Figure 10. Pareto front between speed difference and synchronization time.](image)
As shown in Figure 12(h), Pareto sets points for $F_{\text{rate}}$ uniformly increase along the Pareto front from point 3 to point 1 but $\mu_\beta$, $\beta$, $\alpha$ and $\mu_\alpha$ nonuniformly increase along the Pareto front (see Figure 12(d–g)). Pareto sets points for parameters $I_r$, $I_g$ and $r_\alpha$ do not have significant trends (see Figure 12(a–c)). It can be concluded that the parameters $\mu_\beta$, $\beta$, $\alpha$, $\mu_\alpha$ and $F_{\text{rate}}$ depend more on the quickness-smoothness trade-off of the synchronization process than upon the parameters $I_r$, $I_g$ and $r_\alpha$.

Nonuniform behaviors show that small change in considered input parameters of the generic synchronizer can bring a significant change in $t_{\text{synch}}$ and $\Delta \omega_{s-g}$ which can be seen by scattering of points in Figure 12 except $F_{\text{rate}}$.

Degrees of influence also depend on percentage change of parameters in optimized range of values. If the parameter with higher degree of influence from Figure 12 has less percentage change, the parameter will not be considered to have higher degree of influence on the objective functions. Percentage changes in input parameters and objectives are chosen to be calculated as follows

$$\% \Delta = \left( \frac{\text{maximum} - \text{minimum}}{\text{nominal}} \right) \times 100$$

As shown in Figure 13, the percentage change of $F_{\text{rate}}$ is higher than the percentage change of $I_r$, $I_g$, $r_\alpha$, $\mu_\beta$, $\beta$, $\alpha$ and $\mu_\alpha$. Percentage change in $\Delta \omega_{s-g}$ is higher than percentage change in $t_{\text{synch}}$. From Figures 12 and 13, it can be concluded that $\mu_\beta$, $\alpha$, $\mu_\alpha$ and $F_{\text{rate}}$ are most influencing parameters. Percentage change of $t_{\text{synch}}$ and $\Delta \omega_{s-g}$ shows performance improvement level of the synchronizer. All 8 variable parameters together can decrease $\Delta \omega_{s-g}$ from 258 rpm to 14 rpm and $t_{\text{synch}}$ from 0.16 s to 0.08 s.

Figure 14(b) shows variation of objective functions with rate of applied force in optimized range of Pareto front. Side view 01 shows variation of $t_{\text{synch}}$ with respect to $F_{\text{rate}}$ and side view 02 shows variation of $\Delta \omega_{s-g}$ with respect to $F_{\text{rate}}$. Figure 14(b) is obtained by plotting side view 01 and side view 02 together from Figure 14(a). Because of the highest influencing of parameter $F_{\text{rate}}$ trends of $t_{\text{synch}}$ and $\Delta \omega_{s-g}$ are uniform.

In Figure 15(d–g) $t_{\text{synch}}$ decreases but $\Delta \omega_{s-g}$ increases with increasing $\mu_\beta$, $\beta$, $\alpha$, $\mu_\alpha$ and $F_{\text{rate}}$. In Figure 15(a–c) $t_{\text{synch}}$ and $\Delta \omega_{s-g}$ do not have significant trends with increasing $I_r$, $I_g$ and $r_\alpha$. 

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Figure 11. Variation of cone radius along the Pareto front Figure (c).
A synchronizer with optimized values of the input parameters can be selected from Pareto sets points as shown in Figure 15. Points 1, 2, and 3 of \( t_{\text{synch}} \) and \( \Delta \omega_{s-g} \) in Figure 15 (a–h) show that quicker synchronizer will be less smooth and smoother synchronizer will be less quick because point 1 belongs to 0.0805 sec synchronization time and 258 rpm speed difference with one kind of synchronizer and point 3 belongs to 5 sec synchronization time and 14 rpm speed difference with another kind of synchronizer.
In Figure 15(f,g), \( t_{\text{synch}} \) and \( \Delta \omega_{s-g} \) have most of the points almost along a vertical line at a particular value of the parameters \( \alpha \) and \( \mu_{\alpha} \). This kind of feature shows that \( \alpha \) and \( \mu_{\alpha} \) can be ignored from selection process of the optimized synchronizer by adjusting only remaining 6 parameters. In the same way there are some points in Figure 15 which are almost along the horizontal line having same value of \( t_{\text{synch}} \) and \( \Delta \omega_{s-g} \) but having different values of the parameters. To select a synchronizer with same quickness \( (t_{\text{synch}}) \) and smoothness \( (\Delta \omega_{s-g}) \) there are several combination of parameter values that give the same performance.

In the area between points 3 and 2 in Figure 15(h) \( t_{\text{synch}} \) and \( \Delta \omega_{s-g} \) are more rapidly vary than in the area between points 2 and 1. Half of total changes in \( t_{\text{synch}} \) and \( \Delta \omega_{s-g} \) are between 7867 N/s
and 10,000 N/s corresponding to point 3 and point 2. Half of total changes in \( t_{\text{synch}} \) and \( \Delta \omega_{s-g} \) are between 10,000 N/s and 16,741 N/s corresponding to points 2 and 1. The synchronizer selected in the right side area between points 1 and 2 is more expensive than the synchronizer in the left side area between points 2 and 3 because change of \( F_{\text{rate}} \) in the right side area is 6741 N/s and change of \( F_{\text{rate}} \) in the right side area is 2133 N/s.

