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Observations of Field Current and Field Winding Temperature in Electrically Excited Synchronous Machines with Brushless Excitation

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Abstract – Electrically excited synchronous machines have become an alternative in electrification of transportations and renewable power generations. To reduce the extra effort in the maintenance of sliprings and brushes for field excitation, brushless excitation has been developed. However, when brushless excitation is adopted, the field winding becomes physically inaccessible when the machine is rotating. To solve this problem, an algorithm is proposed in this study to observe the field current and field winding temperature of an EESM with brushless excitation. The stator currents are measured and then used to correct the machine state predictor. The correction of the state prediction is interpreted to adjust the field winding resistance and temperature value. The algorithm is evaluated in simulations. The estimations of field current and field winding temperature track the measurements successfully.

Index Terms—Electric machine control, electrically excited synchronous machine

I. INTRODUCTION

 Electrically excited synchronous machines (EESM) have become an alternative to permanent magnet synchronous machines (PMSM) in electric vehicles (EV) [1] [2]. EESMs have been equipped in BMW i4 and BMW iX and have been used as a highly efficient magnet-free electric motor in MAHLE [3] [4] [5]. The prevalence of EESMs is mainly due to two reasons. One reason is that rare earth materials are not used in an EESM. This means that the materials needed to construct an EESM are easy to access and the technology to recycle the machine is mature [6] [7] [8]. The second reason is that the excitation level of an EESM is adjustable [9] [10] [11] [12] [13]. The adjustable excitation level means a higher field current can be applied at low speed to achieve a higher acceleration torque, while using the same machine at high speed, the field current can be reduced so that the copper and iron core losses can be reduced. In addition, with an adjustable field current, unity power factor can be realized at peak power, so that a higher output active power can be achieved.

Apart from the aforementioned advantages, introducing the technology of brushless excitation has made the application of EESMs more promising. With brushless excitation, the extract effort to clean the sliprings and brushes of the field excitation system are saved. In addition, the friction losses due to the contact of brushes and springs are eliminated. There are mainly two categories of brushless excitation, the inductive one used in [14] and the capacitive one implemented in [15]. In either of the technologies, there are two sides of the brushless power transfer system. The primary side is standing still with the stator while the secondary side is connected to the field winding in the rotor. In the inductive topology, a rotating transformer is employed, whereas in the capacitive topology, rotary plates are sandwiched between stationary plates with large surface and small airgap.

However, when brushless excitation is adopted, the field winding in the rotor becomes physically inaccessible when the machine is in operation. This leads to the problem of how to observe the field current for machine control and how to estimate the field winding temperature in case of overheat. A study of this topic is presented in [16]. An algorithm is proposed to observe the current and temperature of the field winding. The dc-link input current to the excitor is predicted using the same machine at high speed, the field current can be reduced so that the copper and iron core losses can be reduced. In addition, with an adjustable field current, unity power factor can be realized at peak power, so that a higher output active power can be achieved.

The aim of this study is therefore to investigate the possibility to observe the field current and field winding temperature using armature (stator) currents as the measurements to correct the estimation. In this study, a new type of observer is proposed to track the field current and field winding temperature. Electrical dynamics of an EESM
are modelled and the model is used to predict the currents in armature (stator) and field (rotor). The stator currents are measured and then compared to the predicted values of currents. The error in between is fed into a correction mechanism. A correction vector is then calculated to adjust the prediction. The correction vector is also interpreted to extract the error in field winding temperature estimation. The temperature estimation is adjusted accordingly to track the real temperature of the field winding.

In this article, firstly the electrical dynamics are modelled. Then based on the model, the current controller with decoupling of stator and field windings is introduced and the observer is presented. In the end, the performance of the observer is evaluated step by step in simulations.

II. MODELING OF EESM DYNAMICS

The electrical dynamics of an EESM describes the time-domain responses of d-axis, q-axis and field currents due to the applied d-axis, q-axis and field voltages across the electrical terminals of the machine. The speed of the machine is considered as given. The schematic diagram of EESM electrical dynamics is presented in Fig. 1.

