

Gaze doesn't always lead steering

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1 Gaze doesn't always lead steering

2				
3	Lehtonen, Eskoª	orcid.org/0000-0003-0926-1517		
4	Lappi, Otto ^b	orcid.org/0000-0002-7996-7665		
5	Koskiahde, Noora ^c			
6	Mansikka, Tuomas ^c			
7	Hietamäki, Jarkko ^c			
8	Summala, Heikki ^c	orcid.org/0000-0001-8905-1178		
9				
10	^a Department of Mechanics and Maritime Sciences, Chalmers University of Technology, SE-412 96			
11	Gothenburg, Sweden			
12	^b Cognitive Science, University of Helsinki, FI-00014 Helsinki, Finland			
13	^c Traffic Research Unit, University of Helsinki, FI-00014 Helsinki, Finland			
14				
15	Corresponding author: Esko Lehtonen, esko.lehtonen@chalmers.se, +46 31 772 69 01			
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- 24 Abstract
- 25

In car driving, gaze typically leads the steering when negotiating curves. The aim of the current study
was to investigate whether drivers also use this *gaze-leads-steering strategy* when time-sharing
between driving and a visual secondary task.

29

Fourteen participants drove an instrumented car along a motorway while performing a secondary task: looking at a specified visual target as long and as much as they felt it was safe to do so. They made six trips, and in each trip the target was at a different location relative to the road ahead. They were free to glance back at the road at any time. Gaze behaviour was measured with an eye tracker, and steering corrections were recorded from the vehicle's CAN bus. Both in-car '*Fixation*' targets and outside '*Pursuit*' targets were used.

36

37 Drivers often used a gaze-leads-steering strategy, glancing at the road ahead 200-600 ms before 38 executing steering corrections. However, when the targets were less eccentric (requiring a smaller 39 change in glance direction relative to the road ahead), the reverse strategy, in which glances to the 40 road ahead followed steering corrections with 0-400 ms latency, was more common. The observed 41 use of strategies can be interpreted in terms of predictive processing: The gaze-leads-steering 42 strategy is driven by the need to update the visual information and is therefore modulated by the 43 quality/quantity of peripheral information. Implications for steering models are discussed. 44 45 **Highlights**: 46 • The coordination of gaze and steering was studied in an on-road study. 47 Drivers often returned their gaze back to the road ahead before making steering corrections. • 48 The eccentricity of the off-road target influences gaze-steering coordination. • 49

- 50 **Keywords**: intermittency; distraction; eye movements; steering; predictive processing.
- 51

52 1. Introduction

Most of the time drivers' gaze is directed towards the road ahead. They look approximately two
seconds ahead in curves; steering is closely coupled to gaze direction, with the gaze direction
anticipating vehicle rotation with a lead time of approximately one second (Land, 1992; Land & Lee,
1994; Lappi, Lehtonen, Pekkanen, & Itkonen, 2013; Lehtonen, Lappi, Koirikivi, & Summala, 2014;
Wilson, Chattington, & Marple-Horvat, 2008). These gaze behaviours are known as *guiding fixations*,
which are important for steering and make up the majority of fixations in normal driving (Lappi et al.,
2013; Lappi, Rinkkala, & Pekkanen, 2017).

60

However, drivers do not keep their eyes on the road at all times. Often the close correlation
between gaze and steering is deliberately broken, for example when performing anticipatory lookahead fixations at a curve many seconds before any steering action is required (Lehtonen, Lappi,
Kotkanen, & Summala, 2013), scanning for potential hazards in intersections (Räsänen & Summala,
2000), or performing an eyes-off-road task while driving (Stutts et al., 2005). This time-sharing

- 66 between the primary task of steering and other visual tasks—i.e. the *intermittency* of visual
- 67 sampling—is a fundamental characteristic of natural driving behavior.
- 68

Eyes-off-road tasks have been extensively studied from the perspective of driver distraction. Their
execution compromises lane-keeping and decreases driving speeds (Engström, Johansson, &
Östlund, 2005). Eyes-off-road glances increase the crash risk (Dingus et al., 2016) by delaying
reactions in, for example, critical rear-end situations (Lamble, Laakso, & Summala, 1999)—where
looking on or off the road often determines if a near-crash becomes a crash (Bärgman, Lisovskaja,
Victor, Flannagan, & Dozza, 2015). Increasing driving automation may increase engagement in
secondary tasks (Naujoks, Purucker, & Neukum, 2016). Therefore, in the future it will be even more

- 76 important to understand how drivers self-regulate their gaze behavior.
- 77

In this study, we investigated how on- and off-road glances are coordinated with steering
corrections. The study had three objectives.