7.1.2. Road grade

To take into account the road grade on the synchronizer mechanism performance, the computational model was updated by applying additional resisting torque at synchronizer. It is assumed that the resisting torque is due to the following forces: tire rolling resistance \( F_{\text{roll}} \), air drag force \( F_{\text{air}} \) and gravity induced force \( F_{\text{grav}} \) (Sahlholm & Johansson, 2010; Stenvall, 2010).

\[
T_{\text{res}} = (F_{\text{roll}} + F_{\text{air}} + F_{\text{grav}}) r_{\text{tire}}
\]

\[
T_{\text{res}} = (C_R W \cos(\vartheta) + 0.5C_D \rho A_v v^2 + W \sin(\vartheta)) r_{\text{tire}}
\]

where \( T_{\text{res}} \)-resisting torque considered at synchronizer, \( W \)-vehicle weight, \( C_R \)-rolling resistance coefficient, \( C_D \)-air drag coefficient, \( \rho \)-air density, \( A_v \)-vehicle front area, \( r_{\text{tire}} \)-tire radius, \( \vartheta \)-angle on road grade, and \( v \)-vehicle speed. The parameter values for road grade are given in Table 4. Because it is considered that the master part of the synchronizer is connected with wheels of vehicle through output shaft therefore \( T_{\text{res}} \) is applied on the sleeve which is a master in this case. The master loses its rotational speed because of slowing down of vehicle in a road grade case with angle \( \vartheta \):

\[
\vartheta = \tan^{-1}(h/d)
\]

Here, \( h \) is vertical displacement of the road and \( d \) is horizontal displacement of the road. If the slope is given in percentage, the \( \vartheta \) can be written as

\[
\vartheta = \tan^{-1}(\% \text{ slope}/100)
\]

The imposed constraint on the master is

\[
\Phi(q, t) = \omega_s^t - \omega_{\text{pres}}^t - \omega_{\text{res}}^t t
\]

where \( \omega_{\text{res}} \) is the speed because of the road grade and obtained from \( T_{\text{res}} \).

Some results of Pareto optimization and sensitivity analysis of synchronizer mechanism performance with effect of road grade are presented in Figure 16–20. In total, 100% road grade is studied to see the effect on the synchronizer performance. In Figure 16 generic synchronizer performance is similar to Figure 4 except the sleeve continuously losses its rotational speed because of the road inclination. Pareto front goes down with increasing road grade as shown in Figure 17. Pareto front decreases more in \( t_{\text{synch}} \) than in \( \Delta \omega_{s-g} \).

In Figure 17(e–h) Pareto sets points almost have a uniform trend with increasing \( \beta, \alpha, \mu_\alpha \) and \( F_{\text{rate}} \) but in (b,d) Pareto sets points almost have nonuniform trend with increasing \( I_g \) and \( \mu_\beta \). In (a,c) Pareto sets points do not have significant trends with increasing \( I_r \) and \( r_{\alpha} \).

In the case of road grade \( \beta, \alpha, \mu_\alpha \) and \( F_{\text{rate}} \) are more influencing than \( I_r, I_g, r_\alpha \) and \( \mu_\beta \) because of the uniform trend. Pareto sets Points of \( I_r, I_g, r_\alpha \) and \( \mu_\beta \) are more scattered than Pareto sets points of \( \beta, \alpha, \mu_\alpha \) and \( F_{\text{rate}} \). As shown in Figure 17(e–g) \( \beta, \alpha \) and \( \mu_\alpha \) are more influencing parameters at lower

| Table 4. Parameters values for road grade |
|-----------------|-------|----|----------|----------|-----|-----|
| \( W \) (N)  | \( C_D \) | \( \rho \) (kg m\(^3\)) | \( A_v \) (m\(^2\)) | \( v \) (km/h) | \( r_{\text{tire}} \) (m) |
|-----------------|-------|----|----------|----------|-----|-----|
| 0.0076          | 49,000 | 0.9 | 1.2      | 4.94     | 85  | 0.43 |

Irfan et al., Cogent Engineering (2018), 5: 1471768
https://doi.org/10.1080/23311916.2018.1471768
values than at higher values. For example $\mu_\alpha$ increases from 0.1 to 0.19 with few Pareto sets points and after $\mu_\alpha$ stays around 0.19 with many Pareto sets points. In similar manner in (a) $I_r$ is more influencing parameter at higher value than at lower value.