A. Electrical Dynamics

The electrical dynamics of the machine can be described in matrix form as

\[
\mathbf{u} = \mathbf{Ri} + \omega \mathbf{\psi} + \frac{d\mathbf{\psi}}{dt} \tag{1}
\]

where \( \mathbf{u}, \mathbf{i} \) and \( \mathbf{\psi} \) are the vectors of voltages, currents and flux linkages in d-axis, q-axis and field

\[
\mathbf{u} = \begin{bmatrix} u_d \\ u_q \\ u_f \end{bmatrix}, \quad \mathbf{i} = \begin{bmatrix} i_d \\ i_q \\ i_f \end{bmatrix}, \quad \mathbf{\psi} = \begin{bmatrix} \psi_d \\ \psi_q \\ \psi_f \end{bmatrix}
\]

\( \mathbf{R} \) and \( \omega \) are the matrices of resistances and speed

\[
\mathbf{R} = \begin{bmatrix} R_s & 0 & 0 \\ 0 & R_q & 0 \\ 0 & 0 & R_f \end{bmatrix}, \quad \omega = \begin{bmatrix} 0 & -\omega_r \\ \omega_r & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix} \tag{3}
\]

The flux linkage vector \( \mathbf{\psi} \) can be further described as the multiplication of apparent inductance and current

\[
\mathbf{\psi} = \mathbf{L}\mathbf{i} \tag{4}
\]

where \( \mathbf{L} \) is the matrix of apparent inductances

\[
\mathbf{L} = \begin{bmatrix} L_{dd} & L_{dq} & L_{df} \\ L_{qd} & L_{qq} & L_{qf} \\ L_{fd} & L_{fq} & L_{ff} \end{bmatrix} \tag{5}
\]

The derivatives of flux linkages can be described as derivatives of currents

\[
\frac{d\mathbf{\psi}}{dt} = \mathbf{L}\frac{d\mathbf{i}}{dt} \tag{6}
\]

where \( \mathbf{L} \) is the matrix of incremental inductances

\[
\mathbf{l} = \begin{bmatrix} l_{dd} & l_{dq} & l_{df} \\ l_{qd} & l_{qq} & l_{qf} \\ l_{fd} & l_{fq} & l_{ff} \end{bmatrix} \tag{7}
\]

Using apparent and incremental inductances, (1) can be reformulated as

\[
\mathbf{u} = \mathbf{Ri} + \omega \mathbf{\psi} + \frac{d\mathbf{i}}{dt} \tag{8}
\]

B. State Space Form

The current derivatives can be derived from electrical dynamics in (8)

\[
\frac{d\mathbf{i}}{dt} = -\mathbf{l}^{-1}(\mathbf{R} + \omega \mathbf{L})\mathbf{i} + \mathbf{l}^{-1}\mathbf{u} \tag{9}
\]

This can be formulated into the state space form

\[
\frac{d\mathbf{i}}{dt} = \mathbf{Ai} + \mathbf{Bu} \tag{10}
\]

where \( \mathbf{A} \) is the dynamic matrix

\[
\mathbf{A} = -\mathbf{l}^{-1}(\mathbf{R} + \omega \mathbf{L}) \tag{11}
\]

and \( \mathbf{B} \) is the control matrix

\[
\mathbf{B} = \mathbf{l}^{-1} \tag{12}
\]

The d- and q-axis stator currents are measured. Hence the output equation can be formulated as

\[
\mathbf{y} = \mathbf{Ci} \tag{13}
\]

where \( \mathbf{C} \) is the sensor matrix

\[
\mathbf{y} = \begin{bmatrix} i_d \\ i_q \end{bmatrix}, \quad \mathbf{C} = \begin{bmatrix} 1 & 0 & 0 \end{bmatrix} \tag{14}
\]

C. Implementation

The current vector \( \mathbf{i} \) is obtained from an integration of current derivatives \( \frac{d\mathbf{i}}{dt} \), and the current derivatives are calculated from flux linkage derivatives \( \frac{d\mathbf{\psi}}{dt} \) as shown in Fig. 2. The flux linkage derivatives are calculated by taking the resistive voltage drop \( \mathbf{Ri} \) and induced voltage \( \omega \mathbf{\psi} \) away from the input terminal voltage \( \mathbf{u} \) as shown in Fig. 3.

