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# Comparison of the low noise performance of GaN HEMTs and MIS-HEMTs at cryogenic temperatures

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**Abstract** — This work presents the comparison of the noise performance of AlGaIn/GaN MIS-HEMTs and HEMTs at cryogenic temperatures. Wideband noise measurements at a physical temperature of  $\sim 4$  K were performed in order to extract the noise characteristics of the devices, within the range of frequencies of 3 – 7 GHz. A DC and RF characterization of the devices are also presented to further assess their cryogenic performances. Over the measured frequency band, the results indicate that both technologies are able to present an average best noise temperature as low as 8 K. The MIS-HEMT presents a slight advantage at low bias condition, mainly due to its reduced gate capacitance. The presented results are the first report on the microwave low-noise performance of cryogenic GaN MIS-HEMT, and constitute their current state-of the art.

**Keywords** — AlGaIn/GaN, MIS-HEMT, cryogenic, LNA

## I. INTRODUCTION

The Gallium-Nitride (GaN)-based High Electron Mobility Transistors (HEMTs) combine a set of excellent microwave, high power and low-noise performances [1] [2] [3] [4], owing to the material's properties. Most recently, GaN-HEMTs featured competitive results in terms of low-noise operation at cryogenic temperatures ( $\leq 10$  K) [5] [6] [7]. These latter pave the way for their potential deployment in different applications requiring an ultimate level of sensitivity and reliability at such low temperatures, mainly including the space instrumentation and quantum computing. However, compared to their III-V and SiGe counterparts, the current state of the art of the GaN-HEMTs' noise performance still presents room for improvement especially for cryogenic operation. Also, the latter works were exclusively dealing with the cryogenic noise performance of GaN-based HEMTs with Schottky gate (SG). Among the limitations of the latter, as shown in [6] [8], the Schottky gate leakage contributes with a Shot noise which calls for the investigation of other technological alternatives. As one of those, GaN Metal-Insulator-Semiconductor (MIS)-HEMTs incorporate a thin dielectric layer between the gate metal and the semiconductor barrier. When compared to the conventional HEMTs, several reports in the literature attributed to the MIS structure a decrease of the gate leakage, an enhanced large-signal performance through improving the gate reliability, a larger gate voltage swing and a higher power-handling capability [9] [10] [11]. In terms of low-noise performance, the

contribution of the gate insulation to the design of low-noise amplifiers (LNAs) at room temperature was studied [12] [13].

However, to the best of the authors' knowledge, the microwave noise performance of GaN MIS-HEMTs at cryogenic temperatures have not yet been reported.

This paper presents therefore a comparative study of GaN-based MIS- and Schottky-HEMTs, focused on their microwave noise performances at cryogenic temperatures. The characteristics of the devices are measured at room temperature (RT) and the cryogenic temperature (CT) of  $\sim 4$  K. Through their analysis, the results provide further insights into the promising potential of the GaN technology for cryogenic low-noise applications and possible trade-offs allowing its future improvements.

## II. DEVICE STRUCTURE, MEASUREMENTS AND METHODS

The GaN-MIS-HEMTs and -HEMTs were fabricated on the same wafer, sharing the same epitaxial structure as shown in Fig. 1. Details about the processing of the structure and its characteristics were previously reported in [7]. The  $0.2 \mu\text{m}$  Au/Ni/Pt-gate was obtained by e-beam lithography and evaporation. Prior to the Schottky gate formation, the top passivation layer was dry etched using NF<sub>3</sub> by Inductive Coupled Plasma (ICP). In the case of the MIS-HEMT, a 7 nm nominally thick Si-rich SiN<sub>x</sub> layer was used as a gate dielectric by means of low-pressure chemical vapor deposition (LPCVD). The gate T-shape extends with  $0.2 \mu\text{m}$  field-plates towards both the source and drain. The gate-to-source and gate-to-drain distances were respectively  $0.75 \mu\text{m}$  and  $1.5 \mu\text{m}$ . The tested samples featured a  $2 \times 25 \mu\text{m}$  gate-width.

The DC and RF characterization of the devices was performed on-wafer in a cryogenic probe-station at RT and CT. The DC measurements were obtained using an Agilent B1500A semiconductor parameter analyzer. The S-parameters were measured from 0.2 to 40 GHz, using a Rhode & Schwartz ZVA67 Vector Network Analyzer (VNA). The noise measurements were performed at a physical temperature of  $\sim 4$  K within the range of frequencies of 3 – 7 GHz, using a cryogenic test setup based on the cryogenic attenuator method as described in [7]. The noise data were obtained using the Agilent MXA N9020A signal analyzer.