As shown in Figure 18 percentage changes of $\alpha, \mu_\alpha, r_\alpha, \mu_\beta$, and $F_{rate}$ are higher than percentage changes of $I_r, I_g$ and $\beta$. The higher percentage change cannot endorse the degree of influence from Figure 19 because increase in values of the parameters is very small.
It is concluded that to keep the synchronizer in the optimized performance range during road grade $\alpha$, $\mu_\alpha$, $r_\alpha$, $\mu_\beta$, and $F_{rate}$ are important parameters to consider in addition to other influencing parameters.

Higher value of $\mu_\alpha$ during road grade condition can help to keep the optimal performance of the synchronizer same as during the nominal case.

Percentage change in $\Delta \omega_{s-g}$ is higher than percentage change in $t_{synch}$. During road grade the synchronizer is smoother than the nominal case.
In Figure 20(h), Pareto sets points of $t_{\text{sync}}$ decrease uniformly but Pareto sets points of $\Delta \omega_{s-g}$ increase uniformly with increasing $F_{\text{rate}}$. In (e-g) Pareto sets points of $t_{\text{sync}}$ and $\Delta \omega_{s-g}$ have opposite trends with increasing $\beta, \alpha$ and $\mu_{\alpha}$ than those of $F_{\text{rate}}, \beta, \alpha$ and $\mu_{\alpha}$ not only have lower rate of change but also stay at a certain value. For example $t_{\text{sync}}$ and $\Delta \omega_{s-g}$ of $\mu_{\alpha}$ varies up to almost 0.17 and after $\mu_{\alpha}$ almost stays at 0.17. In (a–d) trends in $I_r, I_g, r_{\alpha}$ and $\mu_{\beta}$ are not clear because of scattering of the points.

An optimal performance synchronizer at 100% road grade with optimized values of the input parameters can be selected from Pareto sets points as shown in Figure 20. In Figure 20(a–h), the synchronizer selected between crossing point and point 1 has almost higher quickness and lower smoothness but synchronizer selected between crossing point and point 3 has lower quickness and higher smoothness.

In Figure 20, total span of $t_{\text{sync}}$ is shifted down a little than the nominal case while $\Delta \omega_{s-g}$ stayed at almost same level at 100% road grade. Because of slower rate of change in $t_{\text{sync}}$ and $\Delta \omega_{s-g}$ the area between point 3 and point 2 increased and the area between point 2 and point 1 decreased. It can be seen that crossing point of $t_{\text{sync}}$ and $\Delta \omega_{s-g}$ in Figure 15 is at point 2 and in Figure 20 the crossing point is shifted towards left of point 2.

Because of scattering of points and having insignificant trend, with same smoothness and quickness of synchronizer, more than one combination of values of the parameters $I_r, I_g, r_{\alpha}$ and $\mu_{\beta}$ can be selected. In Figure 20 (e–g), most of the points are around a vertical line that shows at fixed value of $\beta, \alpha$ and $\mu_{\alpha}$ in range of the vertical line the synchronizer can be selected with different $t_{\text{sync}}$ and $\Delta \omega_{s-g}$ just only adjusting the values of $I_r, r_{\alpha}, \mu_{\beta}, I_g$ and $F_{\text{rate}}$. Most of the points in Figure 20 (a–g) are around the horizontal line that shows at same value of $t_{\text{sync}}$ and $\Delta \omega_{s-g}$ more than one value of $I_r, r_{\alpha}, \mu_{\beta}, I_g, \beta, \alpha$ and $\mu_{\alpha}$ can be selected but there is no more options than a single value while choosing $F_{\text{rate}}$ because of the uniform trend.

**7.1.3. Transmission vibrations**

A prominent problem in synchronizer design is the case of transmission vibrations. To study the effect of transmission vibration on synchronizer performance it is assumed that the sleeve...
rotational speed is subject to periodic excitation. Therefore, we here study the effect of a periodic variation of the master rotational speed as follows:

\[
\dot{\theta}_s^{\text{vib}} = \dot{\theta}_s^{\text{pres}} + A_{\text{amp}} \sin(2\pi ft + \phi)
\]  

(6)

where \(\dot{\theta}_s^{\text{vib}}\) is sleeve rotational speed induced vibrations, \(\dot{\theta}_s\) sleeve rotational speed, \(A_{\text{amp}}\) is amplitude of speed vibrations in \(\text{rad/s}\), \(f\) is frequency in \(\text{Hz}\), \(t\) is time in \(\text{sec}\) and \(\phi\) is phase of the vibrations in \(\text{rad}\). With CLF to get the synchronization performance diagram the imposed constraint in the mathematical model is written as

\[
\Phi^{\text{imposed}} = \dot{\theta}_s^{\text{pres}} t - \frac{A_{\text{amp}}}{2\pi f} \cos(2\pi ft + \phi)
\]

The synchronizer performance in Figure 21 is similar to Figure 4 except the sleeve which has rotational speed with vibrations.