1) Incremental Inductance Matrix

The matrix of incremental inductances is the Jacobian matrix of flux linkages

\[
\mathbf{l} = \frac{\partial \mathbf{\psi}}{\partial \mathbf{i}} = \begin{bmatrix} \frac{\partial \psi_d}{\partial i_d} & \frac{\partial \psi_d}{\partial i_q} & \frac{\partial \psi_d}{\partial i_f} \\ \frac{\partial \psi_q}{\partial i_d} & \frac{\partial \psi_q}{\partial i_q} & \frac{\partial \psi_q}{\partial i_f} \\ \frac{\partial \psi_f}{\partial i_d} & \frac{\partial \psi_f}{\partial i_q} & \frac{\partial \psi_f}{\partial i_f} \end{bmatrix} \tag{15}
\]

The flux linkages can be transformed from stator and rotor flux linkages calculated in finite element method (FEM)

\[
\mathbf{\psi} = \mathbf{T}_{abc-dq}\mathbf{\psi}_{abc} \tag{16}
\]

where

\[
\mathbf{T}_{abc-dq} = \begin{bmatrix} T_{ad} & T_{bd} & T_{cd} \\ T_{aq} & T_{bq} & T_{cq} \\ T_{af} & T_{bf} & T_{cf} \end{bmatrix}, \quad \mathbf{\psi}_{abc} = \begin{bmatrix} \psi_a \\ \psi_b \\ \psi_c \end{bmatrix} \tag{17}
\]

\( \mathbf{T}_{abc-dq} \) is the transform from abc-frame to dq-frame.
as independent from the inductance matrix in abc-frame

\[ L_{\text{self}} = \mathbf{I}_{\text{self}} \frac{d\mathbf{i}}{dt} + R\mathbf{i} = K_P i_{\text{err}} + K_I \int i_{\text{err}} dt \]  

(22)

where \( L_{\text{self}} \) is the self-inductance matrix

\[ L_{\text{self}} = \begin{bmatrix} l_{dd} & 0 & 0 \\ 0 & l_{qq} & 0 \\ 0 & 0 & l_{ff} \end{bmatrix} \]  

(23)

\( i_{\text{err}} \) is the error current to eliminate

\[ i_{\text{err}} = i_{\text{ref}} - i_{\text{msr}} \]  

(24)

\( K_P \) and \( K_I \) are matrices of PI coefficients

\[ K_P = \alpha_c L \, , \, K_I = \alpha_c R \]  

(25)

\( A_c \) is the matrix of control bandwidth

\[ A_c = \begin{bmatrix} \alpha_{cd} & 0 & 0 \\ 0 & \alpha_{cq} & 0 \\ 0 & 0 & \alpha_{cf} \end{bmatrix} \]  

(26)

The relation between the bandwidth \( A_c \) in rad/s and risetime \( t_r \) in s follows

\[ t_r = \ln\frac{9}{\alpha_c} \]  

(27)

To have a first-order response, the PI coefficients are set as

\[ k_P = \alpha_c \cdot L \, , \, k_I = \alpha_c \cdot R \]  

(28)

In \( u_{\text{mutual}} \), the d-axis voltage is decided by the q-axis and field current derivatives, the q-axis voltage is decided by the d-axis and field current derivatives and the field voltage is decided by the d-axis and q-axis current derivatives

\[ u_{\text{mutual}} = l_{\text{mutual}} \frac{di}{dt} \]  

(29)

where \( l_{\text{mutual}} \) is the mutual inductance matrix

\[ l_{\text{mutual}} = \begin{bmatrix} l_{dq} & l_{df} \\ l_{qd} & l_{qf} \\ l_{f1} & l_{qf} \end{bmatrix} \]  

(30)

The cross-coupling part \( u_{\text{cross}} \) is feedforward of the EMF induced in each winding

\[ u_{\text{cross}} = \omega \psi = \omega LI \]  

(31)

IV. OBSERVATION OF FIELD CURRENT AND TEMPERATURE

The idea of an observer is to have a machine model running in parallel with the real machine. The machine states, i.e. \( i_d \), \( i_q \) and \( i_f \), are predicted based on the machine model while the accessible outputs of the real machine, i.e. \( i_d \) and \( i_q \), are measured. Errors between the predictions and measurements of the accessible states are calculated. The errors are then fed back to correct the prediction. The schematic diagram of current estimation is shown in Fig. 4.

