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## ABSTRACT

Water formation is relevant in many technological processes and is also an important model reaction. Although water formation over Pd surfaces is widely studied, questions regarding the active site and the main reaction path ( $\text{OH}^* + \text{OH}^*$ ) or ( $\text{OH}^* + \text{H}^*$ ) are still open. Combining first-principles density functional theory calculations and kinetic Monte Carlo simulations, we find that the reaction rate is dominated by surface steps and point defects over a wide range of conditions. The main reaction path is found to be temperature dependent where the  $\text{OH}^* + \text{OH}^*$  path dominates at low temperatures, whereas the  $\text{OH}^* + \text{H}^*$  path is the main path at high temperatures. Steps facilitate the  $\text{OH}^*$  formation, which is the rate limiting step under all conditions.  $\text{OH}^*$  is formed via  $\text{O}^* + \text{H}^*$  association or  $\text{OOH}^*$  splitting at low temperatures, whereas  $\text{OH}^*$  is exclusively formed via  $\text{O}^* + \text{H}^*$  association at high temperatures. The results of the first-principles-based kinetic model are in excellent agreement with experimental observations at high and low temperatures as well as different gas-phase compositions.

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## INTRODUCTION

Water is a simple molecule and one of the most abundant compounds on earth. Water is, furthermore, relevant in a range of technological applications. Water splitting ( $\text{H}_2\text{O} \rightarrow \text{H}_2 + 1/2\text{O}_2$ ) and the water-gas-shift reaction ( $\text{H}_2\text{O} + \text{CO} \rightarrow \text{H}_2 + \text{CO}_2$ ) are two examples of possible routes to use  $\text{H}_2\text{O}$  to obtain hydrogen, which is important in a fossil free society.<sup>1,2</sup> Another example is the formation of water from  $\text{H}_2$  and  $\text{O}_2$  in proton-exchange membrane fuel cells, which is a promising technology in a hydrogen-based energy system.<sup>3,4</sup> Moreover, also in reactions where water is not a reactant or product, the presence of water can influence the overall reaction paths<sup>5,6</sup> and thereby change the reaction dynamics and selectivity.<sup>7</sup> The central role of water for sustainable energy systems is one reason to develop detailed knowledge on catalytic splitting and formation of water over solid surfaces. Another reason is to develop general concepts and understanding by studying a model reaction with a limited number of reaction steps. The understanding of active sites and dominant formation paths is important when making conclusions on selectivity.

Palladium is widely used in heterogeneous catalysis to catalyze oxidation<sup>8–13</sup> and hydrogenation reactions.<sup>14–20</sup> Water formation

from  $\text{O}_2$  and  $\text{H}_2$  over Pd surfaces has been studied extensively in the past (see, e.g., Refs. 21–25). Water is known to form readily over Pd via a Langmuir–Hinshelwood reaction.  $\text{O}_2$  and  $\text{H}_2$  dissociate into H and O after which OH is formed.  $\text{H}_2\text{O}$  is formed via either  $\text{OH} + \text{OH}$  or  $\text{OH} + \text{H}$  although the main path has been difficult to reveal experimentally.<sup>22</sup> OH formation has been identified as the rate limiting step and surface science experiments at low temperatures and pressures over Pd(111) have shown that OH is formed mainly over surface defects such as monoatomic steps.<sup>23</sup>

A detailed understanding of water formation from  $\text{O}_2$  and  $\text{H}_2$  over Pd has recently become important in connection to direct  $\text{H}_2\text{O}_2$  formation over palladium nanoparticles.<sup>26–31</sup> A high selectivity to  $\text{H}_2\text{O}_2$  requires that water formation is suppressed. Thus, it is imperative to know which sites and reaction paths facilitate water formation. Here, we present a combined density functional theory (DFT) and kinetic Monte Carlo (kMC) study to investigate water formation on metallic Pd(111) and stepped Pd surfaces over a wide range of temperature and pressure conditions. The model includes multiple paths to both  $\text{H}_2\text{O}$  and  $\text{H}_2\text{O}_2$ . The kMC model is validated by comparison with surface science experiments at low temperatures<sup>23</sup> and experiments on a polycrystalline Pd foil at high temperatures.<sup>24</sup> We conclude that steps control the catalytic

activity and that the main path for water formation is temperature dependent.

## COMPUTATIONAL METHODS

### First-principles calculations

Density functional theory (DFT) as implemented in VASP<sup>32–34</sup> is used to obtain adsorption and reaction energies for various species derived from H<sub>2</sub> and O<sub>2</sub> adsorption on Pd(111) and Pd(211) surfaces, respectively.<sup>30</sup> The employed surface structures are shown in Figs. S1–S3 of the [supplementary material](#).

All calculations are done with the Perdew–Burke–Ernzerhof (PBE)<sup>35</sup> functional augmented with the dispersion correction D3 proposed by Grimme *et al.*<sup>36,37</sup> The plane augmented wave method<sup>38,39</sup> is used to describe the interactions between ionic cores and valence electrons, and a cut-off energy of 450 eV is chosen for the plane-wave expansion. A  $(4 \times 4 \times 1)$  *k*-point density is used to sample the Brillouin zone using a Monkhorst–Pack scheme.<sup>40</sup> Total energies are in the self-consistent cycle converged to  $10^{-5}$  eV, and structures are considered to be converged if the largest force in the system is lower than 0.03 eV/Å. The bulk lattice constant for Pd is optimized to be 3.89 Å. The employed surface cells are  $p(3 \times 3)$  and  $p(1 \times 2)$  for Pd(111) and Pd(211), respectively, and consist of four layers of which the two bottom layers are frozen to the bulk lattice. The slabs are separated by 12 Å of vacuum.

