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# Joint Tx-Rx Beamforming for Optimal Gain and Self-Interference Mitigation in In-Band Full-Duplex Arrays: Theory, Figures of Merit, and Validation

Mustafa Ayebe, Rob Maaskant, Sten E. Gunnarson, Johan Malmström, Marianna Ivashina, and Henrik Holter

**Abstract**—We introduce a novel joint transmit (Tx) and receive (Rx) beamforming method and relevant performance metrics for in-band full-duplex (IBFD) antenna systems, addressing two major challenges: self-interference (SI) leakage from the transmitter to the receiver and non-linear gain compression in the receiver’s low-noise amplifiers (LNAs). Conventional methods treat Tx and Rx beamforming separately, often failing to balance SI suppression with sufficient Tx gain. In contrast, our approach achieves two key objectives. First, it maximizes Tx array antenna gain while ensuring that SI at the LNA input remains below a predefined threshold, preventing saturation and maintaining linearity. Second, it suppresses residual SI at the receiver output, improving the signal-to-SI ratio by effectively separating the desired signal from residual interference. This method is validated using a commercial RF system-on-chip evaluation board and  $3 \times 5$  Tx and Rx Vivaldi antenna arrays operating in the 3–6 GHz band. Experimental results show that the conventional Tx maxGain beamformer achieves approximately 22 dB isolation between closely spaced Tx and Rx arrays. In contrast, the proposed Tx beamforming method provides over 45 dB isolation, with a Tx array gain of up to 10 dBi. Overall, the joint Tx-Rx beamforming method achieves more than 80 dB isolation with a 10 dBi Rx array gain for the IBFD system, representing a significant improvement over conventional methods.

**Index Terms**—antenna array, beamforming, interference suppression, in-band full-duplex, and RFSoc.

## I. INTRODUCTION

In-band full-duplex (IBFD) technology enables simultaneous transmission and reception on the same frequency, potentially doubling spectral efficiency and data rates. This is beneficial for applications such as increasing base station capacity through concurrent uplink/downlink in next-generation cellular networks, enabling real-time updates in automotive radars, and continuous target tracking in surveillance systems [1]–[3]. However, the main challenge in IBFD is managing self-interference (SI), where transmitted signals leak into the receiver, especially when Tx and Rx antennas are in close proximity, as in compact base stations or onboard vehicles [4]. This challenge is exacerbated by dynamic range limitations, where strong SI from the transmitter reduces the receiver’s ability to detect weak signals [5], [6]. Additionally, hardware imperfections, such as non-linearity of power amplifiers (PAs)

and impedance mismatch in analog circuitry, further distort the transmitted signal, complicating SI cancellation (SIC).

Analog and digital SIC methods are necessary to overcome these challenges. Analog SIC uses devices like couplers, circulators, or hybrids for signal isolation between Tx and Rx [7], achieving moderate SIC (20–30 dB) due to bandwidth limits and insertion loss. Despite this, analog SIC remains crucial for reducing dynamic range demands and aiding digital SIC algorithms, such as maximum ratio combining (MRC) [8], signal-to-noise-plus-interference ratio (SNIR) [9], and recursive least-square (RLS) adaptive filtering. These algorithms use accurate channel models to optimize Rx beamformer weights, achieving up to 100 dB SIC in simulations and 30–40 dB in experiments [10]. However, residual SI (10–20 dBc) remains with changing frequency- and direction-dependent effects. Non-linearity compensation techniques [11], using the PA output as a reference signal, show SIC of 40–50 dB with residuals typically below 10 dBc. However, they are limited by specificity to certain non-linearity types and may not align well with joint Tx-Rx beamforming adjustments, leading to suboptimal SIC and compromised antenna pattern and gain.