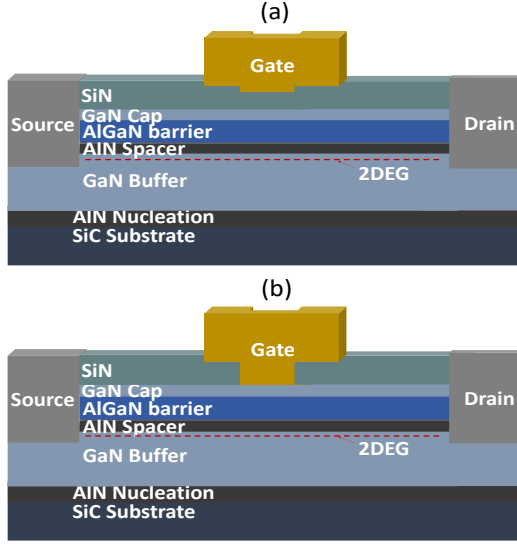


Fig. 1. Epitaxial structure of the tested sample: a) GaN-MIS-HEMT, b) -HEMT

The noise measurements and the extraction of the cryogenic noise model rely on the methods presented in [6] [7]. The minimum noise temperature,  $T_{\min}$ , extracted using the cryogenic noise test setup presents a standard uncertainty of  $\pm 0.78$  K.

### III. RESULTS AND DISCUSSION

The MIS-HEMT presented a maximum drain current,  $I_{DS}$ , at  $V_{GS} = 1$  V of 1229 and 1171 mA/mm at RT and CT. It compares to the HEMT by about 12 % and 3 % larger, at both RT and CT respectively. Comparing the variation with temperature of  $I_{DS}$ , unlike the HEMT, the saturation current of the MIS-HEMT at CT was observed to decrease even at fully opened-channel conditions. This is pointing towards the activation of a trapping effect at CT, most likely located underneath the gate as this is the only region which differs between the two samples. Such a cryogenic phenomenon was linked to the gate processing in [14], while similar behaviour was also observed in [6].

The threshold voltage at CT of the MIS-HEMT was around  $V_{GS} = -5$  V, shifted by about +0.4 V compared to RT. The HEMT's pinch off was at -2.2 V, with only +70 mV shift compared to RT. Both samples presented a similar on-resistance, extracted from the slope of the  $I_{DS}(V_{DS})$  curve, of around  $0.9 \Omega \cdot \text{mm}$ , improving by about 45 % compared to RT. The maximum transconductance,  $g_{m-\max}$ , increased upon cooling of both the MIS-HEMT and HEMT compared to RT by about 10 and 20 %. The transconductance of the MIS structure was reduced by  $\sim 40$  % compared to the HEMT. As expected, the gate leakage decreased significantly upon the cooling of both samples as shown in Fig. 3 at different  $V_{DS}$ . While a larger difference in  $I_{GS}$  between the HEMT and MIS-HEMT could have been expected, the gate insulation might be hindered by the existence of a parallel path for the leakage. Nevertheless, the improvement of  $I_{GS}$  at low temperature is found more pronounced in the case of the MIS-HEMT.