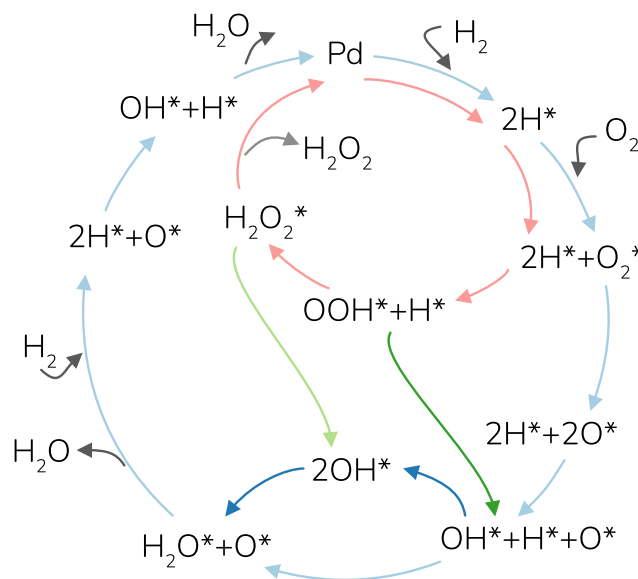
Nudge elastic band (NEB) calculations are used to find the transition states as implemented in the transition state tools for VASP by Henkelman and co-workers.<sup>41,42</sup> Vibrational modes are calculated using finite differences and fixing all surface metal atoms. Transition states have one imaginary frequency along the reaction coordinate. The vibrations are also used to calculate zero-point energy corrections and entropy contributions to the free energy. The vibrational energies are reported in Tables S2 and S3 of the [supplementary material](#).

### Reaction scheme

**Figure 1** shows the reaction scheme for water formation on Pd surfaces. To obtain a closed reaction cycle, two H<sub>2</sub>O molecules are formed from one O<sub>2</sub> and two H<sub>2</sub>. The network also contains the possibility of H<sub>2</sub>O<sub>2</sub> formation.

The first step in the cycle is molecular hydrogen adsorption and instantaneous dissociation into H\*. Molecular oxygen is instead adsorbed into a molecular precursor state O<sub>2</sub>\*. From the precursor state, O<sub>2</sub>\* can be either directly hydroxylated to OOH\*, entering the H<sub>2</sub>O<sub>2</sub> cycle, or dissociated into O\*. OH\* is formed from atomic hydrogen and oxygen. OH\* may also be formed by OOH\* splitting into OH\* + O\* or H<sub>2</sub>O<sub>2</sub>\* splitting into 2OH\*, which are the connections between the H<sub>2</sub>O<sub>2</sub>- and water-cycles. Water can be formed by either OH\* + H\* or OH\* + OH\* and desorbs when formed from the Pd surface. In the cycle, the second hydrogen molecule adsorbs dissociatively and OH\* is formed from O\* + H\* and H<sub>2</sub>O from OH\* + H\*. The surface has a coverage of intermediates during operating conditions, and the actual path for H<sub>2</sub>O formation may depend on the conditions.

**Figures 2(a) and 2(b)** show the potential energy surfaces for the reaction cycles in **Fig. 1** over Pd(111) and Pd(211), respectively. The numerical values are reported in Table S1 of the [supplementary](#)



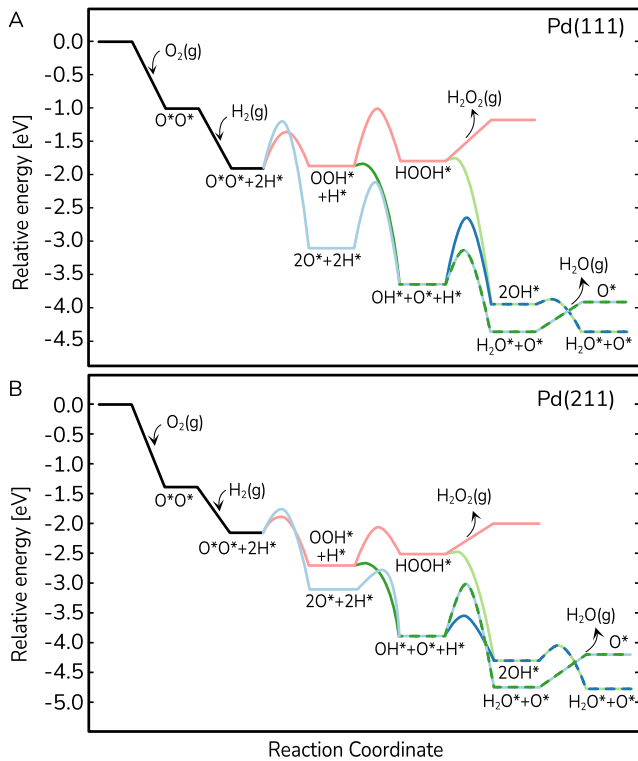
**FIG. 1.** Reaction network of water formation (light blue) with the dark blue arrows highlighting the water formation path via OH\* + OH\*. The cycle for H<sub>2</sub>O<sub>2</sub> formation is shown in pink. The H<sub>2</sub>O<sub>2</sub> cycle is connected to the water cycle via the splitting of OOH\* to O\* and OH\* (light green) and the splitting of H<sub>2</sub>O<sub>2</sub>\* to 2OH\* (dark green).

**material.** The reaction energy to form water from H<sub>2</sub> and 0.5 O<sub>2</sub> is calculated to be 2.52 eV, which is close to the experimental value of 2.50 eV. The adsorption energy of O\* with respect to O<sub>2</sub> in the gas phase on both surfaces is about −1.4 eV. The slight difference between the final states in the potential energy landscapes for the two surfaces is due to differences in adsorbate–adsorbate interactions along the reaction path. The coverage of O\* is low in the modeled catalytic cycles, whereas the hydrogen coverage is sizable at high H<sub>2</sub> pressures. Key steps are therefore considered with a hydrogen coverage to effectively account for adsorbate–adsorbate interactions in the model.