Our recent work in [12] has extended a conventional Tx beamforming algorithm that maximizes antenna gain to mitigate SI-induced nonlinear gain compression in the receiver low-noise amplifiers (LNAs). This approach enables controlling SI on the Rx side, laying the foundation for effective IBFD operation. However, [12] primarily focused on static SI conditions, where SI effects are direction-independent, and did not address the need for integrated Tx-Rx design. In this paper, we propose a joint Tx-Rx optimal beamforming method that combines SIC capabilities on both sides while adapting them during beam-steering. The extended Tx beamformer keeps SI from Tx-Rx coupling below a predefined threshold, controlling SI at the LNA inputs to prevent saturation while maximizing Tx antenna gain. On the Rx side, a conventional MRC beamformer is modified to suppress residual SI at the receiver output, maintaining a high SNIR by effectively separating the desired signal from SI. The novel contributions include a generalized model of IBFD systems with joint beamforming and figures of merit that can guide isolation requirements at the element/array level, ensuring optimal performance without overdesigning individual components. Experimental validation using a state-of-the-art RFSoc ZCU216 and arrays of strongly coupled antenna elements demonstrates the performance gains of this method, practical measurement procedure, and real-time processing capabilities.

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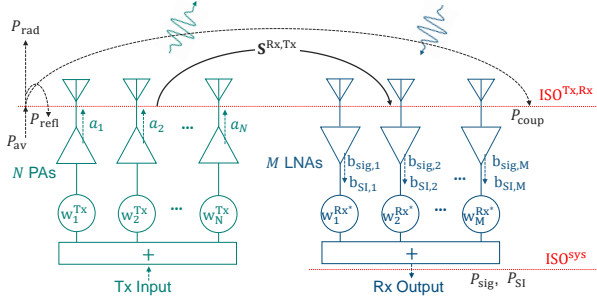


Fig. 1: IBFD system model with separate-aperture Tx and Rx array antennas. For the Tx antenna, the incident power  $\mathbf{a}$ -waves are defined at the PA outputs. The received power  $\mathbf{b}$ -waves are defined at the LNA outputs of the Rx antenna and include both the desired received signal (blue incident wave) and the residual SI resulting from the mutual coupling between the Tx and Rx chains of the system.

## II. IN-BAND FULL-DUPLEX ANTENNA SYSTEM MODEL

Fig. 1 shows the IBFD system model with  $N$ -element Tx and  $M$ -element Rx antenna arrays where the elements are connected to their corresponding amplifiers and beamformers. The Tx beamforming vector  $\mathbf{w}^{\text{Tx}}$  optimizes the element excitation across the Tx array, while the Rx beamforming vector  $(\mathbf{w}^{\text{Rx}})^*$  optimizes the Rx element weights. Using the power wave definitions [13], the incident power waves at the PAs output are represented by  $\mathbf{a} = [a_1, a_2, \dots, a_N]^T$ , and in the linear region of the PAs,  $\mathbf{a} \propto \mathbf{w}^{\text{Tx}}$ , enabling coherent beamforming. The outgoing power wave vector after the LNAs,  $\mathbf{b}_{\text{sig}}$  is the desired signal, and  $\mathbf{b}_{\text{SI}}$  is the residual SI due to IBFD operation.

The available power at the Tx antenna ports  $P_{\text{av}}$ , coupled power at the Rx antenna ports  $P_{\text{coup}}$ , total desired received signal and residual SI power at the Rx beamformer port  $P_{\text{sig}}$  and  $P_{\text{SI}}$ , and radiated power  $P_{\text{rad}}$  are defined as follows:

$$\begin{aligned} P_{\text{av}} &= \frac{1}{2} \mathbf{a}^H \mathbf{a}, & P_{\text{coup}} &= \frac{1}{2} \mathbf{a}^H (\mathbf{S}^{\text{Rx,Tx}})^H \mathbf{S}^{\text{Rx,Tx}} \mathbf{a}, \\ P_{\text{sig}} &= \frac{1}{2} |(\mathbf{w}^{\text{Rx}})^H \mathbf{b}_{\text{sig}}|^2, & P_{\text{SI}} &= \frac{1}{2} |(\mathbf{w}^{\text{Rx}})^H \mathbf{b}_{\text{SI}}|^2, \\ P_{\text{rad}} &= \frac{1}{2\eta} \mathbf{a}^H \mathbf{G}^H \mathbf{G} \mathbf{a}, & \mathbf{G} &= [\mathbf{G}_1, \mathbf{G}_2, \dots, \mathbf{G}_N], \end{aligned} \quad (1)$$

Here,  $\mathbf{S}^{\text{Rx,Tx}}$  is the mutual coupling matrix,  $(\cdot)^H$  denotes the Hermitian operator, and  $\mathbf{b}_{\text{SI}} = S_{21}^{\text{LNA}} \mathbf{S}^{\text{Rx,Tx}} \mathbf{a}$ , where  $S_{21}^{\text{LNA}}$  is the LNA voltage gain.  $\eta$  is the characteristic impedance of free space, and  $\mathbf{G}$  is a column-augmented matrix, where  $\mathbf{G}_n$  is the complex far-field  $n$ th embedded element pattern (EEP). In this formulation, we assume that the system operates in an SI-dominated regime, with noise neglected.