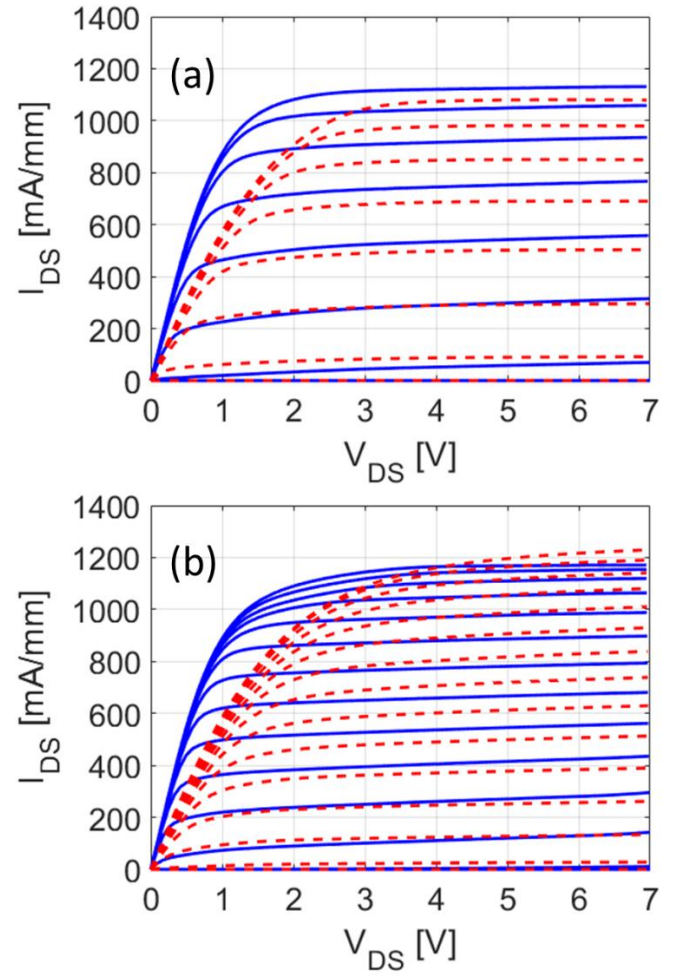


Fig. 2.  $I_{DS}(V_{DS})$  characteristic at RT (red dashed lines) and CT (blue solid lines) of: a) HEMT at  $V_{GS} [V] = [-2.5:0.5:1]$ , b) MIS-HEMT, at  $V_{GS} [V] = [-6:0.5:1]$ .

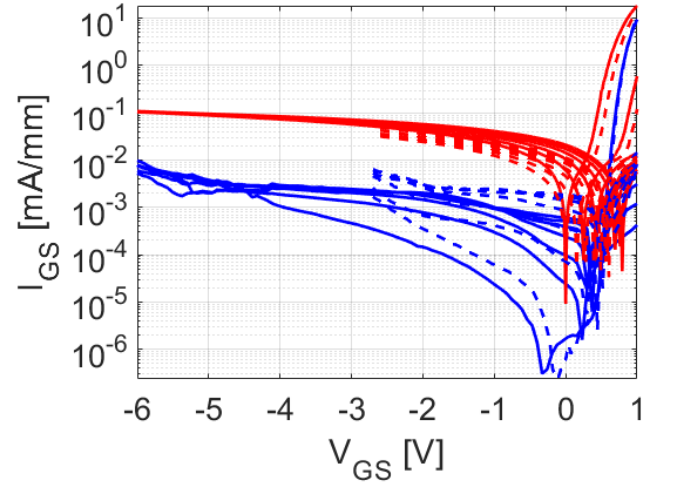
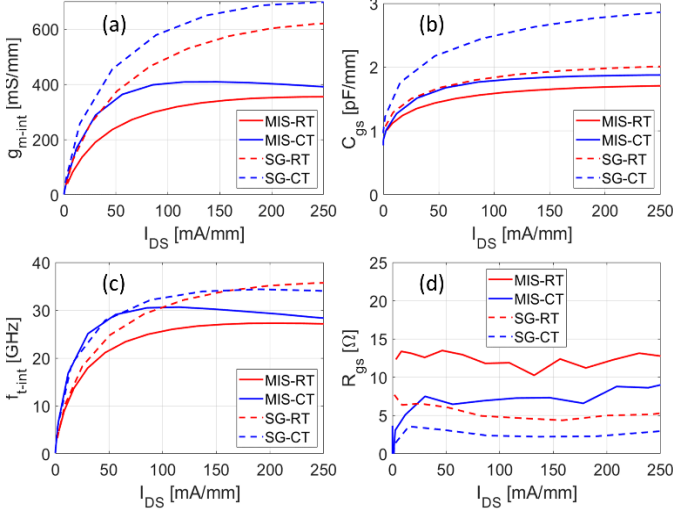


Fig. 3.  $I_{GS}(V_{GS})$  characteristic of the GaN HEMT (dashed lines) and MIS-HEMT (solid lines), at  $V_{DS}$  ranging from 0 – 6 V, with steps of 1 V, at RT (red) and CT (blue).

Table 1: Summary of the DC characteristic of the HEMT and MIS-HEMT

	HEMT		MIS-HEMT	
	RT	CT	RT	CT
$I_{DS-max}$ [mA/mm]	1079	1131	1229	1171
$R_{on}$ [ $\Omega$ .mm]	1.6	0.9	1.6	1.9
$V_{TH}$ [V]	-2.1	-2.2	-5.4	-5
$g_{m-max}$ [mS/mm]	430	517	271	299


 Fig. 4. The variation with  $I_{DS}$  at  $V_{DS} = 4$  V of the intrinsic extracted small-signal parameters of the HEMT (dashed lines) and MIS-HEMT (solid lines), at RT (red) and CT (blue). a)  $g_{m-int}$ , b)  $C_{gs}$ , c)  $f_{t-int}$ , d)  $R_{gs}$ .