The exothermicity of O<sub>2</sub> adsorption and H<sub>2</sub> splitting is similar for the two surfaces. The formation of H<sub>2</sub>O<sub>2</sub> is endothermic over Pd(111), whereas the OOH\* formation over Pd(211) is exothermic. The process of OO-scission in OOH\* and H<sub>2</sub>O<sub>2</sub>\* is exothermic and connected with low barriers on both surfaces. The barrier for the dissociation of O<sub>2</sub> from the adsorbed state (O<sub>2</sub>\*) is higher than the barrier for OOH\* formation on both surfaces.

Considering H<sub>2</sub>O formation over Pd(111), OH\* formation from O\* + H\* is associated with a barrier of 0.98 eV, which is clearly higher than the barrier for O<sub>2</sub>\* dissociation being 0.64 eV. The situation for H<sub>2</sub>O formation is different on Pd(211), where the barrier for OH\* formation from O\* + H\* is comparable with that of O<sub>2</sub>\* dissociation. The formation of H<sub>2</sub>O\* from OH\* + H\* has a higher barrier than H<sub>2</sub>O formation from OH\* + OH\*.

H<sub>2</sub> does not adsorb along the step-edge and is therefore modeled only over (111)-sites. In the case of O<sub>2</sub> dissociation on the step-edge, the oxygen atoms are transferred directly to the terrace sites. As H\* and O\* are adsorbed preferably on terrace sites, reactions at steps require O\* and H\* from the terrace.



**FIG. 2.** Potential energy profiles for H<sub>2</sub>O formation from H<sub>2</sub> and O<sub>2</sub> over (a) Pd(111) and (b) Pd(211). The blue paths show the water formation from 2H\* + 2O\*, whereas the green paths show the additional water formation possibilities after entering the H<sub>2</sub>O<sub>2</sub> formation path. The pink path shows the formation of H<sub>2</sub>O<sub>2</sub>. The H<sub>2</sub> dissociation for the Pd(211) profile is considered over the adjacent (111) sites.

### Kinetic Monte Carlo model

The applied kinetic Monte Carlo model is based on Monte-Coffee.<sup>43</sup> The adsorption rates are obtained from collision theory,

$$k_{\text{ads}}^+ = \frac{p \cdot S_0 \cdot A}{\sqrt{2 \cdot \pi \cdot m \cdot k_B T}}, \quad (1)$$

with  $p$  being the partial pressure,  $S_0$  being the sticking coefficient,  $A$  being the surface site area,  $m$  being the mass,  $k_B$  being Boltzmann's constant, and  $T$  being the temperature. The corresponding desorption rates are calculated assuming equilibrium between adsorption and desorption,

$$K_{\text{eq}} = k^+ / k^- = e^{\frac{\Delta S^\ddagger}{k_B}} \cdot e^{-\frac{\Delta E}{k_B T}}, \quad (2)$$

with  $k^+$  and  $k^-$  being the forward and backward reaction rate, respectively.  $\Delta S^\ddagger$  is the change in entropy, and  $\Delta E$  is the change in energy, omitting the  $pV$ -term in the Gibbs free energy. The rates of reactions are calculated from transition state theory,

$$k_{\beta\alpha}^+ = \frac{k_B \cdot T}{h} e^{\frac{\Delta S}{k_B}} \cdot e^{-\frac{E_a}{k_B T}}. \quad (3)$$

$E_a$  is the reaction barrier.

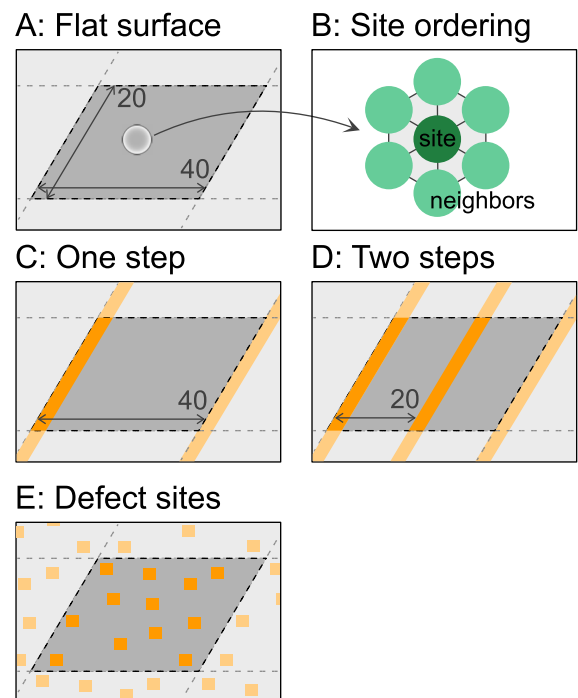
All reactions as shown in Fig. 1 are taken into account in the kinetic model. Thus, each arrow in Fig. 1 is defined as one event in the kinetic model augmented with the corresponding events for the backward reactions. Additionally, the diffusion of the surface species (H\*, O\*, O<sub>2</sub>\*, OH\*, OOH\* and H<sub>2</sub>O\*) are included. The diffusion rates are chosen to be fast in comparison to the other reactions.

The time  $t_{\beta\alpha}$  to advance the system from the current state  $\alpha$  to the future state  $\beta$  is calculated for all possible processes. Following the first reaction method,<sup>44</sup> the event is executed, which has the lowest  $t_{\beta\alpha}$ . The time is advanced according to  $t \rightarrow t + t_{\beta\alpha}$  with

$$t_{\beta\alpha} = 1 - \frac{1}{k_{\beta\alpha}} \cdot \ln \rho, \quad (4)$$

where  $\rho$  is a random number between 0 and 1 and  $k_{\beta\alpha}$  is the rate for the transition from state  $\alpha$  to  $\beta$ . We assume that the executed event affects the system state locally and, therefore, only evaluate new times for events close to the last active site. The local updating reduces the number of time evaluations, which is usually the bottleneck for the first reaction method.<sup>45</sup>

The surface is modeled using periodic boundary conditions with a (40 × 20) (111) super-cell consisting of 800 sites [Fig. 3(a)]. Each site is connected to six nearest neighbors [Fig. 3(b)]. Sites on a surface form geometric sites such as atop, hollow, and bridge. Here, we employ a coarse-grained site scheme in which each species occupies a geometrical site although the character of the geometrical site is not treated explicitly. Each event is connected to a unique nearest-neighbor site pair.