As shown in Figure 22 Pareto fronts in the case of transmission vibrations with a fixed phase shift have jumps with increasing frequency but at a 100-Hz frequency the jumps disappear again. The bifurcation (jumps) in the Pareto fronts is due to vibrating nature of the sleeve rotational speed. Let’s consider the shift force which meets at end of phase 2 near trough of \(\dot{\theta}_s\) as shown in Figure 21. If \(F_{\text{rate}}\) is considered to increase, the shift force at end of phase 2 will meet \(\dot{\theta}_s\) at left of trough. But if \(F_{\text{rate}}\) continues to increase, the shift force cannot meet \(\dot{\theta}_s\) at crest even further cannot meet \(\dot{\theta}_s\) between crest and trough because of vibrating nature of \(\dot{\theta}_s\).

As shown in Figure 23, percentage changes of \(I_r, r_\alpha, \alpha, \mu_\alpha, \mu_\beta\) and \(F_{\text{rate}}\) are higher than percentage changes of \(I_g\) and \(\beta\). The average percentage changes of \(I_r, r_\alpha, \alpha, \mu_\alpha, \mu_\beta\) and \(F_{\text{rate}}\) are also higher than theirs corresponding percentage changes in the nominal case that shows the higher variability of the parameters in the case of transmission vibrations than in the nominal one.

Figure 21. Generic synchronization performance diagram with vibration.
Percentage change of $F_{rate}$ is less in the case of vibration than in nominal case that shows $F_{rate}$ is the main agent to determine the trade-off between objectives in the case of different operating conditions.

Percentage change in $\Delta \omega_{s-g}$ is higher than percentage change in $t_{synch}$ but less than the nominal case that shows by introducing the vibrations with higher frequency will make the performance of synchronizer more smoother.
In Figure 24 (c,e,f,h), Pareto sets points have almost trends with increasing $r_\alpha$, $\beta$, $\alpha$ and $F_{\text{rate}}$. In (a, b,d,g), Pareto sets points do not have significant trends with increasing values of $I_r$, $I_g$, $\mu_\beta$ and $\mu_\alpha$. Pareto sets Points of $I_r$, $I_g$, $\mu_\beta$, $\alpha$ and $\mu_\alpha$ are more scattered than Pareto sets points of $r_\alpha$ and $F_{\text{rate}}$. Because of scattering of the points synchronizer has more than one option to select the values of $I_r$, $I_g$, $\mu_\beta$, $\alpha$ and $\mu_\alpha$ for the same amount of quickness and smoothness. There are also some missing areas in trends of the Pareto sets points of the parameters due to vibrating nature of $\delta_\theta$.

In Figure 25(c,e,f,h), Pareto sets points of $t_{\text{synch}}$ decrease with increasing $r_\alpha$, $\beta$, $\alpha$ and $F_{\text{rate}}$, while Pareto sets points of $\Delta \omega_{s-g}$ increase with increasing $r_\alpha$, $\beta$, $\alpha$ and $F_{\text{rate}}$. In (a,b,d,g), Pareto sets points of $t_{\text{synch}}$ and $\Delta \omega_{s-g}$ do not have significant trends with increasing values of $I_r$, $I_g$, $\mu_\beta$ and $\mu_\alpha$. Figure 25(c) shows that $r_\alpha$ has no effect on the synchronizer performance during vibrations.

The area belongs to the synchronizer with lower smoothness and higher quickness between the points 2 and 1 is larger than area of the synchronizer with higher smoothness and lower quickness between the points 2 and 3. In Figure 25(h), there are four set of points of $\Delta \omega_{s-g}$ and the sets are almost vertical. Let’s consider the set containing point 2 where $F_{\text{rate}}$ can be taken as $1.2 \times 10^4$ N/s but $\Delta \omega_{s-g}$ changes from 140 rpm to 180 rpm. There is also the similar consideration in Figure 25(a–g). If the selected synchronizer has to be within the range of any set of points as mentioned above, some of the parameters can be ignored from the selection process of the parameters value.

In Figure 26, Pareto fronts decrease with increasing amplitudes of transmission vibrations. The generic synchronizer can perform better at higher amplitude than at lower amplitude of the vibrations. These results depend upon the phase shift.

As shown in Figure 27, percentage change of $I_r$, $\mu_\beta$, $\beta$ and $F_{\text{rate}}$ is higher than percentage change of $r_\alpha$, $I_g$, $\alpha$ and $\mu_\alpha$. With increasing amplitude changes in the parameters $I_r$, $\mu_\beta$, $\beta$ and $F_{\text{rate}}$ are higher than in the nominal case to optimize the synchronization performance.

In Figure 28(h), Pareto sets points increase significantly with increasing $F_{\text{rate}}$. In (a–g) Pareto sets points do not have a significant trends with increasing $\alpha$, $\mu_\alpha$, $\mu_\beta$, $\beta$, $I_g$, $I_r$ and $r_\alpha$. In the case of transmission
vibrations with changing amplitude the parameters $\alpha, \mu_1, \mu_2, \beta, I_g, I_r$ and $r_0$ have almost same level of variability. There is also a bifurcation because of vibrating nature of the sleeve rotational speed.