We consider the problem of quantifying the system isolation from joint Tx and Rx beamforming. First, the **Rx array antenna isolation** at the LNAs input when the Tx array elements are excited with  $\mathbf{w}^{\text{Tx}}$  can be defined as:

$$\text{ISO}^{\text{Tx,Rx}}(\mathbf{w}^{\text{Tx}}) = \frac{P_{\text{av}}(\mathbf{w}^{\text{Tx}})}{P_{\text{coup}}(\mathbf{w}^{\text{Tx}})} = \frac{\mathbf{a}^H \mathbf{a}}{\mathbf{a}^H (\mathbf{S}^{\text{Rx,Tx}})^H \mathbf{S}^{\text{Rx,Tx}} \mathbf{a}} \quad (2)$$

When monitoring the  $m$ -th receiving element, with all Tx elements excited by  $\mathbf{w}^{\text{Tx}}$ , the coupled power is given by  $P_{\text{coup}} = P_{\text{coup},m} = \frac{1}{2} \mathbf{a}^H (\mathbf{S}_m^{\text{Rx,Tx}})^H \mathbf{S}_m^{\text{Rx,Tx}} \mathbf{a}$  so  $\text{ISO}^{\text{Tx,Rx}}(\mathbf{w}^{\text{Tx}})$  reduces to the **Rx element isolation**  $\text{ISO}_m^{\text{Tx,Rx}}$ .

Finally, for the joint Tx-Rx beamforming, the **total IBFD system isolation** at the Rx beamformer port is:

$$\text{ISO}^{\text{sys}} = \frac{P_{\text{av}}(\mathbf{w}^{\text{Tx}})}{P_{\text{SI}}(\mathbf{w}^{\text{Tx}}, \mathbf{w}^{\text{Rx}})} = \frac{\mathbf{a}^H \mathbf{a}}{|(\mathbf{w}^{\text{Rx}})^H \mathbf{S}_{21}^{\text{LNA}} \mathbf{S}^{\text{Rx,Tx}} \mathbf{a}|^2} \quad (3)$$

The element isolation will always exceed the array isolation since  $P_{\text{coup}}$  sums the coupled powers at individual element ports (see the proof App. A). This principle guides adjusting isolation requirements to slightly exceed the minimum possible element isolation, hence ensuring optimal performance without overdesigning the system.

## III. PROPOSED BEAMFORMING METHOD

**Tx Beamforming:** The proposed Tx beamforming algorithm uses prior knowledge of  $\mathbf{S}^{\text{Rx,Tx}}$ , estimated via a digital SI channel, to optimize beamformer weights in a desired direction. While it follows a *conventional Tx maximum gain beamformer* [14], its novelty lies in maintaining the Rx array antenna isolation above a specified level,  $\kappa^{\text{Tx,Rx}}$ . This threshold, defined as the ratio of  $P_{\text{av}}$  to the LNA's 1 dB compression point with modulation-induced backoff, prevents saturation and preserves linear operation. The algorithm operates as follows (see [12] for more details):

$$\begin{aligned} \max_{\mathbf{w}^{\text{Tx}}} & \quad 4\pi \frac{P_{\text{rad}}(\mathbf{w}^{\text{Tx}})}{P_{\text{av}}(\mathbf{w}^{\text{Tx}})} \\ \text{subject to} & \quad \text{ISO}^{\text{Tx,Rx}}(\mathbf{w}^{\text{Tx}}) \geq \kappa^{\text{Tx,Rx}} \end{aligned} \quad (4)$$

After finding  $\mathbf{w}_{\text{opt}}^{\text{Tx}}$ , one could check the resultant minimum element isolation,  $\min(\text{ISO}_m^{\text{Tx,Rx}})$  and, if it is significantly larger than the required isolation for preventing saturation, iteratively relax the threshold until the  $\min(\text{ISO}_m^{\text{Tx,Rx}})$  is just above the requirement (see numerical results in Sec. IV-B).