**FIG. 3.** Schematic of the model systems. (a) (40 × 20) super-cell of a pristine Pd(111) surface, (b) site connectivity, (c) Pd(111) with one step, (d) Pd(111) with two steps, and (e) Pd(111) with 5% homogeneously distributed defect sites.



Simulations are performed for pristine (111) surfaces, stepped (111) surfaces, and surfaces with point defects. Stepped surfaces are represented by replacing one row of terrace sites parallel to the lattice direction, with step sites (20 sites = 2.5%), as illustrated in Fig. 3(c). A higher step density is modeled by adding a second row in the center of the terrace [Fig. 3(d)]. The number of step sites increases to 5% with two steps. The potential landscape of Pd(211) is used to model the step sites. To model a situation where defect sites are homogeneously distributed, we also considered a surface with 5% of point defects [see Fig. 3(e)]. To allow for a direct comparison to the results for the stepped surfaces, the defect sites are given the same reaction properties as the step sites.

The turn-over frequency (TOF) for H<sub>2</sub>O is calculated as the number of desorbed H<sub>2</sub>O molecules from the surface divided by the total number of surface sites and simulation run-time,

$$\text{TOF}(\text{H}_2\text{O}) = \frac{N_{\text{form}}^{\text{H}_2\text{O}}}{N_{\text{sites}} \cdot t_{\text{kMC}}}. \quad (5)$$

Contributions to the TOF(H<sub>2</sub>O) from the OH\* + H\* and OH\* + OH\* paths, respectively, are calculated from

$$\text{TOF} = \frac{N_{\text{form}}^{\text{Path}}}{N_{\text{sites}} \cdot t_{\text{kMC}}}. \quad (6)$$

No dissociation or adsorption of H<sub>2</sub>O is observed in any simulation.

## KINETIC SIMULATIONS

The explored reaction network is general and describes H<sub>2</sub> oxidation at different conditions. We study the reaction at low and high temperatures as well as different gas-phase pressures and compositions. We start the discussion with the low temperature results where the detailed surface science experiments with well characterized surfaces by Mitsui *et al.*<sup>23,46</sup> allow for a straight-forward comparison and validation of the computational approach.

### Low temperature reaction mechanism

Mitsui *et al.*<sup>23,46</sup> studied H<sub>2</sub> oxidation over a stepped Pd(111) surface with Scanning Tunneling Microscopy (STM). The reaction was performed by exposing an oxygen precovered surface to a low pressure of hydrogen ( $p_{\text{H}_2} = 1.3 \times 10^{-5}$  Pa). Water formation was measured at temperatures above 220 K as a depletion of the oxygen coverage after 20 min hydrogen exposure. Similar onset temperatures for water formation over Pd(111) were observed by Pauer and Winkler<sup>47</sup> for comparable pressures of H<sub>2</sub> and O<sub>2</sub>.

Here, we consider three different surfaces to compare with the surface science experiments,<sup>23</sup> namely, a pristine Pd(111) surface, Pd(111) with one step, and Pd(111) with two steps. Following the experiments, the surfaces are precovered with oxygen forming a  $2 \times 2$  structure. The oxygen precovered surfaces are exposed to a hydrogen pressure of  $p_{\text{H}_2} = 1.3 \times 10^{-5}$  Pa, whereas all other pressures ( $p_{\text{O}_2}, p_{\text{H}_2\text{O}}, p_{\text{H}_2\text{O}_2}$ ) are set to  $10^{-30}$  Pa. The kMC simulation is terminated if either all adsorbed oxygen atoms are transformed into water or  $t_{\text{sim}} \geq 30$  min.

Figure 4 shows the number of formed H<sub>2</sub>O molecules as a function of temperature. For the pristine Pd(111) surface, no formation

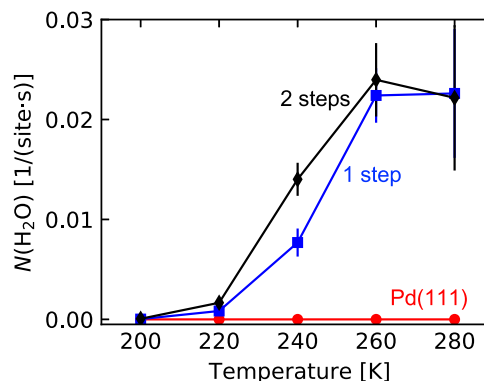


FIG. 4. Temperature dependent number of formed water molecules over Pd(111) (red), one step (blue), and two steps (black) per site over a precovered oxygen surface.  $p_{\text{H}_2} = 1.3 \cdot 10^{-5}$  Pa.

of H<sub>2</sub>O is observed. Water is instead formed over the stepped surfaces. The calculated onset temperature for H<sub>2</sub>O formation is about 220 K, which is in good agreement with the experiments.<sup>23</sup> The rate of the reaction is also in good agreement with the experiments, as shown in the Appendix.

Analyzing the path for water formation, OH\* can only form via O\* + H\* under these conditions. We find that OH\* is preferably formed at the steps, which is linked to the lower barrier for OH\* formation on these sites (see Table S1 of the supplementary material). Because of the stronger adsorption energy of OH\* on the step as compared to the Pd(111) terrace, OH\* accumulates and diffuses along the step and H<sub>2</sub>O is formed via the OH\* + OH\* pathway. The preferred reaction path agrees with the interpretation of the STM measurements in Ref. 23.