80

81 1) The first objective was to investigate if drivers use a *gaze-leads-steering* strategy, in which the 82 gaze returns from off-road to the road ahead to glean guiding information for steering actions just 83 before they are to be performed. This is a 'just-in-time' strategy; gaze is directed at the task-relevant 84 regions at the last moment, to minimize reliance on short-term memory (Ballard, Hayhoe, & Pelz, 85 1995; Land, 2009; Lappi, 2014). If drivers use this strategy, we should observe that gaze returns to 86 the road ahead and a steering correction is made with a rather fixed latency (the visuomotor lag 87 from processing the visual input). On the other hand, previous studies have shown that drivers can 88 use peripheral vision to keep the car within the lane, even for tens of seconds, without looking back 89 at the road (Bhise & Rockwell, 1971; Summala, Nieminen, & Punto, 1996). This suggests that steering 90 correction would not have to be temporally coupled to road-ahead glances at all; that is, drivers 91 would not necessarily use the gaze-leads-steering strategy. 92

- 93 2) The second objective was to investigate whether the availability of peripheral visual information
- 94 from the road ahead influences the use of the gaze-leads-steering strategy. The availability of
- 95 peripheral visual information depends primarily on gaze *eccentricity*, the visual angle between the

- 96 current gaze direction and the road ahead. When the road ahead is very eccentric to the line of
- 97 sight, the peripheral visual information is lower in quality and/or quantity (Lamble et al., 1999;
- 98 Summala et al., 1996; Warren & Kurtz, 1992). Therefore, to compensate, drivers have been found to
- 99 foveate the road ahead more often during visual secondary tasks as the eccentricity between gazes
- 100 at the task and at the road ahead increases (Summala et al., 1996).
- 101

102 In addition to eccentricity, asymmetry in the spatial resolution of human vision also influences the 103 ability to use peripheral vision. Spatial resolution of human vision is more acute in the lower versus 104 upper peripheral visual field, a phenomenon called 'vertical meridian asymmetry' (Talgar & Carrasco, 105 2002). Therefore, it may be that more peripheral visual information enters from the road when a 106 target is at the level of the windscreen instead of down at the dashboard-because the road ahead 107 is visible only in the upper visual field. Thus, targets that are equally eccentric in terms of the visual 108 angle between the target and the road may still differ in the amount of visual information that is 109 available peripherally, if one of the targets is lower down, at the dashboard level.

110

Consequently, we hypothesized that the gaze-leads-steering strategy would become more
predominant as refreshing the visual information from the road ahead with a fixation became more
important (due to increases in target eccentricity and/or vertical meridian asymmetry). It was also

114 expected that the off-road glances would become shorter as the availability of peripheral vision

- 115 decreased.
- 116

117 3) The third objective was to explore if there are any differences between targets inside and outside 118 the car. Drivers tend to have longer glances to roadside advertisements than to in-car locations 119 (Chan, Pradhan, Pollatsek, Knodler, & Fisher, 2010). It can be hypothesized that because a target 120 outside the car is allocentrically stable (relative to the outside world, not to the car and driver) it 121 might be used as input for controlling steering through optic flow, parallax, and/or depth perception; 122 in contrast, since in-car targets are egocentrically stable (stable relative to the car and driver), they 123 contribute no useful control information. Also, targets within the car are clearly very close to the 124 driver, but targets out in the world are at distances more comparable to where gaze would normally 125 focus on the road. Thus, looking at outside targets would be less likely to produce diplopia (double 126 vision). For these reasons, it could be expected that off-road glances to targets in the outside world 127 are 'easier' than in-car glances, enabling drivers to take longer off-road glances and even perform 128 steering actions while looking off-road.

129 2. Methods

130 2.1. Task

131

In this study, the temporal coordination between visual sampling and steering control was studied using a self-paced peripheral viewing task. The intermittency in visual sampling was elicited by asking participants to look at either an inside or an outside target while they drove on a motorway with an instrumented car. A simple looking task was used, to keep the attentional and working memory requirements of the secondary task minimal-

Participants were instructed to look at the designated target as much as possible, but always while
prioritizing safe driving—including the maintenance of lane position and monitoring of other
vehicles. They were also told to drive in the right lane of the motorway at a speed of 90 km/h

141 (according to the speedometer), but to always keep a reasonable safety margin (a distance of two

142 lamp posts) when there was another car in front of them. An accompanying researcher, who had

access to the eye-tracking data in real time, monitored participants' compliance with all instructions.

In total, six different trials were performed. Five of them were '*Fixation*' trials, and the sixth was a
 '*Pursuit*' trial, which used a series of targets outside the vehicle (see Figure 1 for target locations).

- Each Fixation target remained stable in egocentric coordinates in the vehicle frame of reference. In
 contrast, the outside targets remained stationary in the environment, thus drivers had to pursue
 them with their gaze.
- 150

All participants drove the test route (Figure 2) six times, once for each trial. The trial order was
 varied between participants. The first participant made the trips with the targets in this order:

153 Down-Far, Down-Near, Up-Near, Up-Middle, Up-Far, and Pursuit (Figure 1, inset). For the second the

154 order was reversed. The third started from the Down-Near target and ended with Down-Far, and the 155 fourth reversed the sequence of the third one, etc.

156

157 The drivers' capability to acquire information from the road via peripheral vision was manipulated by 158 varying the vertical and horizontal eccentricity of the Fixation targets: Three of the targets were up 159 on the windscreen and two down on the dashboard. We included a Pursuit trial, with a series of 160 targets outside the vehicle, to explore the potential effect of inside vs. outside targets. Consecutive 161 street lamps in the median barrier of the motorway, appearing at regular intervals, were the outside 162 targets (Figure 1). Drivers were asked to track the top of a lamp post with their gaze as it 163 approached. When the lamp post became occluded by the roof of the car, or was considered too 164 eccentric, they were instructed to switch their gaze to the next lamp post.