In Figure 29(h), Pareto sets points of $t_{\text{synch}}$ decrease but Pareto sets points of $\Delta\omega_{s-g}$ increase with increasing $F_{\text{rate}}$. In (a–g) Pareto sets points of $t_{\text{synch}}$ and $\Delta\omega_{s-g}$ do not have significant trends with increasing $\alpha, \mu_1, \mu_2, \beta, I_g, I_r$ and $r_0$. 

Figure 25. Parameters with objectives in the optimal range with 50 Hz frequency.

Figure 26. Pareto fronts at transmission vibrations with varying amplitude of speed.
Bifurcation in the case of increasing amplitude is more than in the case of increasing frequency. There are three sets of points because of bifurcation in Figure 29. In each set points of $t_{synch}$ tend to be horizontal and points of $\Delta \omega_{s-g}$ tend to be vertical in Figure 25(b,c,f,g,h).

It is concluded that the most prominent parameters to control the synchronizer performance can be found by analyzing the Pareto optimization results through a different perspective. In this perspective of analyses trends of Pareto sets points are found along Pareto front which is a trade-
off between two conflicting objectives, percentage change of parameters are calculated and behavior of objective functions is studied along the Pareto sets points.

At the nominal condition $\mu, \beta, \alpha, \mu_\alpha$ and $F_{\text{rate}}$ have higher degree of influence than $r_\alpha, I_g$ and $I_r$ at road grade condition $r_\alpha, \mu_\beta, \beta, \mu_\alpha$ and $F_{\text{rate}}$ have higher degree of influence than $\alpha, I_g$ and $I_r$ and at vibration condition $I_r, \mu_\beta, \alpha, \mu_\alpha$ and $F_{\text{rate}}$ have higher degree of influence than $\beta, r_\alpha$ and $I_g$. In three conditions $\mu_\alpha, \mu_\beta$ and $F_{\text{rate}}$ are common which have higher degree of influence than other parameters. So it is concluded with the setting of sleeve as a master that the synchronizer performance can be controlled by varying just the parameters of higher degree of influence which are the following:

7.2. Gearwheel as a master
In the case of split gear in the front of the gearbox, the split synchronizer gearwheel is connected with wheels while the sleeve is connected towards the disengaged clutch which is a case of gearwheel as a master. To see effect of the master/slave setting in this case the gearwheel is considered as a master and the sleeve is considered as a slave.

7.2.1. Nominal case
The synchronizer performance diagram is obtained without road grade and vibration conditions.

Figure 30 shows the generic synchronizer performance during upshift where gearwheel is considered as a master and sleeve is considered as a slave.

Because the sleeve has less moment of inertia, $t_{\text{synch}}$ is less than the case where the sleeve is considered as a master. The sleeve will get a rotational turn to proceed further in axial direction for engagement during phase 3 as shown in Figure 30.

For the cases where gearwheel is considered as a master, upper and lower bounds of the input parameters are given as follows:

$$X_u = [0.001, 0.1, 0.1, 0.1, 60, 25, 0.1, 8000]^T$$
In Figure A1(d), Pareto sets points have clear trend with increasing $\mu_\beta$ that shows higher degree of influence of the parameter than other parameters. In (a–c) and (e–h) trends of Pareto sets points are nonuniform because of some floating points with increasing $I_r$, $I_s$, $r_\alpha$, $\alpha$, $\mu_\alpha$ and $F_{rate}$.

As shown in Figure A2, percentage change of $I_r$, $\beta$, $\mu_\beta$, $\alpha$ and $\mu_\alpha$ are higher than percentage change of $I_s$, $r_\alpha$ and $F_{rate}$ that shows the synchronization performance can be kept in optimized range by allowing the parameters $I_r$, $\beta$, $\mu_\beta$, $\alpha$ and $\mu_\alpha$ to change their values more than the parameters $I_s$, $r_\alpha$ and $F_{rate}$. Percentage change of $t_{sync}$ is much less than the percentage change of $\Delta \omega_{s-g}$.

In Figure A3(a–h) $t_{sync}$ and $\Delta \omega_{s-g}$ have opposite trends with increasing $I_r$, $I_s$, $r_\alpha$, $\mu_\beta$, $\beta$, $\alpha$, $\mu_\alpha$ and $F_{rate}$. In (d) the trends are more clear than in (a–c,e–h). The synchronizer selected at point 1 with eight parameters values is more quicker and less smoother and the synchronizer at point 3 is less quicker and more smoother.

7.2.2. Transmission vibrations

In Figure 31, the generic synchronizer performance is similar as in Figure 26 except the gearwheel (master) has vibrating rotational speed.

We here study the effect of a periodic variation of the master rotational speed as follows.

$$\dot{\omega}_g^\text{vib} = \omega_g^\text{pres} + A_{amp} \sin(2\pi ft + \phi)$$

In Figure 32, Pareto fronts with transmission vibrations are moving down and right with increasing frequency. At higher synchronization time there is not higher decrease of Pareto fronts in $t_{sync}$ as comparing to Pareto fronts at lower synchronization time while $\Delta \omega_{s-g}$ decreases by reasonable value at all frequencies.