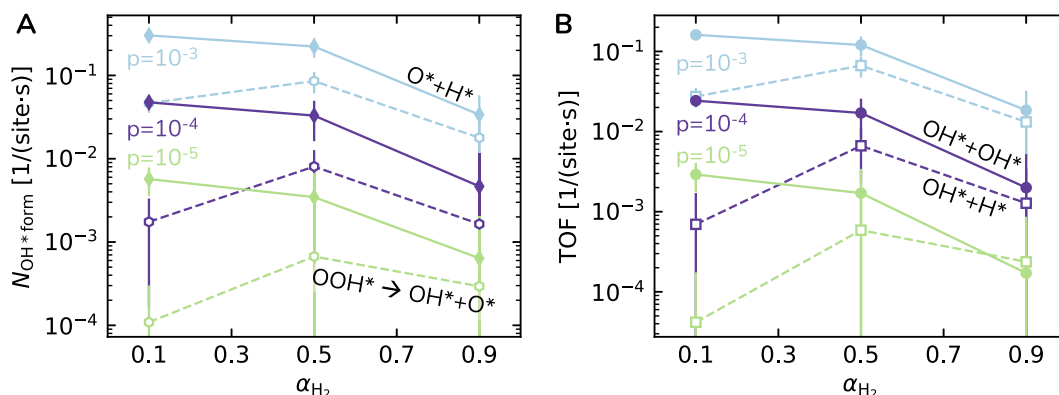
### Partial pressure dependence

Having established the computational approach by the detailed comparison with surface science experiments, we turn to the complete water formation cycle, which includes O<sub>2</sub> adsorption from the gas phase. In this part, we are comparing the influence of total pressure  $p_{\text{tot}}$  and partial pressure of hydrogen and oxygen on the H<sub>2</sub>O formation at 300 K. The simulations are performed as a function of the relative hydrogen concentration  $\alpha_{\text{H}_2}$ ,

$$\alpha_{\text{H}_2} = \frac{p_{\text{H}_2}}{p_{\text{H}_2} + p_{\text{O}_2}}. \quad (7)$$

We chose  $\alpha_{\text{H}_2} = 0.1, 0.5, 0.9$  to investigate conditions with hydrogen deficiency and excess, respectively. The total pressures are chosen to be  $p_{\text{tot}} = 10^{-5}, 10^{-4}$ , and  $10^{-3}$  Pa, respectively. The pressures are chosen to avoid both Pd oxide and hydride formation.<sup>30</sup> For each set of parameters, we run 32 independent kMC simulations, initialized with an empty surface. Statistics are collected after an equilibration period of  $10^5$  kMC steps. In the following, we discuss OH\* and H<sub>2</sub>O formation focusing on the surface with two steps. A similar discussion is presented in Fig. S4 for the pristine surface in the supplementary material.

Because OH\* formation is rate limiting, we analyze in Fig. 5(a) the contribution of the two paths for OH\* formation. OH\* can



**FIG. 5.** Water formation over a stepped Pd(111) surface (5% step sites). (a) Contributions to the  $OH^*$  formation via the  $O^* + H^*$  (solid lines) and  $OOH^*$  splitting paths (dashed lines). (b) Contributions to water formation via the  $OH^* + OH^*$  (solid lines) and  $OH^* + H^*$  paths (dashed lines). Reaction conditions are  $T = 300$  K and total pressures of  $p_{\text{tot}} = 10^{-3}$ ,  $10^{-4}$ , and  $10^{-5}$  Pa, respectively (light blue, violet, and green) for different  $p_{H_2} : p_{O_2}$  ratios.

under the simulated conditions be formed either by  $O^* + H^*$  association or by  $OOH^*$  dissociation into  $OH^* + O^*$ . The  $O^* + H^*$  path is dominating for all pressures and  $\alpha_{H_2}$  values. At  $H_2$  deficient conditions, the contribution from the  $O^* + H^*$  path is about one magnitude larger than the  $OOH^*$  path. The difference reduces as the  $H_2$  concentration increases, which is an effect of competition between  $O_2^*$  dissociation and  $OOH^*$  formation. The overall  $OH^*$  formation is largest for  $10^{-3}$  Pa and lowest for  $10^{-5}$  Pa. Although  $OOH^*$  is formed, we do not observe  $H_2O_2$  formation, which is in agreement with previous mean-field simulations over Pd(111).<sup>30</sup> The coverages for the total pressure of  $10^{-3}$  Pa are reported in Fig. S3. The surface coverage is dominated by either  $O^*$  or  $H^*$  depending on  $\alpha_{H_2}$ . The total coverage is at all mixtures below 55%.

The water formation is shown in Fig. 5(b). Water is formed by either  $OH^* + OH^*$  or  $OH^* + H^*$ . For low  $\alpha_{H_2}$  values (hydrogen deficiency), the  $OH^* + OH^*$  path is dominating. However, the importance of the  $OH^* + H^*$  path increases with increasing hydrogen concentration. The reason for the larger  $OH^* + H^*$  contribution in hydrogen excess is that the probability of adsorbed  $OH^*$  at the step close to a neighboring  $H^*$  at the terrace increases with  $H^*$  coverage. Higher total gas-phase pressures result in higher  $H_2O$  formation rates.

Comparing the results for  $OH^*$  and  $H_2O$  formation, we notice that the  $H_2O$  formation from  $OH^* + OH^*$  closely follows the  $OH^*$  formation from  $O^* + H^*$ . This is the consequence of that  $OH^*$

formation is the rate limiting step and preferentially formed at steps. The barrier for  $O^* + H^*$  is 0.26 eV at the step, whereas the barrier for  $OH^* + OH^*$  is 0.24 eV. Experimentally, apparent activation energies and prefactors for  $OH^*$  formation have been measured and some examples are given in Table I. The apparent activation energies vary in the range of 0.3–0.82 eV, and the prefactors vary between  $10^7$  and  $10^{13}$ . Generally, a low apparent activation energy is combined with a low prefactor. At 300 K, our calculated prefactors for  $O^* + H^*$  are  $8 \times 10^{12}$  for (111) and  $6 \times 10^{12}$  for the step, respectively. As the prefactor is determined by the vibrational partition functions, they are similar despite very different reaction barriers, which are 0.98 eV for Pd(111) and 0.26 eV for Pd(211).