165

Each Fixation target was a black, circular, 3-cm diameter sticker with the white numbers "6983"
(Times New Roman, 18pt) in the middle. The participants were asked to look at the target "so that
they could read the numbers", because we wanted to encourage all the participants to use the same

168 they could read the numbers", because we wanted to encourage all the participants to use the same 169 strategy. (It is possible to look at a close target without focusing on it, holding the gaze direction on

170 the target but binocularly converging elsewhere—on the scene behind, or infinity).

171

172 The windscreen targets' locations were chosen to approximate the location of the Pursuit targets in 173 the driver's visual field. Since the Fixation targets were fixed in the car, their exact position in the

driver's field of view and eccentricity relative to the road ahead varied somewhat with each driver

175 (Table 1), even though the seat was adjusted so that the drivers' heads would (as far as possible)

- always be at the same height.
- 177



Figure 1. Main picture: Schematic depiction of the Road Ahead region of interest, demonstrating how the driver is to track successive street lamps in the Pursuit trial. Inset: Positioning of the Fixation targets inside the car. 'Up' targets are on the windscreen while 'Down' targets are on the dashboard. Note that the Up-Near, Up-Middle, and Up-Far Fixation targets are located 9 cm, 6 cm, and 24 cm from the edge of the windscreen along an imaginary line; they are placed so that they would occupy the same part of the driver's visual field as the Pursuit targets.

185

Table 1. The eccentricity of the targets relative to the direction of the road ahead (see 2.6.1).
 Eccentricities were calculated from the eye-tracking data by averaging the eccentricity of
 detected target glances. Because the participants had different body dimensions, the average
 eccentricity varied somewhat between the participants. In the following, the standard deviation
 characterizes the between-participant variability.

192	Target	Mean (deg)	SD
193			
194	Pursuit	14.0	1.9
195	Up-Far	17.5	4.0
196	Up-Middle	11.8	2.7
197	Up-Near	6.5	1.8
198	Down-Near	14.1	1.8
199	Down-Far	35.4	3.1

200 2.2. Participants

201 Participants were recruited via university email lists and from the researchers' personal contacts.

202 Seventeen drivers participated in the experiment. Data from three participants were excluded due

- 203 to poor eye tracking signals. Of the 14 resulting participants, eight were females. Nine of the
- 204 participants had driven cars for more than 30,000 km (and had held a valid driving license for two to
- 205 14 years); five had driven less than 30,000 km (and had held a valid license for one to two years at
- 206 the time of the experiment). All participants reported normal vision, and none of them reported
- 207 strabismus or any neurological diseases that could affect their driving ability or eye movements.
- 208 Participants' ages ranged from 18–33 years. Informed consent was obtained from all participants in

the study. Ethical recommendations in the 1964 Declaration of Helsinki were followed, and the study
 settings were approved by the ethical review board of the University of Helsinki.

211 2.3. Equipment

212 The instrumented car was a Toyota Corolla 1.6 compact sedan (MY 2007) with a manual

213 transmission (Toyota Motor Corporation, Toyota, Aichi, Japan). A two-camera video-based remote

214 eye tracker Smart Eye Pro version 5.7 (<u>www.smarteye.se</u>) with a sampling rate of 60 Hz was used to

215 measure the gaze direction. The road scene was filmed with a forward-looking VGA scene camera (5

- 216 fps). The eye tracker and the scene camera were mounted on the dashboard. The GPS position was
- 217 recorded at 1 Hz. The steering wheel signal, reverse-engineered from the vehicle CAN bus, had a
- 218 rotational resolution of three degrees; it was recorded at 100 Hz and subsequently down-sampled to
- synchronize with the eye tracker. A computer running custom software synchronized and
- timestamped the data during the procedure.

221 2.4. Route

222 The test route was a section of Porvoonväylä motorway between the interchanges of Sipoonlahti

and Östersundom in Finland (Figure 2). Each driver started from the petrol station (upper-right

corner). The task was performed while driving from A to B and then returning from B to A. The

distance between A and B was approximately seven km. There were two lanes (each 3.75 m wide) in

both directions. In Finland, the traffic runs on the right-hand side of the road, and in this experiment

- the rightmost lanes were used.
- 228



229

- Figure 2. A map of the test route. Data was collected between points A (N60.281637, E25.325432) and B (N60.271168, E25.223723) driven in both directions. Map information Google (c) 2016.
- 232
- 233

234 2.5. Procedure

- The experiment started at the campus of the University of Helsinki. The starting time was either in
 the morning (~ 9.00 am) or in the afternoon (~ 12.30 pm). The experiment took about 3.5 hours in its
 entirety for each participant. The participants were compensated with two movie vouchers.
- 238

The participant filled out the informed consent form and a background questionnaire. The driverseat height was adjusted so that all participants' eyes were at approximately the same, prespecified
height, and then the eye tracker was calibrated for each individual. The seat backrest was set as
upright as possible to minimize forward-backward head movements during the experiment, which
could reduce the quality of the eye-tracker signal.

244

The driver was always accompanied by a professional driving instructor, who monitored the traffic situation during the experiment and had an extra set of clutch and brake pedals in the passenger footwell. He also told the driver where/whether to turn at junctions, and when to start and stop the task. In some sessions, there was also a third person in the vehicle assisting in the conduction of the experiment.

250

First, participants drove the car to a service station in Sipoonlahti, which was about 20 km away from
the campus. On a motorway leading to the test route, they briefly practiced the secondary task. As
they approached the start point, they actually drove the test route without performing any
secondary task. Then, each participant drove the test route (Figure 2) six times, once for each target,
starting and ending the drive at the service station. Afterwards they drove the test route again as

256 they returned to campus. The first and last trips furnished data for the control trial with a target.