For further cases where gearwheel is considered as a master upper and lower bounds of the input parameters are given below

$$X_U = \begin{bmatrix} 0.01, 0.3, 0.35, 0.35, 60, 25, 0.3, 20000 \end{bmatrix}^T$$
In Figure A4 (d), Pareto sets points have significantly an increasing trend with increasing $\mu_\beta$. There are not clear trends of Pareto sets points in (a,b,c,e,f,g,h) because of floating of points and vibrating nature with increasing $I_r, I_s, \tau_\alpha, \mu_\alpha, \beta, \alpha$ and $F_{rate}$.

$X_p = [0.1, 0.25, 0.1, 0.1, 40, 7, 0.1, 8000]^T$

$X_u = [0.3, 0.5, 0.2, 0.3, 60, 25, 0.3, 17000]^T$
Percentage changes of $\beta$, $\alpha$, $\mu_\alpha$ and $\mu_\beta$ are higher and percentage changes of $I_r$, $I_s$, $I_a$ and $F_{rate}$ are lower as shown in Figure A5. In the case of transmission vibration with increasing frequency the optimized synchronizer performance can be obtained by allowing $\beta$, $\alpha$, $\mu_\alpha$ and $\mu_\beta$ to change their percentage values more than other three parameters. On other hand, $I_r$, $I_s$, $I_a$ and $F_{rate}$ can be ignored from the optimization process because of very lower percentage change. Effect of increasing frequency on $\Delta \omega_{s-g}$ is higher than $t_{\text{synch}}$ on because percentage change of $\Delta \omega_{s-g}$ is high and percentage change of $t_{\text{synch}}$ is less.

In Figure A6(a–c,e–h), Pareto sets points of $t_{\text{synch}}$ and $\Delta \omega_{s-g}$ do not have clear trends because of scattering of the points and vibrating nature but in (d) there are clear trends of objectives with increasing $\mu_\beta$. In the case of vibrations $\mu_\beta$ is the parameter with higher variability.

In Figure 33, Pareto fronts are moving down and right with increasing amplitudes. Increase in $t_{\text{synch}}$ is less and increase in $\Delta \omega_{s-g}$ is large.

In Figure A7, $I_r$ and $\mu_\beta$ have highest percentage changes than other parameters which show the synchronizer performance can be kept in optimized range by allowing $I_r$ and $\mu_\beta$ to change their values more than the other parameters. Percentage change of $t_{\text{synch}}$ is less with increasing amplitude case than in increasing frequency case but percentage change of $\Delta \omega_{s-g}$ is higher in comparison.

In Figure A8(d), Pareto sets points have significantly an increasing trend with increasing $\mu_\beta$. In (a–c,e–h) Pareto sets points do not have clear trends with increasing $I_r$, $I_s$, $I_a$, $\beta$, $\alpha$, $\mu_\alpha$ and $F_{rate}$.

7.2.3. Road grade
In Figure 34, the generic synchronizer performance is similar to Figure 30 except the phenomenon that gearwheel (master) continuously loses its rotational speed because of the road grade.

In Figure 35, Pareto fronts stay almost at same level with increasing road grade in the case of gearwheel as a master with upshifting.
In Figure A10(a,e), Pareto sets points have trends with increasing $I_r$ and $\beta$ and in (b-d,f-h) Pareto sets points have no significant trends with increasing $I_s$, $r_0$, $\mu_\beta$, $\alpha$, $\mu_\alpha$, and $F_{rate}$. Variability of the parameters $I_r$ and $\beta$ are higher than the other parameters in the optimized range of Pareto front.

As shown in Figure A11 $I_r$ and $\mu_\beta$ is the most important parameter to keep the synchronizer performance in optimized range because of higher percentage change. The percentage change of $\Delta \omega_{s,g}$ in Figure A11 is higher but not important because $\Delta \omega_{s,g}$ in Figure 35 changes from 80 to 130 rpm.

Figure 34. Generic synchronizer performance with road grade.

Figure 35. Pareto fronts with increasing road grade.
In Figure A12(b,c,f,g), most of points of $I_s$, $r_\alpha$, $\alpha$ and $\mu_\alpha$ are along the vertical line that indicates $I_s$, $r_\alpha$, $\alpha$ and $\mu_\alpha$ can be ignored from the input parameters for optimization of the synchronization.

7.3. Sleeve and gearwheel as slaves

There can also be practical cases when both sleeve and gearwheel are slaves (such as the split gear synchronizer that is not connected with the wheels). It is found in the previous case where the sleeve is considered as a slave that the synchronization time is 0.09 sec as shown in Figure 4 but in the case where the gearwheel is considered as a slave the synchronization time is 0.04 s as shown in Figure 30. The decrement in time is more than 50%. So it is quite curious to study the case where both the sleeve and the gearwheel should be considered as slaves.

7.3.1. Nominal case

The generic synchronizer performance in Figure 36 is similar to Figure 4 with exception of shorter synchronization time when the sleeve and the gearwheel are slaves.

