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Nonrelativistic Conformal Invariance in Mesoscopic Two-Dimensional Fermi Gases

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Two-dimensional Fermi gases with universal short-range interactions are known to exhibit a quantum anomaly, where a classical scale and conformal invariance is broken by quantum effects at strong coupling. We argue that in a quasi two-dimensional geometry, a conformal window remains at weak interactions. Using degenerate perturbation theory, we verify the conformal symmetry by computing the energy spectrum of mesoscopic particle ensembles in a harmonic trap, which separates into conformal towers formed by so-called primary states and their center-of-mass and breathing-mode excitations, the latter having excitation energies at precisely twice the harmonic oscillator energy. In addition, using Metropolis importance sampling, we compute the hyperradial distribution function of the many-body wave functions, which are predicted by the conformal symmetry in closed analytical form. The weakly interacting Fermi gas constitutes a system where the nonrelativistic conformal symmetry can be revealed using elementary methods, and our results are testable in current experiments on mesoscopic Fermi gases.

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Scale invariance arises in many areas of condensed matter physics, for example, near second order phase transitions [1,2]. For certain interacting many-body systems, a scale symmetry may even exist generically without fine-tuning to a transition point [3–5]. The prominent example of this is the unitary Fermi gas in atomic physics [6], a nonrelativistic system for which a rescaling of time and position coordinates by $(t, \mathbf{x}) \rightarrow (\lambda^2 t, \lambda \mathbf{x})$ leaves the action invariant, changing the Hamiltonian by $H \rightarrow H/\lambda^2$; the symmetry implies, for example, a homogeneous equation of state [7,8] and a vanishing bulk viscosity [9,10]. Even in a harmonic trap—a generic confining potential that explicitly breaks scale invariance—the properties of the gas are still constrained. This follows since scale invariance implies an additional symmetry under special conformal transformations $(t, \mathbf{x}) \rightarrow (t, \mathbf{x})/(1 + \lambda t)$ [11,12], the generator C of which takes the same form as a harmonic oscillator potential. Hence, the Hamiltonian H_ω of a trapped system is part of a nonrelativistic conformal symmetry algebra formed by H , C , and the generator of dilatations, D . [Formally, $D = -iX\nabla_X$ and $C = X^2/2$ with $X = (\mathbf{r}_1, \mathbf{r}_2, \dots)$ a vector of particle coordinates, such that $H_\omega = H + m\omega^2 C$ with m the particle mass and ω the trap frequency.] The conformal symmetry then implies a one-to-one correspondence between free-space eigenstates at zero

energy and certain states in a harmonic trap called primary states [13,14]. This mapping is now applied beyond cold atom physics to describe nuclear reactions in a conformal window [15,16]. The symmetry also generates the spectrum of remaining nonprimary states through the ladder operator [3,13,17,18]

$$L^\dagger = -iD + \frac{H_\omega}{\hbar\omega} - \frac{C}{\ell_{\text{ho}}^2}, \quad (1)$$

where $\ell_{\text{ho}} = \sqrt{\hbar/m\omega}$ is the oscillator length, which when acting on a primary state generates a breathing mode with excitation energy $2\hbar\omega$. The microscopic origin of this precise level spacing is linked to a separability of the many-body wave function into a hyperradial part that depends only on the modulus of the vector X [3,13].

A special situation arises for atoms confined in two dimensions, which are described by the Hamiltonian

$$H_\omega = \sum_{i\sigma} \left(-\frac{1}{2} \nabla_{i\sigma}^2 + \frac{r_{i\sigma}^2}{2} \right) + g \sum_{ij} \delta^{(2)}(\mathbf{r}_{i\uparrow} - \mathbf{r}_{j\downarrow}), \quad (2)$$

here written for two-component fermions with spin projection $\sigma = \uparrow, \downarrow$. Throughout the Letter, we use dimensionless units with $\hbar\omega = 1$ and $\ell_{\text{ho}} = 1$. The last term describes a universal short-range interaction with dimensionless coupling strength g . Because of the homogeneity of the delta function, $\delta^{(2)}(\lambda\mathbf{r}) = \lambda^{-2}\delta^{(2)}(\mathbf{r})$, at first sight this Hamiltonian is scale invariant. However, a delta-function interaction in two dimensions is not well defined and requires renormalization, such that g is replaced