257 During these control drives, the participants were also asked to keep to the inside lane at 90 km/h.

- 258 2.6. Data analysis
- 259 2.6.1. Glance detection

The direction of the road ahead was identified using a 2D gaze histogram created from the gaze data in the control drives. Bin sizes of 0.5 degrees were created, and the bin with the highest value was used (Figure 3). The locations of the Fixation targets and the speedometer were similarly identified, by manually selecting the midpoint of the corresponding gaze concentration in the 2D gaze histograms. Identification of the road ahead and target locations was done individually for each participant because the slight variations in seating position affected the gaze angles (heading and pitch) reported by the eye tracker.



Figure 3. 2D histograms illustrated with heat maps identify the road ahead and target locations. Data are from a single participant. Gaze heading on x-axis and pitch on y-axis, in degrees relative to the system coordinates (before centering to the road ahead). The road ahead is clearly visible in the middle of the figures as a red-yellow area. Another red-yellow area (T) corresponds to the location of the target, if present. The speedometer location (S) is also clearly visible.

274

After the road ahead, target, and speedometer were located, glances were defined using the areaof-interest (AOI) method. In short, for the purpose of this method, a glance refers to the period of time when the gaze is within the defined area. A glance is defined as starting when the gaze enters an AOI and ending when it exits the AOI. A maximum of 200 ms of deviations/missing data were allowed within a glance if the gaze returned to the AOI within that time; Otherwise, signal noise and missed frames would have artificially split the glance (as determined by an inspection of the raw glance data). Finally, glances shorter than 100 ms were removed.

AOIs were defined relative to the manually identified road ahead and target locations.

AOI dimensions were based on a visual comparison of the raw signal against the detected glances and were the same for all the participants.

286

287 The road-ahead AOI spanned -8 to 8 degrees horizontally and -2.5 to 2.5 degrees vertically from the 288 road ahead direction. All Fixation-target AOIs covered a circle around the identified locations with an 289 AOI radius of two degrees, except at the Down-Far location; a radius of four degrees was used since 290 the eye-tracker data were noisier. In order to avoid confusing speedometer glances with downward 291 target glances, the speedometer location was also determined. Its AOI was also a circle with a radius 292 of four degrees. For the Pursuit trial, the lower end of the mass of the gaze was identified, and the 293 Pursuit targets' AOI was defined as containing all the gaze data which was up and left from the lower 294 end within a two-degree margin. The output of the glance detection algorithm for a segment of data 295 is illustrated in Figures 4 and 5.

296



Figure 4. Illustration of glance detection for the Up-Middle target. Time from the beginning of the recording on x-axis (s). Raw gaze heading and pitch coordinates (y-axis) shown as black dots (degrees). Target glances illustrated as red lines, drawn from the beginning of a glance until its end. Road-ahead glances shown in blue and speedometer glances in green.



303 Figure 5. Illustration of the glance detection for Pursuit targets. Time from the beginning of the 304 recording on x-axis (s). Raw gaze heading and pitch coordinates (y-axis) shown as black dots 305 (degrees). Target glances illustrated as red lines, drawn from the beginning of a glance until its 306 end. Road-ahead glances shown in blue and speedometer glances in green. 307

308 As noted, three participants out of 17 were excluded due to insufficient eye-tracking data quality. In

309 addition, the Down-Far and Down-Near trials were excluded for two participants, and one of the

310 Control trips from one participant, for the same reason. In order to include all the available data,

311 mixed-effect models were used for the statistical analysis instead of the more traditional repeated-

312 measures ANOVA. In the models 'Participant' was included as a random factor.

313 2.6.2. Steering corrections

- 314 Steering corrections were operationalized as steering wheel reversals (SWR). A steering wheel
- 315 reversal was identified when the steering wheel signal captured from the vehicle CAN bus changed
- 316 (corresponding to approximately 3 degrees of rotation in the steering wheel), if the change was in



Figure 6. Illustration of steering wheel reversal (SWR) detection. Black line is the steering
 wheel signal captured from the CAN bus. Steering wheel reversals are marked with red dots.

321 3. Results

322 3.1. Gaze-leads-steering strategy

323 The first objective was to investigate whether drivers use the gaze-leads-steering strategy. The 324 timing of SWRs relative to the road-ahead and Fixation/Pursuit target glances was investigated by 325 comparing the SWR times to the glance onset times. For each glance, SWRs occurring two seconds 326 before and two seconds after were identified. This four-second time window was divided into 200-327 ms windows¹, and the number of SWRs within each interval was counted across the glances for each 328 participant and trial. This process was done separately for the road-ahead and target glances. The 329 SWR frequency can be affected not only by the target placement (higher eccentricity means less 330 available peripheral visual information), but also by individual driving speed (lower speed implies 331 more time for glances) and individual visual strategies (differences in typical glance duration), so we 332 divided the frequencies by the average frequency of the 200-ms windows in each participant/trial 333 pair (i.e. each participant/target pair had its individual average). In other words, the results indicate

¹ With intervals of 200 ms the pattern was most clearly visible. Using intervals of 100 ms did not change the statistical significance of the tests.

the odds of an SWR occurrence in each 200-ms interval, relative to the overall SWRs occurrences ofthat participant in that trial.