**Rx Beamforming:** The optimal Rx weight vector  $(\mathbf{w}^{\text{Rx}})^*$  maximizes the desired signal power, as in a *conventional Rx MRC beamformer*, while it also ensures the total SI power below a set level  $\kappa_{\text{SI}}$  (e.g. the receiver noise floor), i.e.,

$$\begin{aligned} \max_{\mathbf{w}^{\text{Rx}}} & \quad P_{\text{sig}}(\mathbf{w}^{\text{Rx}}) \\ \text{subject to} & \quad P_{\text{SI}}(\mathbf{w}^{\text{Rx}}) = \kappa_{\text{SI}} \quad \& \quad (\mathbf{w}^{\text{Rx}})^H \mathbf{w}^{\text{Rx}} = 1 \end{aligned} \quad (5)$$

## IV. ANALYSIS AND VALIDATION RESULTS

### A. IBFD system case study and measurement setup

Our selected case study of the proposed beamforming method includes an IBFD system with  $3 \times 5$  Tx and Rx Vivaldi element arrays (3–6 GHz) that are placed side-by-side with electrically small ( $0.5\lambda$  at 6 GHz) separation and, hence, strongly coupled [see Fig. 2]. The antenna setup is connected to the Zynq UltraScale+ RFSoc ZCU216 Kit [16], which supports real-time digital signal processing for communication testing, including calibration [17] and IBFD operation. The block diagram of the integrated antenna-RFSoc measurement platform, shown in Fig. 3, integrates ADCs, DACs, programmable logic (PL), and digital processing system (PS) cores. In this setup, no PAs or LNAs are used to simplify the initial validation in the linear regime. The movable probe antenna connects to Amplifier 1 (Amp1) or Amplifier 2

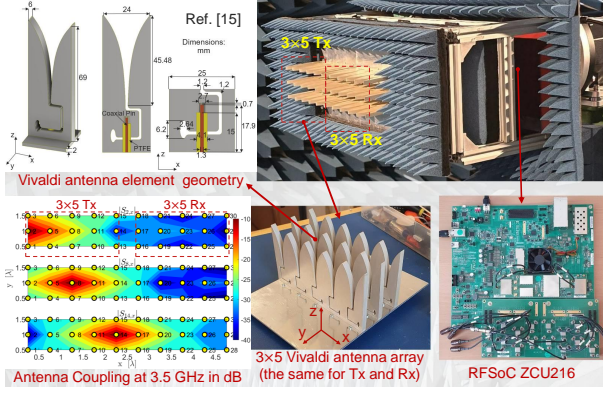


Fig. 2: Case study: An IBFD antenna system with  $3 \times 5$  Tx and  $3 \times 5$  Rx Vivaldi arrays, placed side-by-side, installed in an anechoic chamber. The arrays share identical dimensions and element geometry. Each 3D-printed silver-coated element is fed by a coaxial probe through an aluminum ground plane [15].

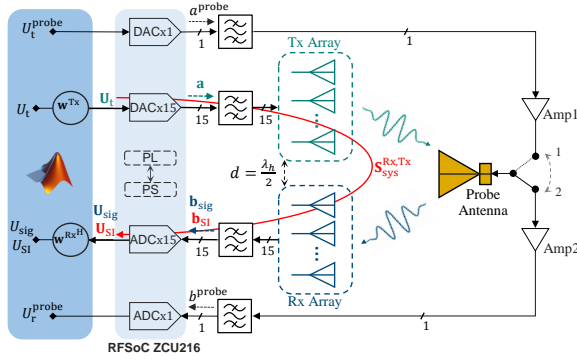


Fig. 3: Block diagram of the integrated IBFD antenna system - RFSoc measurement setup, used for demonstration.

(Amp2) to compensate for signal propagation losses during measurements. A custom-designed PCB [see Fig. 2] with commercial off-the-shelf baluns<sup>1</sup> converts unbalanced signals for differential DAC outputs and ADC inputs.