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by a scale-dependent “running” coupling $g(\kappa) = 2\pi/\ln(1/\kappa a_2)$ [5] that depends on a 2D scattering length a_2 and a characteristic wave number κ (for example, the Fermi momentum or the inverse thermal wavelength), which breaks scale invariance. This breaking of a classical symmetry by quantum fluctuations is known as a quantum anomaly [19,20]. However, in a quasi-2D geometry with particles in the lowest state of a transverse harmonic potential with oscillator length l_z , the scattering length $a_2 \sim l_z \exp[-\sqrt{\pi/2}(l_z/a)]$ is an exponentially small function of the 3D scattering length a in the generic situation where $0 < a \ll l_z$ [4–6,21]. Scaling violations are then negligible, and the gas is described by a constant (scale-invariant) interaction with strength $g = \sqrt{8\pi}a/l_z$ [4,6,22]. This is the generic situation in 2D Bose gases [23–27], and it corresponds to an easily accessed weak-interaction regime for 2D Fermi gases [28,29]. Hence, while much of the discussion for Fermi gases is focussed on the quantum anomaly at stronger interactions [30–39], there still exists a conformal window at small coupling.

In this Letter, we confirm and study the conformal invariance in a weakly interacting 2D Fermi gas. We focus on mesoscopic systems with a small particle number, which are in the quasi-2D regime, and describe the gas to leading linear order in the interaction strength g by means of (degenerate) perturbation theory. At this order, scale invariance is exact, with logarithmic corrections only entering at higher order: Indeed, experimental signatures of scale invariance breaking—such as a shift in the breathing mode frequency [19], logarithmic corrections to the rf spectrum [40], or a finite bulk viscosity [41–43]—only start at second order in the interaction parameter $g(\kappa)$. Moreover, on a formal level, the quantum anomaly is manifest in the commutator between D and H , which reads $[D, H] = 2iH + i\mathcal{I}/2\pi$ [19]. The operator \mathcal{I} violating scale invariance is the Tan contact that parametrizes universal short-range correlations [44–47], and its expectation value, too, starts at second order [22,48–50]. In addition, although corrections to scale invariance at higher orders are expected in principle, they can be quite small [51], and we expect the conformal window to extend beyond the range of validity of first order perturbation theory. To the best of our knowledge, this provides the only setup where the non-relativistic conformal symmetry can be verified exactly by elementary means in an interacting quantum system. Moreover, the results presented here should be observable in current experiments on interacting few-body 2D Fermi systems [52–56].

We begin with the Hamiltonian (2) in occupation-number representation

$$H_\omega = \sum_{j,\sigma} \epsilon_j c_{j\sigma}^\dagger c_{j\sigma} + g \sum_{ijkl} w_{ijkl} c_{i\uparrow}^\dagger c_{j\downarrow}^\dagger c_{k\downarrow} c_{l\uparrow}. \quad (3)$$

Here, $c_{j\sigma}^\dagger$ creates a fermion with spin projection $\sigma = \uparrow, \downarrow$ in a single-particle state $j = \{n_j, m_j\}$ with energy $\epsilon_j = 2n_j + |m_j| + 1$, where n_j is the radial quantum number and m_j the angular momentum projection. Moreover, $w_{ijkl} = \int d^2r \phi_i^* \phi_j^* \phi_k \phi_l$ is the overlap integral of harmonic oscillator wave functions, $\phi_j(z, \bar{z}) = \sqrt{n_j!/\pi(n_j + |m_j|)!} z^{m_j} e^{-z\bar{z}/2} L_{n_j}^{|m_j|}(\bar{z}z)$, where $L_n^{|m|}$ is the associated Laguerre polynomial and we use complex particle positions $z = x + iy$. Single-particle states with energy $\ell + 1$ are $\ell + 1$ -fold degenerate with angular momentum $m = -\ell, -\ell + 2, \dots, \ell$. Throughout the Letter, we consider N -particle configurations with an equal number of both spin types for even N , and one excess spin for odd N . Without interactions, the ground state is obtained by successively populating the lowest single-particle levels with particles of both spins. Unless there is a “magic” number of particles, for which all states at a given energy are all either fully occupied or empty (this is the case for $N = 2, 6, 12, 20, 30, 42, \dots$), the ground state is degenerate. So are all excited-state configurations, which have integer excitation energies and are obtained by populating higher single-particle levels. This degeneracy is lifted when interactions are taken into account. To leading order in degenerate perturbation theory, we collect all states $\{|\Psi_m\rangle\}$ with equal noninteracting energy, and diagonalize the Hamiltonian matrix [57,58],