336

337 When the SWR odds were averaged over the trials and participants, it was seen that SWRs were

- 338 especially common around road-ahead glance onsets, both 0-400 ms before (Before segments) and
- 339 200-600 ms after (After segments) (Figure 7). The SWR odds were calculated for both intervals, and
- 340 found to be higher than 1.0 (before: t(13)=3.431, p<.01, M=1.19, 95 % CI [1.07, 1.32]; after:
- 341 t(13)=7.636, p<.001, M=1.63, 95 % CI [1.45, 1.80]).
- 342
- 343



344 345

Figure 7. Odds of SWRs relative to road-ahead glance and target glance onsets (zero on the xaxis, highlighted with red vertical line). The average for all participants and its 95 % confidence intervals are shown, so that in effect 1.0 corresponds to the average SWR occurrence rate.

There is a drop in the SWR odds approximately one second after the road-ahead glance onset, which corresponds closely with the median duration of road-ahead glances. Around this time, the drivers would, of course, typically initiate a target glance (see Supplementary Figure 1. In contrast, SWRs are not time-locked to target-glance onsets like they are to road-ahead glance onsets. Instead, there appears to be a decrease in the SWR odds 0–600 ms after the onset of a target glance. That is, drivers refrain from performing SWRs after the gaze has been switched toward a target (see also Supplementary Table 1).

357

Overall, the results suggest that drivers do use a gaze-leads-steering strategy, in which road-ahead
 glances precede SWRs. Unexpectedly, the figure suggests that a reverse strategy was also used.

- 360 361
- 261

363 3.2. Gaze-steering strategy as a function of target placement

The second objective was to investigate whether the availability of the peripheral visual information from the road ahead influences the gaze steering strategies. To do so, we needed to confirm that target eccentricity and the verticality (Up vs. Down factor) did, in fact, influence the target glance durations. Longer target glance durations can be taken to indicate that drivers were better able to use information from their peripheral vison for steering control.

369

370 It was expected that the eccentricity and the Up vs Down factor would determine how well the 371 drivers were able to use peripheral vision to guide their steering. The effect of eccentricity on the 372 median Fixation target glance durations was tested (Figure 8; see Supplementary Figure 2 for the 373 distributions). Glance duration medians were first log10-transformed to control heteroscedasticity. 374 Mixed effects models were used to test the effect of target location on the median Fixation-target 375 glance durations. Eccentricity and the Up vs Down factor were fixed effects and participant was a 376 random effect.

377

378 As expected, both the eccentricity (B=-0.0032, SE=0.0017, F(1,50)=40.665, p<.001) and the Up vs

379 Down factor were significant (B=0.1786, SE=0.0361, F(1,50)=24.419, p<.001). (F-values were

380 calculated sequentially, first controlling for eccentricity before evaluating Up vs Down). With the

381 average eccentricity of 16.58 deg, the glances to the Down targets had a median duration of 1.01 s,

382 95 % CI [0.72, 1.41] and those to the Up targets had a median duration of 1.52 s, 95 % CI [1.10, 2.10].

383



384 385

Figure 8. Median Fixation-target glance durations (y-axis) as a function of eccentricity (x-axis,
 log10 transformed).

389 Figure 9 shows the average of median glance durations for each trial, both for the target glances and 390 for the road-ahead glances. It can be argued that the Fixation targets should be ranked in the order

391 Down-Far, Down-Near, Up-Far, Up-Middle, and Up-Near, based on the availability of peripheral
 392 visual information for guidance of steering. The results follow this order, with the exception of
 393 Down-Near and Up-Far, which switch places.



396 397

398Figure 9. Grand average of median glance durations for the target and road-ahead AOIs with399each target and when driving without a target. 95 % CI are shown.

Trial

400 401

402 The SWR odds were calculated for both Before and After segments by each target and participant.

403 The interaction of target and segment was significant (F(5, 135)=3.973, p = .002) when tested with a

404 mixed-effects model. Polynomial contrasts indicated a quadratic increase in the difference between

405 the values from the Pursuit to Down-Far targets (Figure 10). Among the Fixation targets, this trend

406 roughly follows the availability of peripheral visual information for steering.



Figure 10. Odds of SWRs for After segments (200-600 ms after: gaze-leads-steering) and
Before segments (0-400 ms before: steering-leads-gaze) relative to road-ahead glance onset by
target.

412

413 3.3. Glance strategies in the Pursuit trial

414 The third objective was to explore the differences between Fixation and Pursuit trials. The glance 415 durations presented in Figure 9 were used. Targets were modelled as a six-level fixed-effect factor 416 with participant as a random factor. The type of target had a significant main effect on median 417 glance durations (F(5, 61)=16.384, p < .001). Pairwise comparisons with Holm adjustment showed 418 that the target glance durations in the Pursuit trial were significantly (p < .01) longer than in the 419 Down-Far, Down-Near, and Up-Far trials, but the durations were not statistically different from the 420 Up-Middle and Up-Near trials. The same analysis was done with the road-ahead glance durations: 421 trial had a significant effect on duration (F(5, 61)=31.946, p < .001). Holm-adjusted pairwise 422 comparisons showed that the road-ahead glances were significantly shorter in the Pursuit trial than 423 in all other trials (all p < .001). 424

- The Pursuit target required drivers to move their eyes while looking at the target, continually changing the eccentricity of the road ahead. Individual gaze data revealed that some participants could at times keep their eyes on the street lamps for very long durations, shifting to the next street lamp only when the pursued lamp disappeared behind the top of the car. These drivers experienced a systematic (and predictable) variation in the eccentricity of the road ahead during the Pursuit target glances, which contained both visual pursuits of the lampposts and saccades towards (but not
- 431 all the way to) the road-ahead region.