The DC characteristics of the two samples at RT and CT are summarized in Table 1. Their overall variation indicates an improvement of the electrical transport in both devices at CT.

The following discussion is focused on the drain voltage of  $V_{DS} = 4$  V, as it ensures a saturation of the electron velocity in the channel while limiting the self-heating effects which is beneficial for the noise performance. As shown in (Fig. 4a – b), due to a larger effective distance between the gate and the channel, the MIS-HEMT presented a reduction of both the intrinsic transconductance,  $g_{m-int}$ , and gate capacitance,  $C_{gs}$ . Both of these parameters control the intrinsic cut-off frequency of the device, but in opposing ways. However, the rise of  $g_{m-int}$  with  $I_{DS}$  is relatively faster than that of  $C_{gs}$ . Consequently, at low  $I_{DS}$ ,  $f_{t-int}$  of the MIS-HEMTs is at least equal to that of the HEMT, at both RT and CT (Fig. 4-c). As seen in Fig. 4-d, the results indicate a larger intrinsic gate resistance  $R_{gs}$  in the MIS-HEMT. Since the gate metallization of both devices was obtained simultaneously using the same processing, the difference in  $R_{gs}$  might be attributed to the structure of the region underneath the gate and the presence of the dielectric in the case of the MIS-HEMT. Nevertheless, the contribution of the latter is diminished at CT since a lower difference in  $R_{gs}$  between the two samples is observed.

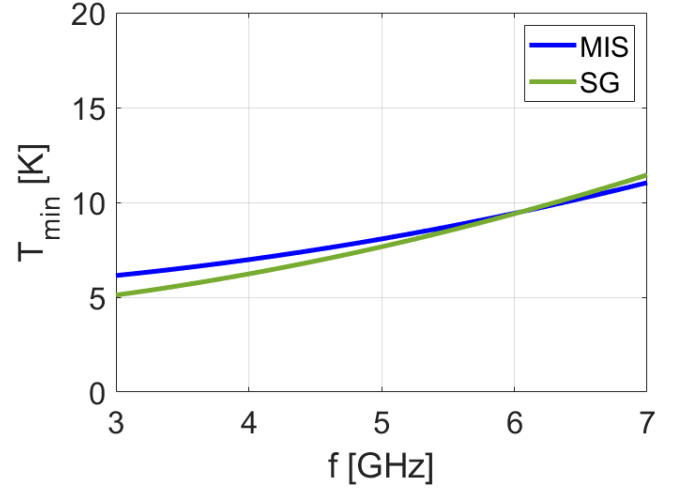
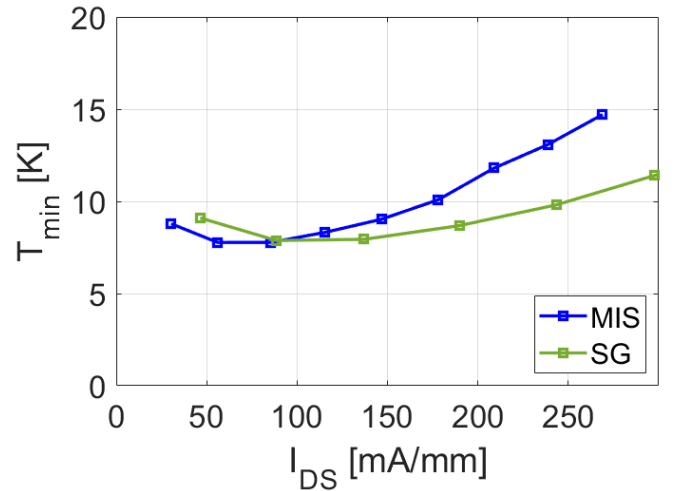

 Fig. 5. Frequency dependence of  $T_{min}$  at CT of the GaN MIS-HEMT and HEMT, at the optimum-noise bias at  $V_{DS}$  [V]=4.