$$H_{mn} = \langle \Psi_m | H_\omega | \Psi_n \rangle, \quad (4)$$

which gives the energy eigenvalues

$$E_N = E_N^{(0)} + E_N^{(1)}, \quad (5)$$

with $E_N^{(0)}$ the noninteracting energy and $E_N^{(1)} \sim \mathcal{O}(g)$ the interaction energy. We also determine the angular momentum projection M and the total spin eigenvalue $S(S + 1)$ for each state. Note that scale invariance at leading order in perturbation theory follows directly from the homogeneity of the delta potential in the matrix element (4). The second-order correction to the energy, by contrast, includes a divergent summation over internal states [57,58], leading to a cutoff dependence that violates scale symmetry.

We obtain a simple analytical result for the ground state energy,

$$E_{\text{gs},N}^{(1)} = \frac{g}{4\pi} E_{\text{gs},N}^{(0)} - \frac{g}{2\pi} S_{\text{gs},N}, \quad (6)$$

where $S_{\text{gs},N}$ is the total spin of the ground state, which is determined by the particles in the valence shell. The first term describes a mean-field shift, where the energy of occupied levels is changed by a factor $(1 + g/4\pi)$. The beyond-mean-field contribution in the second term is shown in Fig. 1. For repulsive interactions [Fig. 1(a)],

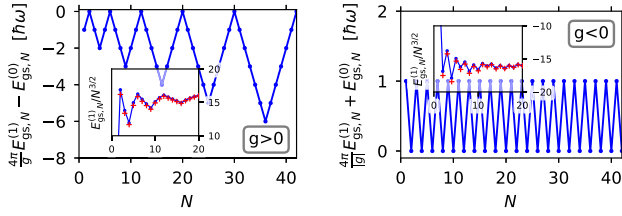


FIG. 1. Beyond-mean-field contribution to the ground state energy in a harmonic trap as a function of particle number for (a) repulsive and (b) attractive interactions. Inset: comparison with exact diagonalization results for $|g| = 0.3$ (red crosses, data from Ref. [62]).

the ground state has maximal total spin, corresponding to the largest possible number of unpaired fermions in the valence shell (the total spin is extremal for half-filled shells, $N = 4, 9, 16, 25, 36, 49, \dots$). This is an example of Hund's rule [59]. By contrast, for attractive interactions [Fig. 1(b)], the total spin of an even-parity configuration is zero, $S = 0$, while for an odd-parity configuration, it is $S = 1/2$. This is known as the parity effect [60,61], where odd-parity states have an excess energy compared to their even-parity neighbors. The inset of Fig. 1 compares the result (6) (blue lines) with numerical exact-diagonalization calculations (red crosses) for $|g| = 0.3$ [62], which are in good agreement, indicating that perturbation theory is valid at these interactions.

To discuss the excited state spectrum in a 2D harmonic trap, we introduce two spectrum-generating operators Q_{\pm}^{\dagger} in addition to the operator L^{\dagger} that generates the breathing mode. They create center-of-mass (c.m.) excitations and are defined as

$$Q_{+}^{\dagger} = \sum_{i\sigma} \left(-i\sqrt{2} \frac{\partial}{\partial \bar{z}_{i\sigma}} + i \frac{1}{\sqrt{2}} z_{i\sigma} \right), \quad (7)$$

$$Q_{-}^{\dagger} = \sum_{i\sigma} \left(-i\sqrt{2} \frac{\partial}{\partial z_{i\sigma}} + i \frac{1}{\sqrt{2}} \bar{z}_{i\sigma} \right). \quad (8)$$

They obey the nonzero commutation relations $[Q_{\pm}, Q_{\pm}^{\dagger}] = 2N$ and $[H, Q_{\pm}^{\dagger}] = Q_{\pm}^{\dagger}$, which are independent of the interaction potential and thus hold irrespective of scale invariance. Acting with Q_{\pm}^{\dagger} on an eigenstate with energy E and angular momentum M creates a state with $E + 1$ and $M \pm 1$. In general, however, breathing mode and c.m. excitations are not independent, which follows from the nonzero commutators $[L^{\dagger}, Q_{\pm}] = -2Q_{\mp}^{\dagger}$ and $[L, Q_{\pm}^{\dagger}] = 2Q_{\mp}^{\dagger}$. This is also apparent in an occupation-number representation, where Q_{\pm}^{\dagger} are single-particle operators that transfer occupied states with energy ℓ and angular momentum m_{ℓ} to empty levels with $\ell + 1$ and $m_{\ell} \pm 1$. To leading order in perturbation theory, L^{\dagger} is also a single-particle operator that creates single-particle excitations by two