The correction vector is to tune the slope of current rise so that the corrected vector shows where the actual current should be. This correction implies the error of prediction due to the field winding resistance. Hence the information of how much and in which direction should field winding resistance be adjusted can be extracted. Since the estimation of winding temperature is based on current estimation, a machine thermal model is not needed. When the field winding temperature increases, the field current will decrease, and the winding temperature estimation will be adjusted accordingly.
where control discretization time step is measured stator currents. This mismatch is due to two reasons: (1) the discretization error of the dynamic system; (2) the error of field winding resistance in the prediction step.

The first error is at higher frequency since such error occurs at each discretization step. The second error is at lower frequency since the resistance change is due to temperature and temperature changes much slower than the discretization frequency. To separate them, a first-order infinite impulse response (IIR) low pass filter can be applied

\[ H(z) = \frac{1 - e^{-\omega_c T_{ctrl}}}{z - e^{-\omega_c T_{ctrl}}} \]

where \( \omega_c \) is the cutoff frequency.

After the low frequency component is extracted, the correction vector \( \mathbf{i}_{\text{crc}} \) can be used to calculate how much voltages across inductances are missing. The missing voltages is caused by error in resistance in prediction step

\[ \mathbf{U}_{\text{crc}}[k+1] = \mathbf{R}[k]i[k] \]

where \( \mathbf{R} \) is the estimation error of resistance. Thus the direction and amount of resistance tuning are implied. In this study, the tuning of resistance is proportional to the estimation error of resistance

\[ \Delta R_{\text{ctrl}}[k+1] = k_R \Delta R_{\text{ctrl}}[k] \]

where \( k_R \) is a proportional scaling factor. The estimated resistance is then used to calculate the winding temperature

\[ \Delta T_{\text{ctrl}}[k] = k_R R_{\text{ctrl}}[k] / \rho_{Cu} / R_{\phi100^\circ C} \]

V. PERFORMANCE EVALUATION

A. Machine and Converter

To evaluate the performance, the 8-pole 48-slot EESM designed in [18] is considered. The machine is designed with the assistance of Ansys Maxwell. The maximum current densities in stator and windings are 15 A/mm² and 10 A/mm². The distributions of flux density at no load and peak torque are shown in Fig. 5. The machine parameters are listed in TABLE I.

The flux linkages in d- and q-axis at different field excitation levels are shown in Fig. 6. As the field current increases, the patterns of \( \psi_f \) and \( \psi_d \) shift to the left gradually. The curved contours show clear evidence of cross-saturation, i.e., \( I_d \) affects the distribution of \( \psi_d \) and \( I_q \) affects that of \( \psi_f \). Apparent inductances \( L_{dq} \) and \( L_{dq} \) at zero dq currents are shown in Fig. 7 as field current increases. Saturation can be clearly observed.

There are two power electronic converters used in this study. A three-phase inverter delivers power to the stator winding while a dc-de H-bridge converter delivers power to the field winding. These two converters share the same dc-link voltage source. Since the focus of this study is the control aspect of the machine, the converters here are considered as ideal voltage sources without voltage drops across the switches or the transformer of the H-bridge converter. The switching actions of the converters are modeled as the averaged voltage level during the entire switching cycle. The parameters of the converters are listed in TABLE II. The voltage limit of the three-phase inverter is decided by considering only the linear modulation range. In this study, the machine model and control algorithm are implemented in Simulink.
**B. Field Current Observation**

Firstly, the observation of field current is evaluated to check if the correction mechanism is able to eliminate the prediction error due to the error in field winding temperature. Hence, temporarily, (1) the field winding temperature update in observer is deactivated, and (2) the measured currents, instead of the estimated currents, are used as the feedback to the current controller.