The *digital* continuous waveform at frequency  $\omega_0$  is  $f[n] = \Re\{U_t e^{jn\omega_0/f_s}\}$ , with  $n \in \mathbb{Z}$  and DAC sampling frequency  $f_s$ . The complex phasor  $U_t$  is multiplied by the beamforming vector  $\mathbf{w}^{\text{Tx}}$ , forming the  $15 \times 1$  vector  $\mathbf{U}_t$ . These signals are converted to phase-synchronized analog waves  $\mathbf{a} \propto \mathbf{U}_t$ , passed through baluns, and incident to the Tx antennas. The received waves at the Rx array, after baluns, form the  $15 \times 1$  vectors,  $\mathbf{b}_{\text{sig}}$  and  $\mathbf{b}_{\text{SI}}$ , representing desired and interferer waves, respectively. After being processed by ADCs, the *digital* signal  $\mathbf{U}_{\text{sig}} \propto \mathbf{b}_{\text{sig}}$  and SI  $\mathbf{U}_{\text{SI}} \propto \mathbf{b}_{\text{SI}}$  are multiplied by  $(\mathbf{w}^{\text{Rx}})^H$  to arrive at the post-processed complex phasor amplitude  $U_{\text{sig}}$  and  $U_{\text{SI}}$ . We use MATLAB to control the board, generate and capture time-domain waveforms, and apply the FFT for frequency-domain analysis. The measurement procedure includes the following steps:

1) *A coupling Matrix Measurement*: With the probe antenna off, each Tx array element is excited with 1 V while the others remain unexcited. The measured voltages at the Rx array form one column of the coupling matrix,  $\mathbf{S}_{\text{sys}}^{\text{Rx,Tx}}$ . It relates Tx and Rx voltages in a linear system:  $\mathbf{U}_{\text{SI}} = \mathbf{S}_{\text{sys}}^{\text{Rx,Tx}} \mathbf{U}_t$ .

2) *Tx EEP Measurements*: The probe antenna is connected to an Amp2 via switch position 2. Each Tx element is excited with a 1 V signal while the others remain unexcited. The received voltage  $U_t^{\text{probe}}$  is proportional to the Tx EEP.

3) *Rx EEP Measurements*: Through switch position 1, the probe antenna acts as the transmitter and  $U_t^{\text{probe}}$  is set to 1 V. The measured voltages at the Rx elements are proportional to the EEPs of the Rx antennas.

4) *Beamformer Optimization*: The Tx array antenna EEPs and  $\mathbf{S}_{\text{sys}}^{\text{Rx,Tx}}$  are used to optimize  $\mathbf{w}^{\text{Tx}}$  in (4). We assumed that  $\mathbf{S}_{\text{Rx,Tx}} = \mathbf{S}_{\text{sys}}^{\text{Rx,Tx}}$  and  $\mathbf{a} = \mathbf{U}_t$  (proportionality constants cancel in the power ratios). Then,  $(\mathbf{w}^{\text{Rx}})^*$  is optimized in (5) using the Rx antenna EEPs for the final required SI residual level.