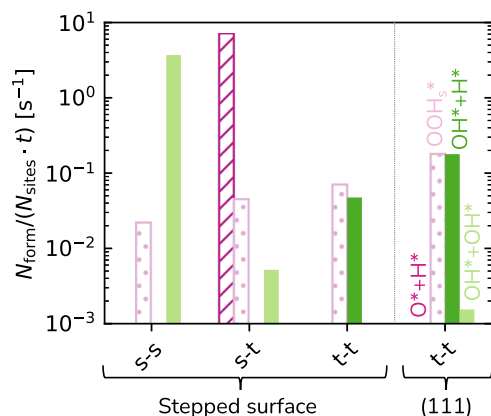
The simulations reveal a possible reason for the large range of apparent activation energies reported in the literature.<sup>21–24</sup> The simulations show that two paths contribute to  $OH^*$  formation and that the relative importance changes with reaction conditions.

After elucidating the main reaction paths, we are analyzing the contributions from the different types of sites. In Fig. 6, we show the site contributions for  $OH^*$  and the  $H_2O$  formation, respectively. The results for the other  $H_2$  concentrations are presented in Fig. S5 of the supplementary material. In general, the  $O^* + H^*$  and  $OH^* + H^*$  paths have only two possible site combinations: step–terrace and terrace–terrace, respectively. The step–step combination does not occur as  $H^*$  does not bind on the step but to terrace sites adjacent to step sites. The step–step combination is possible for the  $OOH^*$  dissociation and  $OH^* + OH^*$  water formation.

Over the pristine surface,  $OH^*$  is formed exclusively via  $OOH^*$  dissociation to  $OH^* + O^*$ .  $H_2O$  is formed mainly from  $OH^* + H^*$  with some contributions from  $OH^* + OH^*$ . At the stepped surface,  $OH^*$  formation via  $OOH^*$  dissociation occurs at all site combinations.  $OH^*$  formation from  $O^* + H^*$  is, instead, exclusively taking place at step–terrace sites.  $H_2O$  is dominantly formed at the step from  $OH^* + OH^*$ . For the surface with steps, the  $OH^* + H^*$  path at the terrace sites contributes similarly to the  $H_2O$  formation as over the pristine Pd(111) surface. The results highlight the importance of steps in the  $H_2O$  formation and show how the presence of different sites opens alternative reaction paths.

**TABLE I.** Experimentally measured apparent activation energies for OH formation in eV and prefactors in  $s^{-1}$ .

| $E_a$       | $A$                   | References  |
|-------------|-----------------------|---|
| 0.62        | $10^{10}$             | Measured over a polycrystalline Pd foil <sup>24</sup> |
| 0.62–0.82   | $10^{10}$ – $10^{13}$ | Measured on a Pd-MOS device <sup>22</sup>             |
| 0.3         | $6 \times 10^7$       | Molecular beam study over Pd(111) <sup>21</sup>       |
| $\leq 0.42$ |                       | Oxygen precovered stepped Pd surface <sup>23</sup>    |



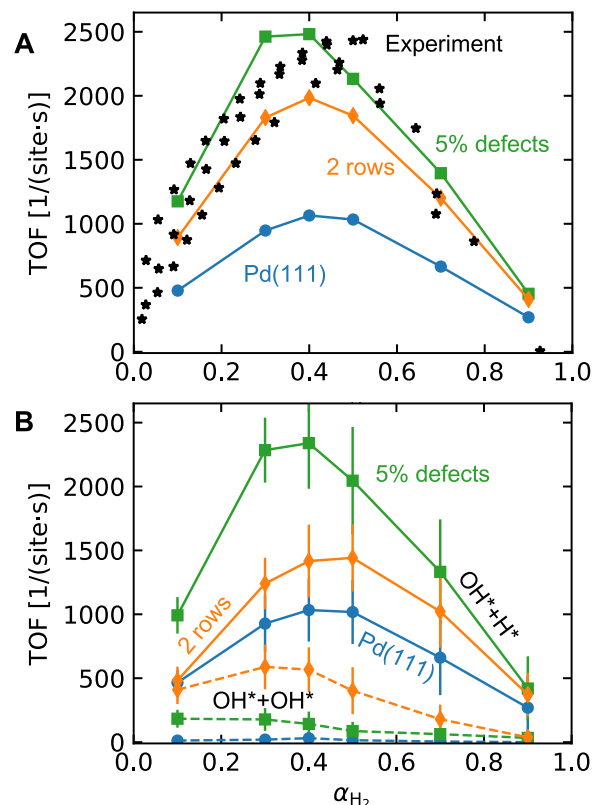
**FIG. 6.** Number of formed  $\text{OH}^*$  and  $\text{H}_2\text{O}$  molecules per site type on Pd(111) with two steps (left) and pristine Pd(111) (right). Dark, striped pink:  $\text{O}^* + \text{H}^*$ , dotted pink:  $\text{OOH}^*$  splitting, dark green:  $\text{OH}^* + \text{H}^*$ , and light green:  $\text{OH}^* + \text{OH}^*$ . The step and terrace sites are denoted as s and t, respectively.  $T = 300\text{ K}$ ,  $p_{\text{tot}} = 10^{-3}\text{ Pa}$ , and  $\alpha_{\text{H}_2} = 0.1$ .