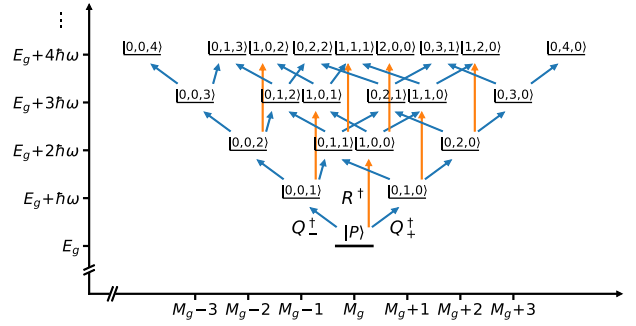


FIG. 2. Conformal tower of states created from a primary state $|P\rangle$, ordered by energy and angular momentum. Nonprimary states are c.m. excitations, which are created by the operators Q_{\pm}^{\dagger} (blue arrows) that increase the energy by $\hbar\omega$ and the angular momentum by ± 1 , and internal breathing mode excitations, which are created by R^{\dagger} (orange arrows) which increases the internal energy by $2\hbar\omega$ without changing the angular momentum.

without a change in angular momentum. States generated by L^{\dagger} and $Q_{+}^{\dagger}Q_{-}^{\dagger}$ thus have finite overlap. In order to disentangle breathing modes and c.m. excitations, following [3,13,63] we introduce the operator

$$R^{\dagger} = L^{\dagger} - \frac{1}{2N} (Q_{+}^{\dagger}Q_{-}^{\dagger} + Q_{-}^{\dagger}Q_{+}^{\dagger}), \quad (9)$$

which commutes with Q_{\pm}^{\dagger} since it only acts on an internal hyperradius $\tilde{R} = \sqrt{\sum_{i\sigma} |\mathbf{r}_{i\sigma} - \mathbf{C}|^2}$, with \mathbf{C} the c.m. position. R^{\dagger} thus generates *internal* breathing modes, again with excitation energy two (which follows from $[H, R^{\dagger}] = +2R^{\dagger}$). Repeated c.m. and breathing mode excitations then give the orthogonal set of excited states

$$|a, b, c\rangle_P = (R^{\dagger})^a (Q_{+}^{\dagger})^b (Q_{-}^{\dagger})^c |P\rangle, \quad (10)$$

where the so-called primary state $|P\rangle$ that forms the ground step is annihilated by R, Q_{+} , and Q_{-} . This is illustrated in Fig. 2. Denoting the energy and angular momentum of $|P\rangle$ by E_g and M_g , the excited state has energy $E_{a,b,c} = E_g + (2a + b + c)$ (with internal energy $E_{\text{int}} = E_g + 2a - 1$ and c.m. energy $E_{\text{c.m.}} = b + c + 1$) and angular momentum $M_{a,b,c} = M_g + (b - c)$. The total spin is conserved. Note that there is an infinite number of primary states, and primary and nonprimary states form a complete set of the Hilbert space. States within different conformal towers are disentangled by computing the expectation value of the Casimir operator

$$T = 4(T_3^2 - T_1^2 - T_2^2), \quad (11)$$

which is formed from the operators

$$\begin{aligned} T_1 &= \frac{1}{4} (R^{\dagger} + R), & T_2 &= \frac{1}{4i} (R^{\dagger} - R), \\ T_3 &= \frac{1}{2} H - \frac{1}{4N} (Q_{+}Q_{+}^{\dagger} + Q_{-}^{\dagger}Q_{-}), \end{aligned} \quad (12)$$