## B. Results and Discussion

Figs 4 and 5 exemplify the proposed joint Tx-Rx beamforming method for the above-described IBFD system at  $f_0 = 3.5$  GHz. Fig. 4(a) shows the Tx array gain vs. Rx array isolation trade-off by comparing various isolation thresholds. The case where  $\text{ISO}^{\text{Tx,Rx}} > 30$  dB demonstrates an optimal solution, achieving approximately 20 dB higher isolation with minimal gain loss compared to the conventional Tx maximum gain beamformer. Fig. 4(b) reveals that the achieved element isolation surpasses array isolation by 10–20 dB, depending on element position and beamsteering angle. To avoid overdesign, it is useful to bring  $\min(\text{ISO}_m^{\text{Tx,Rx}})$  closer to the  $\kappa^{\text{Tx,Rx}}$ , which dictates linearity requirements. This can be realized by adjusting the isolation threshold through numerical iterations. For instance, in Fig. 4(c),  $\kappa^{\text{Tx,Rx}} = 30$  dB is met after two iterations when  $\text{ISO}^{\text{Tx,Rx}} > 18$  dB, resulting in  $\min(\text{ISO}_m^{\text{Tx,Rx}}) = 35.9$  dB. Finally, Rx beamforming further suppresses SI below  $\kappa_{\text{SI}} = -80$  dBW at the Rx output. Fig. 4(d) compares Rx array gain and IBFD system isolation for Rx MRC and the proposed Rx beamformer when the Tx maxGain beamformer applied to Tx array. The eigenvalue spectrum, calculated from both simulated and measured Tx-Rx coupling matrices (shown in Fig. 5(a)), provides insight into the available degrees of freedom for optimizing  $\mathbf{w}^{\text{Tx}}$ . This is determined by the number of eigenvectors corresponding to dominant eigenvalues above a chosen threshold of  $\kappa^{\text{Tx,Rx}} = 45$  dB<sup>2</sup>. As expected, the agreement between the simulated and measured lower eigenvalues (associated with stronger coupling) is very good and reduces for higher eigenvalues (i.e., weaker coupling). The Rx element isolation between antenna ports changes after connecting the beamforming circuit, altering antenna loading. The change in element isolation was under 4 dB for  $50 \pm 10 \Omega$  loading. Figs. 5(b) and (c) indicate that the conventional Tx maxGain beamformer achieves 17–27 dB Rx array isolation, whereas the proposed beamformer exceeds 45 dB. Minor isolation variations due to  $\pm 4^\circ$  phase errors in  $\mathbf{w}^{\text{Tx}}$  are observed in Fig. 5(b), increasing with higher isolation requirements but remaining within acceptable limits, as shown in Fig. 5(c). Fig. 5(d) shows a generally good agreement between the simulated and measured antenna gains. The observed reduction of the Tx antenna gain at large negative angles (e.g., 4.5 dB at  $-30^\circ$ ) compared to the conventional Tx maxGain beamformer

<sup>2</sup> $\kappa^{\text{Tx,Rx}} = 45$  dB corresponds to max.  $P_{\text{av}} \approx 12$  dBm, so LNA's 1 dB compression point with modulation-induced backoff  $\approx -33$  dBm.

<sup>1</sup>Product No: X4BD40L1-50100G



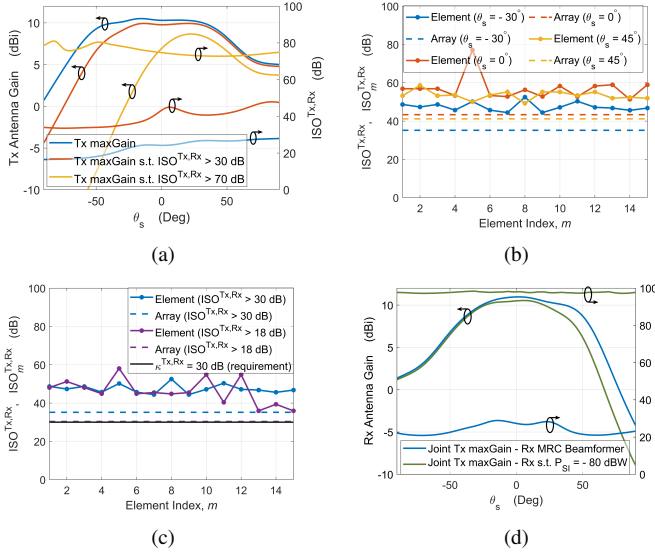


Fig. 4: Simulated; (a) Tx antenna gain and Rx array isolation for the conventional and proposed Tx beamformers; (b) Rx array/elements isolation for the proposed Tx beamformer (for  $\text{ISO}^{\text{Tx,Rx}} > 30$  dB) at different E-plane scan angles; (c) Rx array/elements isolation under different isolation constraints at  $\theta_s = -30^\circ$ ; and (d) Rx array gain and IBFD system isolation for Rx MRC vs. the proposed Rx beamformer when Tx maxGain is applied.

is attributed to the limited number of usable excitation vectors meeting the isolation requirement. The relative difference for the Rx array gain at large negative angles is mainly due to the absorber effects and at large positive angles due to interference. Similarly, Fig. 5(e) shows Tx radiation patterns widening in the proposed method compared to conventional Tx maxGain beamformer due to a reduced number of excitation vectors. Lastly, Fig. 5(f) demonstrates total IBFD system isolation surpassing 80 dB with joint Tx-Rx beamforming. Minor degradation in measurements likely stems from phase errors in RFSoc DAC/ADC outputs and dynamic range limitations. Although the proposed joint Tx-Rx beamforming approach maintains strong isolation across frequencies, with performance decreasing away from the center, this study specifically focuses on a narrowband scenario.