## High temperature

To evaluate how the main reaction mechanism depends on reaction conditions, we also consider water formation at high temperature and medium pressure. The reaction conditions are chosen to compare with the experiments of Johansson *et al.*<sup>24</sup> where the reaction was studied at a total pressure of 26 Pa and a temperature of 1300 K. The reaction was studied over a polycrystalline Pd foil by Laser Induced Fluorescence (LIF) and microcalorimetry in Ref. 24. Here, we simulate the reaction using the same surface cell size as for the low temperature cases and the double stepped surface. Additionally, we also investigate the case of 5% defect sites. The high temperature probably leads to a continuous reconstruction of the surface in the experiments. Although the simulations do not account explicitly for the surface dynamics, the presence of different types of sites effectively models a rough surface.

The dissociation of adsorbed  $\text{O}_2^*$  is very fast at 1300 K, which implies that molecular oxygen is not present on the surface. Thus,  $\text{OOH}^*$  and subsequent  $\text{H}_2\text{O}_2$  formation can be neglected, and we have therefore omitted reactions 4–7 and 11 (see Table S1 of the supplementary material) in the high-temperature simulations. The validation of this assumption is shown in Fig. S7 of the supplementary material. In Ref. 24, OH radicals were measured in the gas phase over the foil at low  $\alpha_{\text{H}_2}$ . We have not included these desorption and re-adsorption steps that appear at low  $\alpha_{\text{H}_2}$ .

Figure 7(a) shows the TOF for the pristine surface (blue), the surface with two steps (orange), and a surface with 5% defective sites (green). The defective surface exhibits the highest TOF, and the pristine surface exhibits the lowest TOF. In all three cases, the TOF has a maximum at about  $\alpha_{\text{H}_2} = 0.4$ . The maximum is not at  $\alpha_{\text{H}_2} = 0.5$ , which emphasizes that the reaction is dominated by a reaction step requiring a  $\text{H}_2:\text{O}_2 = 1:1$  ratio (the  $\text{OH}^*$  formation) rather than reaction steps with a 2:1 stoichiometry.<sup>24</sup> To compare directly to the experiments of Johansson *et al.*,<sup>24</sup> we include the experimental results from Fig. 4<sup>24</sup> measured at 200 SCCM. The simulated results for both the stepped and defective surfaces show very



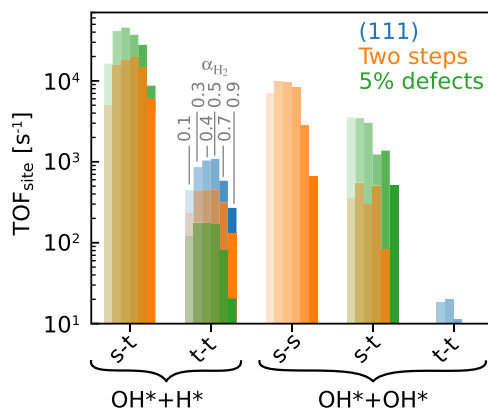
**FIG. 7.** (a) Total water turn-over frequency (TOF) for  $T = 1300\text{ K}$  and  $p_{\text{tot}} = 26\text{ Pa}$  and three different surfaces: blue: Pd(111), orange: Pd(111) with two steps and green: Pd(111) with 5% defect sites. (b) Contributions to the water formation from the  $\text{OH}^* + \text{H}^*$  path (solid lines) and  $\text{OH}^* + \text{OH}^*$  path (dashed lines) as shown in panel (a).

good quantitative agreement with the measurements within a 10% error margin.

To deconvolute the different contributions to the TOF, Fig. 7(b) shows the  $\text{H}_2\text{O}$  formation for the  $\text{OH}^* + \text{H}^*$  and  $\text{OH}^* + \text{OH}^*$  paths. The  $\text{OH}^* + \text{H}^*$  path dominates for all surfaces, and the  $\text{OH}^* + \text{OH}^*$  path is only relevant for the surface with two steps at  $\alpha_{\text{H}_2}$  lower than 0.5. The  $\text{OH}^* + \text{OH}^*$  path is relevant only at low  $\alpha_{\text{H}_2}$  because of the low coverage of  $\text{H}^*$ .

The sites contribute uniquely to the water formation. The number of formed  $\text{H}_2\text{O}$  molecules per surface site types for the different possible site combinations is presented in Fig. 8. Note that the TOF in Fig. 8 is per surface site type, which means that the sum of the different contributions is not directly comparable with the value in Fig. 7. We find that, in general,  $\alpha_{\text{H}_2}$  does not affect which sites dominate water formation. Over pristine Pd(111), we find that only the  $\text{OH}^* + \text{H}^*$  reaction path contributes to water formation.  $\text{OH}^*$  is at high temperatures formed via  $\text{O}^* + \text{H}^*$ , which is in contrast to the low temperature case where  $\text{OH}^*$  is formed via  $\text{OOH}^*$  dissociation. For the stepped and defective surfaces,  $\text{H}_2\text{O}$  is formed mainly over step sites. The surface with two steps is the only surface that has water formation from  $\text{OH}^* + \text{OH}^*$ , where both  $\text{OH}^*$  are adsorbed at step sites. This result shows that the presence of surface

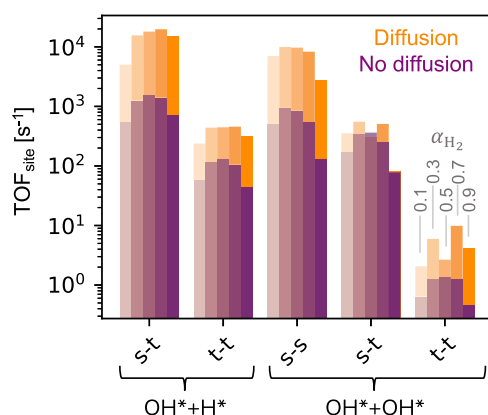




**FIG. 8.** Turn-over frequency (TOF) per site type for  $T = 1300$  K and  $p_{\text{tot}} = 26$  Pa for the  $\text{OH}^* + \text{H}^*$  path and  $\text{OH}^* + \text{OH}^*$  path, respectively, and the involved surface sites. Step and terrace sites are denoted as s and t, respectively. The colored bars give the contributions for two surface steps per unit cell (orange), the (111) surface (blue), and 5% defect sites (green).

steps enhances the reaction by opening up a favorable reaction path. The contribution from the  $\text{OH}^* + \text{OH}^*$  path over step sites reduces as a function of hydrogen concentration as the hydrogen coverage increases. On the defective surface, the step-terrace sites dominate the water formation via the  $\text{OH}^* + \text{H}^*$  path. The contribution from water formation over only terrace sites (t-t) is small for the defective surfaces.