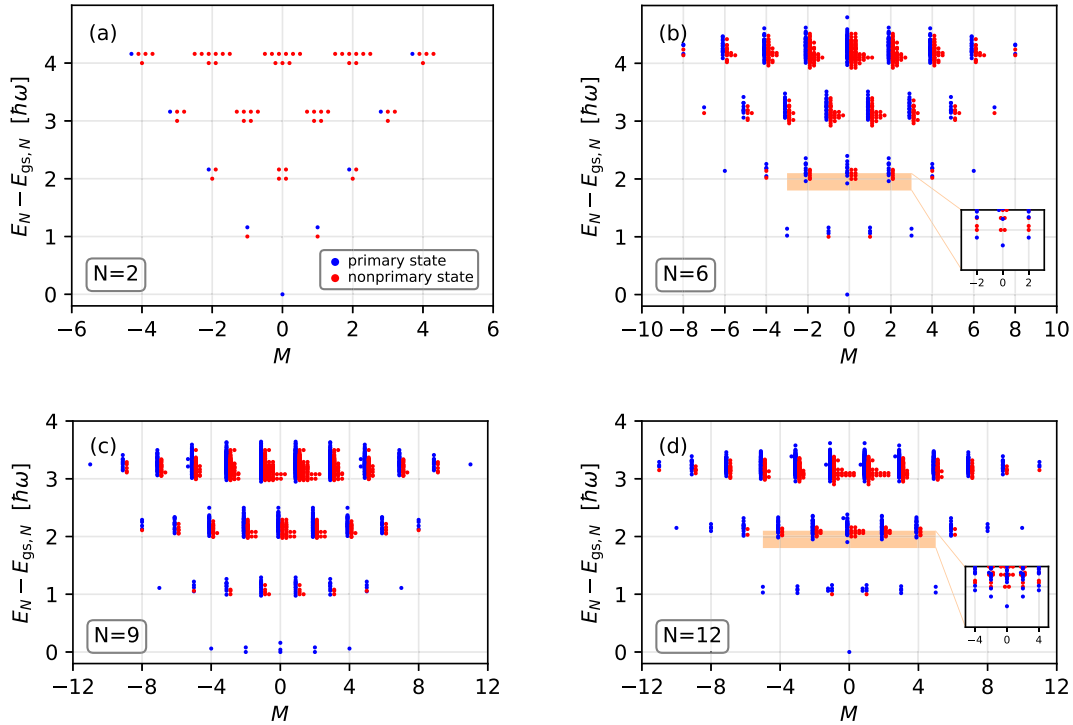


FIG. 3. Excitations energies for $N = 2, 6, 9,$ and 12 particles in a harmonic trap ordered by angular momentum for an attractive interaction $g = -1$. Blue points represent primary states and red points are nonprimary states (cf. Fig. 2). Overlapping points are moved horizontally for clarity. Insets: Magnified spectrum near the second excitation level.

that obey the nonrelativistic conformal $SO(2,1)$ symmetry algebra $[T_1, T_2] = -iT_3$, $[T_2, T_3] = iT_1$, and $[T_3, T_1] = iT_2$. The Casimir commutes with all symmetry operators and is thus constant within each conformal tower. Evaluated for a primary state, we have $\langle P|T|P \rangle = (E_g^{(0)} - 1)(E_g^{(0)} - 3)$, where at this order in perturbation theory $E_g^{(0)}$ denotes the noninteracting contribution to the ground step energy of a conformal tower.

Figure 3 shows the results for the excitation energies as obtained from degenerate perturbation theory for four particle numbers $N = 2, 6, 9,$ and 12 , grouped by angular momentum. Here, $N = 2, 6,$ and 12 are the first three magic numbers, and $N = 9$ has a half-filled valence orbital in the ground state. We choose an attractive interaction with strength $g = -1$, such that the lifting of the degeneracy of noninteracting states is clearly visible, yet states remain clustered around their noninteracting excitation energies. We identify primary states and the degree of excitation of nonprimary states by computing the first integers (a, b, c) for which an eigenstate is in the kernel of R^{a+1} , Q_+^{b+1} , and Q_-^{c+1} . In Fig. 3, we indicate primary states in blue and nonprimary states in red, where for clarity we do not differentiate different conformal towers [64]. Remaining degenerate states are offset horizontally. Note that while the structure of nonprimary states is entirely dictated by the nonrelativistic conformal symmetry [cf. Fig. 2], the positions of primary states follow from our numerical

calculations. In all our calculations, we verified the spectrum as predicted by the conformal symmetry. For a direct visual inspection, the spectrum is most apparent for $N = 2$, Fig. 3(a). Note that for $N = 6$ and 12 , the excitation energies of several primary states at the second level are reduced compared to the noninteracting value 2 [inset and shaded areas in Figs. 3(b) and 3(d)]. These states contain two excitations from the ground state by one energy level, and the reduction in energy is caused by attractive interactions within the excited shell. The excitation energy of such states was studied experimentally [54] and also using exact diagonalization [65], and our results for the lowest interaction shift $\Delta E_6 = -0.077|g| = -0.484E_B$ and $\Delta E_{12} = -0.097|g| = -0.608E_B$, where $E_B = |E_{gs, N=2}^{(0)}| = |g|/2\pi$ is the two-body bound state energy [cf. Eq. (6)], are in agreement. For ground states with partially filled shells (such as $N = 9$), a negative shift of the excitation energy exists already at the first level [cf. Fig. 3(c)].