432 The less eccentric a Fixation target was relative to the road ahead, the more often drivers performed 433 SWRs during the target glances. Therefore, we wanted to see if the same were true of the Pursuit 434 targets: Would drivers also be more willing to perform SWRs when the Pursuit target is less 435 eccentric? To answer this question, we calculated the median eccentricity of raw gaze-data samples 436 within the Pursuit-target glances as a function of SWR co-occurrence (Figure 11). The difference in 437 the median eccentricity was 1.07 deg, 95 % CI [-070, 1.45]. It was greater when there was no SWR 438 than when an SWR occurred; t(13)=6.127, p < .001). This result suggests that drivers did indeed 439 perform SWRs more often when the gaze was less eccentric, but the difference of one degree is very 440 small in practice.

441



442 443

Figure 11. Cumulative density of gaze eccentricity during Pursuit glances as a
 function of SWR co-occurrence.

446

447 3.4. Driving performance

Qualitatively speaking, all the participants were able to control their lane position, speed, and
distance to lead vehicle while performing the secondary task. Only a couple of times did the safety
driver have to instruct participants to keep to their own lane, if the vehicle was drifting to the left

- 451 (toward the adjacent lane) and there was another car approaching from behind in that lane.
- 452 Otherwise, the participants kept to their own lane without intervention.
- 453

To rule out the possibility that the differences in glance-steering coordination could be explained by differences in steering activity or driving speed, driving performance was analyzed quantitatively by comparing SWR and average speed in the seven different trials (No target, five Fixation targets, and one Pursuit target). Mixed-effect models with trial as a fixed effect and participant as a random effect were used.

- 459
- 460
- 461
- 462

463 Table 3. Average steering wheel reversal (SWR) rates and average speed per trial.

464					
465	Trial	SWR	s per second	Spee	d (km/h)
466		М	SD	М	SD
467	No target	0.10	0.05	86.9	2.9
468	Down-Far	0.17	0.10	86.9	3.4
469	Down-Near	0.20	0.11	88.0	2.2
470	Up-Near	0.17	0.08	87.8	2.3
471	Up-Middle	0.15	0.06	87.6	2.3
472	Up-Far	0.16	0.07	88.4	2.4
473	Pursuit	0.20	0.08	85.0	3.6
474					

475 Trial had a significant effect on the overall SWR rate (F(6, 68)=6.974, p < .001). Pairwise comparisons

476 were performed and *p* values adjusted using Holm's method. The SWR rate was found to be lower in 477 the No target trial than in all target trials (p < .005), except for the Up-Middle trial (p = .058).

478

479 Trial also had a significant effect on the average speed (F(6, 74)=3.29, p = .006). Pairwise

480 comparisons with Holm-adjusted *p* values showed that average speed was lower during the Pursuit

481 task than during Up-Near, Up-Far, and Down-Near (p < .05). There were no statistically significant

482 differences in speed between the No target and Fixation trials

483

484 In summary, the SWR rates were higher during all peripheral viewing tasks than during 'free' driving

485 (the No target trial), but SWR rates for the different target trials were similar. Drivers slowed down

486 slightly while performing the Pursuit target task, but otherwise speeds were not affected.

487

488 4. Discussion

Previous naturalistic research into visual tasks involving multiple targets and extended sequences of saccades has established that gaze often precedes manual actions with a small, constant lead time (Ballard et al., 1995; Hayhoe, Shrivastava, Mruczek, & Pelz, 2003; Land, Mennie, & Rusted, 1999). In driving this is manifested as the gaze-leads-steering strategy. The present study shows that this strategy applies when drivers are time-sharing between visual control of steering and a visual secondary task. That is, drivers often glance back to the road ahead before performing a steering correction.

496

This strategy was most evident when the Fixation target of the secondary task was very eccentric to the road ahead. Glance durations on the Fixation targets also shortened, and SWR rates during these glances decreased, with increasing eccentricity. This suggests that as the quality and/or quantity of peripheral information available from the road ahead decreases, drivers are less able to rely on it to make steering corrections and instead must make glances back to the road before steering (cf. Summala et al., 1996).

503

In contrast, with low-eccentricity targets, drivers steered first and then looked back to the road
ahead. In this steering-leads-gaze strategy, the drivers apparently used their peripheral vision to
guide their steering actions.

507

508 The current results suggest that, in addition to eccentricity, the vertical position may also affect the 509 availability of peripheral visual information from the road ahead. Glances to Fixation targets down 510 on the dashboard were half a second shorter than glances to targets on the windscreen—when 511 eccentricity was controlled.

512

513 Glance durations to the Pursuit targets were not significantly different from glance durations to the 514 Fixation targets (which were positioned so that they would approximately coincide with the location 515 of the Pursuit targets in the visual field). In both cases the median glance durations averaged 516 approximately two seconds, suggesting that drivers did not glean useful information for visual 517 guidance of steering from the Pursuit targets, which were stationary in the environment—in spite of 518 the fact that their egocentric motion in the visual field is potentially directly useful for steering. If the 519 Pursuit targets had provided useful control information, we would have expected to see longer 520 glance durations towards the Pursuit targets.