To evaluate the prediction and correction mechanism, the armature (stator) winding temperature in the observer is the real value, 100°C, whereas the field (rotor) winding temperature in the observer is set at 25°C while the real temperature is 100°C. A comparison between prediction only and prediction together with correction is made and presented in Fig. 8 and Fig. 9.

As can be noticed in Fig. 8, with the temperature error, the predictions of d-axis current and field current diverge from the measurements significantly, while the q-axis current does not. This is probably due to the strong magnetic coupling between the d-axis stator winding and field winding in the flux path. If one current is predicted incorrectly, so will the other. However, the mutual coupling between the d-axis and q-axis is much weaker. This is why the prediction of q-axis current is less affected. This also indicates that the prediction works, but it only works with little parameter error.

After the correction step in (37) is added, the performance is presented in Fig. 9. As can be noticed, the errors in predictions are eliminated and the estimations closely follow the measurements. This proves the effectiveness of the correction mechanism.

The trace of temperature adjustment value $\Delta T_f$ is presented in Fig. 10. Again, this trace is not fed to the observer to correct the resistance. It is only used to interpret the response of the adjustment mechanism. This factor $k_R$ is selected as 100 which is quite arbitrary in this case. The general rules to select a proper $k_R$ will be explained after the correction of resistance is activated. As can be seen, the temperature adjustment appears during the increase of field current. This shows that the temperature error is detected using (42)-(45). After the field current comes to steady state, the adjustment finishes.

**TABLE I**

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Symbol</th>
<th>Value</th>
<th>Unit</th>
</tr>
</thead>
<tbody>
<tr>
<td>lamination outer diameter</td>
<td>$d_{\text{outer}}$</td>
<td>270</td>
<td>mm</td>
</tr>
<tr>
<td>lamination stack length</td>
<td>$L_{\text{stack}}$</td>
<td>360</td>
<td>mm</td>
</tr>
<tr>
<td>peak torque</td>
<td>$T_{\text{em,max}}$</td>
<td>800</td>
<td>Nm</td>
</tr>
<tr>
<td>peak power</td>
<td>$P_{\text{em,max}}$</td>
<td>250</td>
<td>kW</td>
</tr>
<tr>
<td>maximum stator current amplitude</td>
<td>$I_{\text{amp,max}}$</td>
<td>450</td>
<td>A</td>
</tr>
<tr>
<td>maximum field current</td>
<td>$I_{\text{max}}$</td>
<td>7.854</td>
<td>A</td>
</tr>
<tr>
<td>stator resistance @ 100°C</td>
<td>$R_s$</td>
<td>19.55</td>
<td>mΩ</td>
</tr>
<tr>
<td>field resistance @ 100°C</td>
<td>$R_f$</td>
<td>54.71</td>
<td>Ω</td>
</tr>
<tr>
<td>d-axis self-inductance @ zero current</td>
<td>$L_{\text{dd,0}}$</td>
<td>1.3</td>
<td>mH</td>
</tr>
<tr>
<td>q-axis self-inductance @ zero current</td>
<td>$L_{\text{qq,0}}$</td>
<td>1.3</td>
<td>mH</td>
</tr>
<tr>
<td>field self-inductance @ zero current</td>
<td>$L_{\text{ff,0}}$</td>
<td>141</td>
<td>H</td>
</tr>
<tr>
<td>df mutual-inductance @ zero current</td>
<td>$L_{\text{df,0}}$</td>
<td>52</td>
<td>mH</td>
</tr>
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</table>

**TABLE II**

<table>
<thead>
<tr>
<th>Parameters</th>
<th>Symbol</th>
<th>Value</th>
<th>Unit</th>
</tr>
</thead>
<tbody>
<tr>
<td>dc-link voltage</td>
<td>$U_{\text{dc}}$</td>
<td>800</td>
<td>V</td>
</tr>
<tr>
<td>H-bridge converter transformer turns ratio</td>
<td>$\alpha$</td>
<td>1:1</td>
<td></td>
</tr>
<tr>
<td>three-phase inverter switching frequency</td>
<td>$f_{\text{sw,3p}}$</td>
<td>20</td>
<td>kHz</td>
</tr>
<tr>
<td>H-bridge inverter switching frequency</td>
<td>$f_{\text{sw,H}}$</td>
<td>100</td>
<td>kHz</td>
</tr>
</tbody>
</table>
C. **Field Winding Temperature Observation**