As discussed in the introduction, the microscopic origin of the nonrelativistic conformal symmetry is a factorization of the many-body wave function [3,13]

$$\Psi(\mathbf{r}_{1\uparrow}, \dots, \mathbf{r}_{1\downarrow}, \dots) = \Psi_{\text{c.m.}}(\mathbf{C}) \frac{F(\tilde{\mathbf{R}})}{\tilde{R}^{N-2}} \phi(\mathbf{n}), \quad (13)$$

where $\Psi_{\text{c.m.}}(\mathbf{C})$ is the c.m. part (which factorizes for any Galilean-invariant interaction), $F(\tilde{\mathbf{R}})$ the internal

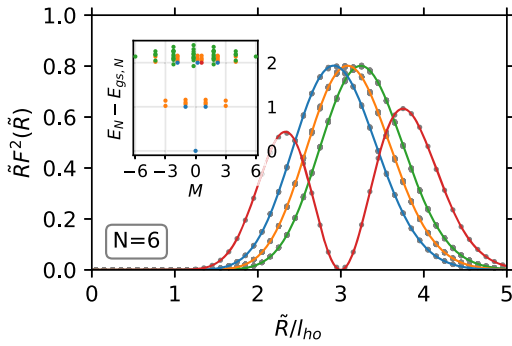


FIG. 4. Distribution of the internal hyperradius \tilde{R} for the 77 lowest eigenstates of $N = 6$ particles. Gray points are results of the Monte Carlo sampling of the wave function, and continuous lines show the analytical result (14). The inset shows the same energy spectrum as Fig. 3(b) with a color coding that matches the distribution.

hyperradial part, and $\phi(\mathbf{n})$ a hyperangular part that depends on the remaining internal coordinates $\mathbf{n} = (\mathbf{r}_{1\uparrow} - \mathbf{C}, \dots, \mathbf{r}_{1\downarrow} - \mathbf{C}, \dots)/\tilde{R}$. For a state $|a, b, c\rangle_p$, $F(\tilde{R})$ is determined by the identity $(R)^{a+1}|a, b, c\rangle_p = 0$:

$$F(\tilde{R}) = \sqrt{\frac{2a!}{\Gamma(s+a+1)}} \tilde{R}^s e^{-\tilde{R}^2/2} L_a^s(\tilde{R}^2), \quad (14)$$

where Γ is the Gamma function, L_a^s is an associated Laguerre polynomial, and s parametrizes the energy of the primary state as $E_g^{(0)} = s + 1$ [64]. Note that the internal hyperradial wavefunction only depends on the primary state energy $E_g^{(0)}$ and the number of internal breathing mode excitations a with excited states having multiple nodes. It does not depend on the angular momentum M or the number of c.m. excitations b and c , which do not affect the internal dynamics. An observable consequence of the separability is that $\tilde{R}F^2(\tilde{R})$ describes the distribution of the internal hyperradius \tilde{R} [3,66]. We confirm this result using Metropolis Monte Carlo sampling of the perturbative wave function $|\Psi_{a,b,c}(\mathbf{r}_{1\uparrow}, \dots, \mathbf{r}_{1\downarrow}, \dots)|^2$. Figure 4 shows the hyperradial distribution for the lowest 77 states of $N = 6$ particles (corresponding to the first two excitation levels), where points are numerical results and continuous lines are the analytical prediction (14). The inset in Fig. 4 shows the same spectrum as Fig. 3(b) with a revised color coding that matches the distribution function. As is apparent from the figure, states with an equal number of internal breathing mode excitations a that are derived from primary states at the same excitation level (i.e., with equal s) share the same hyperradial distribution. The hyperradial distribution should be observable experimentally by sampling the many-body wave function using recently developed single-atom imaging techniques [55,56], thus verifying the conformal symmetry on a microscopy level, with

deviations from our predictions (for example, at stronger interactions or for deformed or rotating traps) a signature of anomalous or explicit symmetry breaking. More broadly, the mesoscopic 2D Fermi gas constitutes an experimentally relevant toy model in which the conformal symmetry can be studied exactly using elementary techniques. In particular, this provides a new way to study conformal non-equilibrium dynamics [26,67–72].

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