Fig. 5 presents the extracted frequency dependence of the minimum noise temperature,  $T_{min}$ , at the optimum bias point of the two samples at CT, in the range of 3 – 7 GHz. Within this frequency range, the MIS-HEMT presents a similar best noise temperature of around 8 K. The optimum-noise bias was obtained at  $V_{DS} = 4$  V around  $I_{DS} = 85$  mA/mm and 88 mA/mm for respectively the MIS-HEMT and HEMT. Fig. 6 compares the variation with  $I_{DS}$  of the average  $T_{min}$  over the same frequency range at CT. The MIS-HEMT features a slight advantage at very low  $I_{DS}$ . This is mainly attributed to the reduced gate capacitance in the MIS-HEMT. Therefore, this gives advantage to the MIS-HEMT from the perspective of the design of low-noise amplifiers (LNAs) with low-power consumption. Higher  $I_{DS}$  leads to a faster increase of the MIS-HEMT's  $T_{min}$ , which becomes gradually larger compared to the SG-HEMT. This can be explained by the saturation of the intrinsic transconductance of the MIS-HEMT at a lower level compared to SG, while the self-heating is further limiting  $T_{min}$  at higher  $I_{DS}$  in both samples.


 Fig. 6. Comparison of the current dependence at  $V_{DS} = 4$  V of the extracted average  $T_{min}$  at CT of the HEMT (solid line) and MIS-HEMT (dashed line).

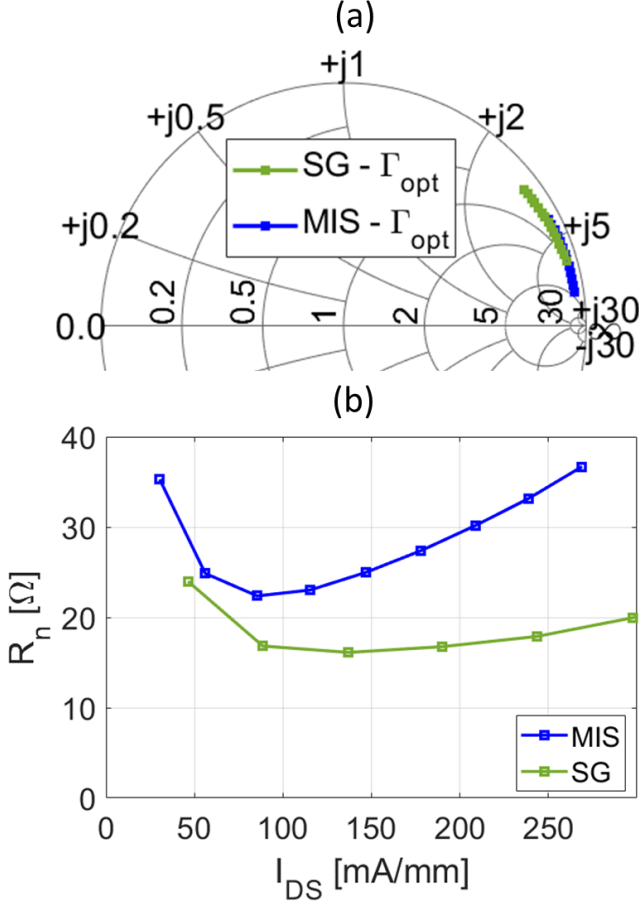


Fig. 7. a) Extracted  $\Gamma_{opt}$  at the optimum bias at CT of the HEMT (in green) and MIS-HEMT (in blue), from 3 to 7 GHz, considering a reference impedance  $Z_0 = 50 \Omega$ . b)  $I_{DS}$  dependence of  $R_n$  at CT at 5 GHz, of the MIS-HEMT (blue line) and HEMT (green line).

Completing the cryogenic noise model of both samples, Fig. 7-a – b plot respectively the optimum impedance in the Smith Chart within the frequency range of 3 to 7 GHz and the bias-dependence of the noise resistance  $R_n$ . Mainly controlled by the combined effects of  $R_{gs}$  and the transconductance, a low value of the latter is desired for the design of LNAs which gives advantage to the HEMT from that perspective.

#### IV. CONCLUSION

In conclusion, the potential of GaN-based MIS-HEMT with 0.2  $\mu\text{m}$  gate length for low noise operation at cryogenic temperatures was demonstrated through the measurement and extraction of its microwave noise characteristics at 4 K. Through the comparison of the results against those obtained with a Schottky gated HEMT, despite failing to significantly reduce the gate leakage, it was revealed that the MIS-HEMT takes advantage of a lower gate capacitance which translates into a lower  $T_{min}$  at low  $I_{DS}$ . While representing the current state of the art of cryogenic GaN MIS-MEHTs' low-noise performance, these results call for future investigation of the

potential of further reduction of the gate leakage, using other types of gate insulators for instance, and its impact on the noise performance at low temperatures.

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