## V. CONCLUSION

The joint Tx-Rx beamforming method for IBFD systems balances SI suppression with optimal antenna gain. By dynamically adjusting beamforming weights, it significantly improves system isolation, as validated by experiments. Future work includes scaling to broader frequency bands, integrating PAs/LNAs, and addressing non-linear regimes.

### APPENDIX A

#### PROOF ON MINIMUM ISO FOR SUBSPACE BEAMFORMER

Let define  $f(\mathbf{z}) = (\mathbf{z}^H \mathbf{A} \mathbf{z}) / (\mathbf{z}^H \mathbf{B} \mathbf{z})$ , where  $\mathbf{A}$  and  $\mathbf{B}$  are positive matrices. The extremum of  $f(\mathbf{z})$  is found by solving the generalized eigenvalue problem,  $\mathbf{A} \mathbf{v}_n = \lambda_n \mathbf{B} \mathbf{v}_n$ . Hence,  $\lambda_n$  satisfies  $\lambda_n = f(\mathbf{v}_n)$ . If  $\lambda_1 \geq \lambda_2 \geq \dots \geq \lambda_P$  and  $f(\mathbf{z}) \geq \kappa$ , then  $\{\lambda_n\}_{n=1}^K \geq \kappa$ , and we can express  $\mathbf{z} = \mathbf{V} \boldsymbol{\alpha}$  where  $\mathbf{V} = [\mathbf{v}_1, \dots, \mathbf{v}_K]$ . Therefore, using  $\mathbf{A} \mathbf{V} = \mathbf{B} \mathbf{V} \boldsymbol{\Lambda}$ , here  $\boldsymbol{\Lambda} = \text{diag}(\lambda_1, \dots, \lambda_K)$ , so  $f(\mathbf{z})$  can be reformulated as:

$$f(\boldsymbol{\alpha}) = \frac{\boldsymbol{\alpha}^H \mathbf{V}^H \mathbf{B} \mathbf{V} \boldsymbol{\Lambda} \boldsymbol{\alpha}}{\boldsymbol{\alpha}^H \mathbf{V}^H \mathbf{B} \mathbf{V} \boldsymbol{\alpha}} \quad (6)$$