For the case where sleeve and gearwheel are considered as slaves upper and lower bounds of the input parameters are given as follows:

$$X_l = [0.001, 0.1, 0.1, 40, 7, 0.1, 8000]^T$$

$$X_u = [0.01, 0.3, 0.35, 60, 0.3, 25, 0.3, 20000]^T$$

In Figure A13(a,d,f,h), Pareto sets points have trends with increasing $I_r$, $\mu_\beta$, $\alpha$ and $F_{rate}$ and in (b,c, e,g) Pareto sets points do not have significant trends with increasing $I_s$, $r_\alpha$, $\beta$ and $\mu_\alpha$.

In Figure A14, percentage changes of $I_r$, $I_s$, $\mu_\beta$ and $\alpha$ are higher than other parameters. The percentage change of $\Delta \omega_{s-g}$ is higher but not important as comparing to other cases because $\Delta \omega_{s-g}$ changes from 1 rpm to 140 rpm. The percentage change of $t_{synch}$ is also less than other cases and percentage changes of all parameters are less than percentage changes in other cases where one of the rotating body considered as a master.

Figure 36. The generic synchronizer performance with sleeve and gearwheel as slaves.
7.3.2. Transmission vibrations

Before engaging to next gear, the sleeve must be disengaged from the previous gear. There are chances of producing the vibrations during disengagement and also because of the disengagement of the clutch. These vibrations will also affect the gear-shifting process. So the effect of vibrations is studied when both the sleeve and the gear are considered as slave.

In Figure 37, the generic synchronizer performance is similar to Figure 4 with an addition of transmission vibrations for rotational speed of the sleeve and the gearwheel.

In Figure A16, Pareto fronts decrease with increasing frequency. At higher frequencies Pareto fronts decrease more than at lower frequencies.

For further cases where the sleeve and the gearwheel are considered as slaves, moment of inertia of sleeve is taken into the vector input parameter \( \mathbf{X} = [I_r, I_s, \mu_p, \beta, \alpha, \mu_\alpha, F_{\text{rate}}]^T \). Upper and lower bounds of the input parameters in the Pareto optimization problem are given below

\[
\mathbf{X}_l = [0.1, 0.25, 0.1, 0.1, 40, 7, 0.1, 8000]^T, \quad \mathbf{X}_u = [0.3, 0.5, 0.2, 0.3, 60, 25, 0.3, 17000]^T
\]

In Figure A17(e, f, h), Pareto sets points have clear trends with increasing \( \beta, \alpha \) and \( F_{\text{rate}} \) whereas in (a-d, g) Pareto sets points do not have clear trends with increasing \( I_r, I_s, \mu_\alpha \) and \( \mu_p \).

In Figure A18, \( I_r, \beta, \mu_p \) and \( F_{\text{rate}} \) have percentage changes are 37, 13, 18, and 27, respectively. Others 4 parameters have very small percentage changes therefore those parameters can be ignored from the input parameters for the optimization of the generic synchronization process. Percentage change of \( \Delta \omega_{s-g} \) is higher than change of \( t_{\text{synch}} \).

In Figure A19(a–d, g), Pareto sets points of \( \Delta \omega_{s-g} \) and \( t_{\text{synch}} \) do not have significant trends with increasing \( I_r, I_s, \mu_\alpha \) and \( \mu_p \). Pareto sets points of \( \Delta \omega_{s-g} \) and \( t_{\text{synch}} \) have clear trends with increasing \( \beta, \alpha \) and \( F_{\text{rate}} \).

Pareto fronts stay almost at same level with increasing amplitude as shown in Figure A20. \( t_{\text{synch}} \) and \( \Delta \omega_{s-g} \) do not vary with Pareto fronts.

Figure 37. The generic synchronizer performance with transmission vibration.
In Figure A21(e), Pareto sets points have trends with increasing $\beta$ while in (a–b,f–h) Pareto sets points do not have clear trends with increasing $I_r, I_s, \alpha, F_{rate}$. In Figure A22, $I_r, \mu, \beta$ and $F_{rate}$ have highest percentage change but in Figure A19(a) $I_s$ and $\mu$ have no trends. So $I_s, \mu, \beta$ and $F_{rate}$ are the parameters which can keep the synchronizer performance in optimized range than the other parameters.

In Figure A23(e), Pareto sets points of $\Delta \omega_{s/C0}$ and $t_{synch}$ have trends with increasing $\beta$ while the Pareto sets points do not have significant trends with increasing $I_r, I_s, \alpha, \mu, \beta$, and $F_{rate}$.

Most influencing parameters in each case are given in Table 5.

As shown in Table 5, $F_{rate}, \beta, \alpha, \mu$, and $\mu$ are the most influencing parameters in most of the cases. For example in a case where gear is master at nominal condition $I_s, \beta, \alpha, \mu$ and $\mu$ are the most influencing parameters whereas in the case where sleeve is master at road grade condition $r_a, \beta, \mu, \alpha$ and $F_{rate}$ are most influencing parameters. While some of the parameters have very less influence in few cases for example $r_a$ has very less influence in the case of vibrating sleeve/gear as a master. When both the sleeve and the gear are slave, the gear-shifting process will not have any effect of the road grade. So the road grade is not studied in the case where both the sleeve and the gear are considered as slave.