521

However, it is noteworthy that the road-ahead glances were shorter in the Pursuit trial than in any of
the Fixation target trials. Although peripheral glances to the street lamps might not have provided
sufficient information to completely obviate the need to make occasional road-ahead glances, they
may have provided some information, so shorter road-ahead glances were required. Buttressing this
interpretation, the median gaze eccentricity during the Pursuit glances was slightly less eccentric
during SWR occurrences.

528

Overall, drivers had a higher SWR rate while performing the peripheral viewing task than during the
control task. This result indicates that the visual task imposed higher task demands, since visually
demanding secondary tasks have been shown to increase the lane position variability, which means

532 more SWRs (Engström et al., 2005; Jamson & Merat, 2005; Liang & Lee, 2010; Tsimhoni, Smith, & 533 Green, 2004). These tasks have also been linked to reductions in driving speed (Engström et al., 2005; Jamson & Merat, 2005), but in the current experiment a reduction in speed was only 534 535 demonstrated in the Pursuit trial compared to the Fixation trials. Drivers may have self-regulated 536 their engagement with the viewing task as a function of their ability to use peripheral visual 537 information by adjusting their glance durations (cf. Pekkanen, Lappi, Itkonen, & Summala, 2017). 538 This ability can be affected by driving experience. Our sample consisted of drivers with different 539 levels of experience, but due to the small sample size it is was not reasonable to analyze the effect of 540 experience. Summala et al. (1996) found that experienced drivers (> 30,000 km in their lifetime) 541 were better able to utilize peripheral vision for steering. Thus it can be hypothesized that novice

- 542 drivers would use the gaze-leads-steering strategy more often than experienced drivers.
- 543 4.1 Implications for steering models

Most existing steering models with a psychological perspective represent the driver as receiving
continuous visual feedback that is instantaneously translated into steering actions (Donges, 1978;
Land, 1998; Lappi, 2014; Salvucci & Gray, 2004). The models do not consider intermittent sampling
and its effect on control. The role of memory, focal vs. peripheral visual input, or anticipatory
processes is not well described by such models, either.

549

550 The present results suggest that a more comprehensive steering model should take into account the 551 fact that human drivers use peripheral visual information (Lamble, Summala, & Hyvärinen, 2002; 552 Summala et al., 1996) as well as continuous extraretinal input (sensory input not coming from the 553 eyes: vestibular sensations, somatosensation, proprioception, hearing). Driving under visual 554 occlusion or with eyes off the road is sometimes called open-loop driving (Godthelp, 1986), but it is 555 only open-loop visually, because during these eyes-off-road periods, steering control is at least 556 partly accomplished using memory (specifically, stored visual information and/or precalculated 557 motor programs). Visual information from the previous guiding fixations may be retained in a short-558 term memory 'image' or visual buffer (Cavallo, Brun-Dei, Laya, & Neboit, 1988; Kujala, Mäkelä, 559 Kotilainen, & Tokkonen, 2016; Land & Furneaux, 1997; Senders, Kristofferson, Levison, Dietrich, & 560 Ward, 1967). Alternatively, a 'precognitive motor program' may be generated during the previous 561 eyes-on-the-road episode and launched at its end (Godthelp, 1986; McRuer, Allen, Weir, & Klein, 562 1977).

563

564 The present results show that when looking at an off-road target, drivers feel compelled to return 565 their gaze to the road ahead after some seconds (cf. Summala et al., 1996). This urge might be due 566 to some peripherally observed or extraretinal cue, or simply to cumulative time or cumulative 567 uncertainty over the current vehicle trajectory in the absence of (focal) visual information (Godthelp, 568 1986; Johnson, Sullivan, Hayhoe, & Ballard, 2014; Kujala et al., 2016; Senders et al., 1967; Summala 569 et al., 1996). When the available information is less accurate (such as when looking at a more 570 eccentric fixation target), the accumulated uncertainty of memory/prediction could reach an 571 uncertainty threshold sooner (Johnson et al., 2014; Kujala et al., 2016; Senders et al., 1967), leading 572 to the shorter eyes-off-road glances observed.

573

574 Sampling the road ahead can be understood as serving anticipatory processes as well; that is, 575 processes that predict the current or future state based on past observation history. In controltheoretical terms, this is forward inference (Miall & Wolpert, 1996; Wolpert, Diedrichsen, &
Flanagan, 2011; Wolpert, Ghahramani, & Flanagan, 2001). The role of prediction has been
emphasized in many hypotheses which are applicable in the current context, such as maintaining
and updating an 'image' (Senders et al., 1967), 'expectancy' (Näätänen & Summala, 1976), or
'situational awareness' (Endsley, 1995).

581

582 In the predictive processing framework (Clark, 2013; Engström et al., 2017), actions are understood 583 as a way to reduce prediction error, minimizing the mismatch between the predicted and observed 584 sensory input. In driving, this means that a steering correction would be executed when the sensory 585 input does not match the expectancies formed from the previous sensory states and the actions 586 taken. However, sometimes the uncertainty regarding the relevant received sensory input is very 587 high—for example, when driving and looking away from the road ahead for an extended period. In 588 this case, more information needs to be sampled to accumulate sufficient sensory evidence before a 589 steering action can be performed. This leads to the gaze-leads-steering strategy observed in the 590 current study, where gaze precedes to the task just before the initiation of the action (Hayhoe et al., 591 2003; Land et al., 1999; Land, 2009).