Now the temperature correction using (42)-(45) is used to update the resistance value in the observer. Minor difference can be noticed comparing response of current estimation in this scenario to Fig. 9, so the curves are not presented here. This indicates that the temperature update does not disturb the observation of currents. The temperature adjustment value is presented in Fig. 11 and the estimated temperature of field winding is presented in Fig. 12. Comparing Fig. 10 and Fig. 11, it can be found out that as the temperature is being corrected, the temperature adjustment value $\Delta T$ decreases swiftly. As a result, the temperature estimation tracks the real winding temperature in the machine as shown in Fig. 12. 90% of the estimation error is eliminated within 20 ms. The general rules to select a proper $k_f$ are that (1) it should be high enough to damp any possible spikes, especially in the beginning of the current rise; (2) it should be low enough to make sure that the correction of field winding resistance is significant enough so that it ends before the correction of field current finishes, as presented in Fig. 10, because in this algorithm, the correction of field winding resistance relies on the correction of field current. This indicates that with this algorithm, errors can only be corrected when current flows.

D. **Dynamic Current Control with Observer**

In the end, the estimated field current is fed back to the current controller input. Minor difference can be detected when comparing the current estimation performance to Fig. 9 and the temperature tracking performance to Fig. 12. This means that the observation of field current and temperature is successful in dynamic current control of the machine.
VI. CONCLUSIONS

In this study, an algorithm is proposed to observe the field current and field winding temperature of an EESM with brushless excitation. The current observation is constructed in two steps: prediction and correction. The correction step of Kalman filter is adopted as an example. The amount of correction calculated indicates the error in prediction step. This error is due to the incorrect field winding resistance value used in prediction. The correction value is then interpreted and used to adjust the field winding resistance. The adjustment of field winding resistance is then converted to the adjustment of field winding temperature. The algorithm is evaluated in simulations. The estimated field current follows the measurement closely and the tracking of field winding temperature works well. This shows the success of the observation of field current and field winding temperature in electrically excited synchronous machines with brushless excitation.

VII. REFERENCES


VIII. BIOGRAPHIES

Jufei Tang (S’16–M’22) received the B.Eng. degree in electrical engineering from Jiangsu University, Zhenjiang, China, in 2013, and the M.Sc and Ph.D degrees in electric power engineering from Chalmers University of Technology, Gothenburg, Sweden, in 2016 and 2021 respectively. He is a postdoctoral researcher in electric machines and power electronics in Chalmers University of Technology. Jufei Tang was acknowledged as a star reviewer for 2020 by the IEEE Power & Energy Society and the Editorial Board of the IEEE Transactions on Energy Conversion.

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Bowen Jiang (S’21) received the M.Sc. degree in automotive engineering from Chalmers University of Technology, Gothenburg, Sweden, in 2020. He is currently pursuing the Ph.D. degree in electrical engineering at Chalmers University of Technology, Gothenburg, Sweden. His current research interests include electric machine control and battery management system algorithms for electric vehicle applications.

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Yujing Liu (SM’12) received B.Sc., M.Sc. and Ph.D. degrees in electrical engineering from Harbin Institute of Technology, Harbin, China, in 1982, 1985, and 1988, respectively. In 1996-2013, he worked in ABB Corporate Research, Västerås, Sweden. Since 2013, he is a professor on electrical power engineering in Chalmers University of Technology, Gothenburg, Sweden. His interest includes research on motors, converters, and wireless charging for electric vehicles, generators and power electronics for tidal power conversion, and high efficiency machines for energy saving in industrial applications. Yujing Liu is a senior IEEE member and a member in Swedish Standard Committee on Electrical Machines.