### 8. Conclusions and outlook

The most important outcome of the study is the identification of degree of influence of the parameters under different scenarios. The following eight parameters of the generic synchronizer are considered: cone angle, cone coefficient of friction, applied shift force, blocker angle, blocker coefficient of friction, cone radius, gear moment of inertia, and ring moment of inertia. The developed computational model of a generic synchronizer based on CLF is used to study the gear-shifting process. In local sensitivity analysis, effect of each parameter is analyzed on the objective functions. It is found that all eight parameters have reasonable effect on the objective functions. In the optimization process, eight parameters are considered as input parameters. Eight cases are studied under different scenarios of master/slave and different operating conditions of road grade, vibrations, and nominal. To find the degree of influence of the parameters from the results of optimization three different approaches are used; Pareto sets points are plotted along the Pareto front, percentage change of the parameters are calculated within the limits of variation of the parameters values and objective functions are plotted along the parameters. It is found that all eight parameters do not have same degree of influence on the objective functions. Some parameters have very high degree of influence and some parameters have very low degree of influence. The parameters with lowest degree of influence can be ignored while deciding about the optimized performance of the generic synchronizer. The parameters which cannot be ignored are given in Table 5.

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**Table 5. Parameters with higher degree of influence in eight cases**

<table>
<thead>
<tr>
<th>Sleeve as a master</th>
<th>Gear as a master</th>
<th>Both slave</th>
</tr>
</thead>
<tbody>
<tr>
<td>Nominal</td>
<td>Nominal</td>
<td>Nominal</td>
</tr>
<tr>
<td>$\mu, \beta, \alpha, \mu$, and $F_{rate}$</td>
<td>$I_s, \mu$, $\beta$ and $\mu$</td>
<td>$I_r, \mu$, $\beta$ and $F_{rate}$</td>
</tr>
<tr>
<td>Vibrations</td>
<td>Vibrations</td>
<td>Vibrations</td>
</tr>
<tr>
<td>$I_r, \mu$, $\alpha$, and $F_{rate}$</td>
<td>$I_r, \mu$, $\beta$, $\mu$ and $\alpha$</td>
<td>$I_r, \mu$, $\beta$ and $F_{rate}$</td>
</tr>
<tr>
<td>Road grade</td>
<td>Road grade</td>
<td></td>
</tr>
<tr>
<td>$r_a, \mu, \beta, \alpha$, $\mu$, and $F_{rate}$</td>
<td>$I_r, \mu$, $\beta$</td>
<td></td>
</tr>
</tbody>
</table>

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It is suggested for future work to verify the developed synchronizer model and relevant results with industrial simulation tool. It is also proposed to implement the friction model developed in Häggström et al. (2016).

Definitions/abbreviations

- $\theta_{r,s}$: Displacement difference between ring and sleeve
- $S_{eng}$: Engaging teeth axial length
- $\theta_{g,s}$: Displacement difference between gear and sleeve
- $x_{eng}$: Full engaging length
- $T_i$: Index torque

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References


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Appendix

Gearwheel as a slave

Optimization results in the case where the gearwheel is considered as a slave are shown in Figure A1–12.

Figure A1: Variation of Pareto sets points of parameters with Pareto front.
Figure A2: Normalized percentage change of input parameters and objectives.

Figure A3: Parameters with objectives in optimal range.
Figure A4: Pareto sets points of eight parameters at vibrations with 70 Hz frequency.

Figure A5: Normalized percentage change of parameters and objectives.
Figure A6: Variation of objectives with parameters at vibration of 70-Hz frequency.

Figure A7: Percentage change of objectives and parameters.
Figure A8: Variation of Pareto sets points of parameters with Pareto front at vibrations with 5 rad/sec amplitude.

Figure A9: Variation of parameters with objectives at vibrations with 5 rad/sec amplitude.
**Figure A10:** Variation of Pareto sets points of parameters with Pareto front at 10% road grade.

**Figure A11:** Percentage change of parameters and objectives.
Figure A12: Variation of objectives with parameters at 10% road grade.

Sleeve and Gearwheel as a slaves

Optimization results in the case where the sleeve and the gearwheel are considered as slaves are shown in Figures A13-21.

Figure A13: Variation of Pareto sets points of parameters with Pareto front.
Figure A14: Normalized percentage change of objectives and parameters.

Figure A15: Variation of objectives with parameters.
Figure A16: Pareto fronts with increasing frequency.

Figure A17: Variation of Pareto sets points of parameters with Pareto front at 50 Hz frequency.
Figure A18: Variation of objectives with parameters at 50 Hz frequency.

Figure A19: Normalized percentage changes of parameters and objectives.
Figure A20: Pareto fronts with increasing amplitude of speed.

Figure A21: Variation of Pareto sets points of parameters with Pareto front at 5 rad/sec amplitude.
Figure A22: Normalized percentage changes of parameters and objectives.

Figure A23: Variation of objectives with parameters at 5 rad/s amplitude.