592

593 On the other hand, when drivers correct their steering without looking at the road ahead, the 594 uncertainty caused by the steering correction itself may 'force' them to sample visual information. 595 The uncertainty associated with the *action outcome*— caused by the steering action itself— might 596 increase total uncertainty above an acceptance threshold. This may be what gives rise to the 597 *steering-leads-gaze strategy*, where gaze is used to resolve the uncertainty *caused* by a steering 508 correction.

correction. In support of this idea, we observed that the *steering-leads-gaze strategy* was used more
when the eccentricity of the Fixation targets increased.

600 4.2. Limitations

601 The current study took the forced peripheral viewing task (Summala et al., 1996) one step closer to 602 natural driving by performing the task on real roads at road speeds, and adding the Pursuit target 603 trial. However, while more natural than a visual occlusion paradigm (Senders et al., 1967), a 604 secondary viewing task is nevertheless an artificial way to instigate intermittent sampling. It is 605 possible that the coupling of the gaze and steering would not be exactly similar when drivers are 606 engaged in some other secondary task. Secondary tasks often impose substantial visual and/or 607 cognitive loads on the driver which may fluctuate over time. For example, it is possible that when 608 drivers are engaged in a more demanding secondary task they would not steer without looking first. 609 610 The upper Fixation targets where placed so that they would reside approximately in the same part of

611 the visual field as the Pursuit targets. However, with the Pursuit targets the drivers had more

- 612 freedom to adapt their gaze strategies. They could choose when to switch their gaze to the next
- 613 lamp post. A simulator or augmented reality setup, where only one possible Pursuit target is
- 614 presented at a time, could be used to address this.
- 615

616 With the current eye tracker, it was not possible to accurately estimate vergence. The Pursuit targets

- 617 were at a comparable *depth distance* to where gaze would normally land on the road. Looking at
- 618 them would be less likely to produce diplopia (double vision) of the road than looking at the Fixation

targets. Thus, while the participants were instructed to look at the Fixation targets in such a way that
they would be able to read the text printed on them, some of them might still have not converged at
the target distance, but at the more typical guiding fixation distance or even infinity ("staring
through" the target). In a 3D VR set-up, the Fixation targets could be placed at the same distance as
the Pursuit targets.

624 5. Conclusions

The coordination of gaze and steering was studied using a 'minimal' visual secondary task, instructing participants to look at designated Fixation and Pursuit targets as much as they could while remaining comfortable and safe. The drivers often used the strategy of looking before they steered. However, an opposite strategy, steering before they looked, was also found, especially when the road ahead was not very eccentric to the Fixation target. Moreover, the eyes-off-road glance durations were longest with the Fixation targets.

631

First, the results are in line with the observation that drivers use peripheral visual information to
guide their steering actions when it is readily available. When it is less available, there is more
uncertainty regarding the present state, and thus road ahead glances need to be performed more

635 often. Second, the use of the opposite strategy suggests that steering actions themselves create

636 uncertainty which must be resolved by glancing back to the road.

637

638 These observations pave the way for a more complete understanding of the strategic interplay of 639 gaze and steering in natural driving, and the way the brain copes with the intermittency of visual

640 input in complex multitasking environments.

641

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- 646
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- 648
- 649 Data availability: All data analyzed during this study and the analysis code written in R with R
- 650 Markdown format are available from figshare repository
- 651 <u>https://figshare.com/s/c53c24441d9925a2fe7e</u> (doi: 10.6084/m9.figshare.5572636). Raw gaze
- tracking and vehicle data is available from the corresponding author on reasonable request.

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776	

Supplementary Material

for 'Gaze doesn't always lead steering'

Supplementary Table 1. SWR rates for each trial during road ahead and target glances. Means and between-subject standard deviations in seconds.

	Road ahead		Target	
Trial	Μ	SD	Μ	SD
No target	0.09	0.05	n/a	n/a
Down-Far	0.22	0.14	0.12	0.10
Down-Near	0.25	0.15	0.17	0.12
Up-Near	0.21	0.12	0.15	0.08
Up-Middle	0.18	0.08	0.14	0.06
Up-Far	0.20	0.09	0.13	0.06
Pursuit	0.26	0.14	0.18	0.08



Supplementary Figure 1. The time and type of the next glance to relative to the onset of a glance for each type of glance. Columns shows the type of the current glance. The consecutive glances are shown as a function of the onset time after the onset of the current glance. The glance detection ignored glances outside the designated areas or interests: Therefore, target to target and road ahead to road ahead glance sequences occur, if the driver has looked at the road environment outside the road ahead or to some non-target in-car location, for example. The dominating glance strategy is that road ahead glances were followed by a target glance, and target and speedometer glances were followed by a road ahead glance.



Supplementary Figure 2. Cumulative density functions for the target glance durations in each target trial.



Supplementary Figure 3. Odds of SWRs relative to road ahead glance and target glance onsets (= zero point of the x-axis highlighted with red vertical line) by trial. The average for the participants and its 95 % confidence intervals are shown so that in effect 1.0 corresponds the average SWR occurrence rate.