The site-specific contributions to the TOF show that reactions including different types of sites are important. Moreover, the diffusion of intermediates between different sites has a marked influence on the TOF. The influence of diffusion on the water formation reaction is analyzed in Fig. 9, which shows the number of formed  $\text{H}_2\text{O}$  molecules for the case with Pd(111) with two steps with (orange) and without (violet) diffusion for different  $\alpha_{\text{H}_2}$ . The simulations without diffusion are performed by removing the diffusion events in the



**FIG. 9.** Turn-over frequency per site type for  $T = 1300$  K and  $p_{\text{tot}} = 26$  Pa for Pd(111) with two steps for the case when diffusion is allowed (orange) and for the case without diffusion (violet) for different  $\alpha_{\text{H}_2}$ . Step and terrace sites are denoted as s and t, respectively.

reaction network. The comparison shows that the diffusion has a major impact on the overall number of formed water molecules as the TOF is increased by one order of magnitude including diffusion. The importance of the diffusion is present for all gas-phase compositions, and both the  $\text{OH}^* + \text{H}^*$  and  $\text{OH}^* + \text{OH}^*$  reactions are affected.

## CONCLUSIONS

Using a first-principles-based kinetic Monte Carlo approach, we have investigated water formation from  $\text{H}_2$  and  $\text{O}_2$  over metallic Pd surfaces including reaction paths that are relevant for both  $\text{H}_2\text{O}$  and  $\text{H}_2\text{O}_2$  formation. The reaction has been studied over pristine Pd(111) as well as surfaces with steps or defects at low and high temperatures and different gas-phase compositions. The simulated TOFs agree quantitatively with previous experiments for both low and high temperature conditions.

We find that surface steps dominate  $\text{H}_2\text{O}$  formation and that pristine Pd(111) is inactive at low temperatures. The mere presence of sites with step-like properties enhances the activity as homogeneously distributed point defects yield a TOF that is similar to a stepped surface. The main reaction path changes with temperature and gas-phase composition. At low temperatures,  $\text{OH}^*$  formation proceeds through either  $\text{OOH}^*$  dissociation or  $\text{O}^* + \text{H}^*$  association.  $\text{H}_2\text{O}$  is formed subsequently through both the  $\text{OH}^* + \text{OH}^*$  and the  $\text{OH}^* + \text{H}$  path. At high temperatures,  $\text{OH}^*$  is exclusively formed through  $\text{O}^* + \text{H}^*$  association, whereas both reaction paths for water formation ( $\text{OH}^* + \text{OH}^*$  and  $\text{OH}^* + \text{H}$ ) contribute to the TOF. We find that the possibility of diffusion between the different types of sites enhances the activity.

The present work demonstrates the robustness of first-principles-based kinetic models with atomistic resolution. In general, detailed understanding of dominant reaction paths and identification of active sites is crucial to analyze experimental activity and selectivity and provides guidelines to increase the performance of existing catalysts.

## SUPPLEMENTARY MATERIAL

See the [supplementary material](#) for reaction energies and barriers, considered structures in the DFT calculations, reaction path contributions to  $\text{OH}^*$  and water formation at low temperatures, pressure and reactant composition effects on site contributions at low temperatures, surface coverages at low temperatures, and reaction path contribution to  $\text{OH}^*$  formation at high temperature.

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## AUTHOR DECLARATIONS

## Conflict of Interest

The authors do not have any conflicts of interest.

## DATA AVAILABILITY

The data that support the findings of this study are available within the article and its [supplementary material](#).

## APPENDIX: ANALYSIS OF EXPERIMENTAL RATES

Mitsui *et al.*<sup>23</sup> investigated two Pd(111) terraces separated by a monoatomic step. The surface was first exposed to O<sub>2</sub> at high enough temperatures to form a (2 × 2) oxygen overlayer. Using a STM, the initial surface area covered with oxygen (*A*<sub>init</sub>) was measured to be 2130 and 900 nm<sup>2</sup> for the two terraces, respectively. After 20 min of hydrogen exposure, the final surface area covered with oxygen (*A*<sub>final</sub>) was measured to be 1840 and 605 nm<sup>2</sup> for the two terraces, respectively. Given that the (2 × 2) structure contains four oxygen atoms and corresponds to an area of 0.26 nm<sup>2</sup> (*A*<sub>Pd2x2</sub>), the number of adsorbed oxygen atoms can be calculated. Assuming that all reacted oxygen atoms (*N*<sub>O</sub><sup>react</sup>) form water, the number of formed water molecules per second (*n*<sub>H<sub>2</sub>O</sub><sup>form</sup>) can be calculated,

$$N_{\text{O}}^{\text{react}} = \frac{A_{\text{init}} - A_{\text{final}}}{A_{\text{Pd2x2}}}, \quad (\text{A1})$$

$$n_{\text{H}_2\text{O}}^{\text{form}} = \frac{N_{\text{O}}^{\text{react}}}{\Delta t}. \quad (\text{A2})$$

Analyzing the STM data, *n*<sub>H<sub>2</sub>O</sub><sup>form</sup> is calculated to be 0.92 and 0.94 1/s for the two terraces at 223 K. We calculate the number of reacted oxygen atoms per second and site from the pre-adsorbed oxygen atoms at 220 K to be 0.67 (0.66) 1/s for the surface with one (two) step.

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