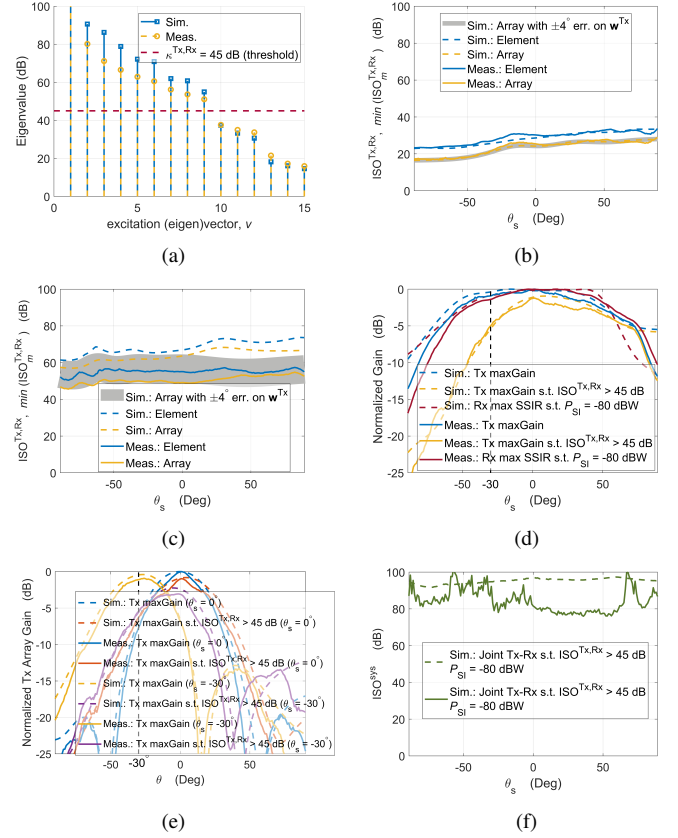


Fig. 5: Simulation-measurement comparison: (a) The eigenvalue spectrum of  $[(\mathbf{S}_{\text{Rx,Tx}}^H \mathbf{S}_{\text{sys}}^H \mathbf{S}_{\text{sys}})^{-1}]$ ; (b) The Rx array/element isolation for the conventional Tx maxGain beamformer; (c) The Rx array/element isolation for the proposed Tx beamformer when  $\kappa_{\text{Tx,Rx}} = 45$  dB; (d) The Tx and Rx antenna gains for the proposed joint Tx-Rx beamformer with  $\kappa_{\text{Tx,Rx}} = 45$  dB and  $\kappa_{\text{SI}} = -80$  dBW (practical RFSoc ZCU216 noise floor level); (e) Normalized the Tx array radiation pattern at different E-plane scan angles; and (f) The total IBFD system isolation with proposed joint Tx - Rx beamformer.

Solving  $\nabla_{\boldsymbol{\alpha}} f(\boldsymbol{\alpha}) = 0$  yields  $\boldsymbol{\Lambda} \boldsymbol{\alpha} = f \boldsymbol{\alpha}$ , leading to  $(\boldsymbol{\Lambda} - f \mathbf{I}) \boldsymbol{\alpha} = 0$ . The characteristic equation for non-trivial solutions is:  $\prod_{n=1}^K (\lambda_n - f) = 0$ . Thus, the minimum value of  $f$  is  $f = \lambda_K \geq \kappa$ , proving  $\min f(\boldsymbol{\alpha}) = \lambda_K \geq \kappa$ .

## APPENDIX B RX BEAMFORMER

The Lagrangian of the Rx beamformer problem in (5) is:

$$\mathcal{L}(\mathbf{w}^{\text{Rx}}, \lambda_1, \lambda_2) = (\mathbf{w}^{\text{Rx}})^H \mathbf{b}_{\text{sig}} \mathbf{b}_{\text{sig}}^H \mathbf{w}^{\text{Rx}} - \lambda_1 ((\mathbf{w}^{\text{Rx}})^H \mathbf{b}_{\text{SI}} \mathbf{b}_{\text{SI}}^H \mathbf{w}^{\text{Rx}} - \kappa_{\text{SI}}) - \lambda_2 ((\mathbf{w}^{\text{Rx}})^H \mathbf{w}^{\text{Rx}} - 1) \quad (7)$$

where  $\lambda_1$  and  $\lambda_2$  are Lagrange multipliers. For optimality, we set  $\nabla_{(\mathbf{w}^{\text{Rx}})^H} \mathcal{L} = \partial_{\lambda_1} \mathcal{L} = \partial_{\lambda_2} \mathcal{L} = 0$ , leading to

$$(\mathbf{b}_{\text{sig}} \mathbf{b}_{\text{sig}}^H) \mathbf{w}^{\text{Rx}} = (\lambda_1 \mathbf{b}_{\text{SI}} \mathbf{b}_{\text{SI}}^H + \lambda_2 \mathbf{I}) \mathbf{w}^{\text{Rx}} \quad (8a)$$

$$\lambda_1 ((\mathbf{w}^{\text{Rx}})^H \mathbf{b}_{\text{SI}} \mathbf{b}_{\text{SI}}^H \mathbf{w}^{\text{Rx}} - \kappa_{\text{SI}}) = 0, \quad \lambda_1 > 0 \quad (8b)$$

$$\lambda_2 ((\mathbf{w}^{\text{Rx}})^H \mathbf{w}^{\text{Rx}} - 1) = 0, \quad \lambda_2 > 0 \quad (8c)$$

To solve it, we iteratively increase  $\lambda_2$ , solve the respective eigenvalue Eq. (8a) for this constant  $\lambda_2$ , normalize the principal eigenvector  $\mathbf{w}_{\text{opt}}^{\text{Rx}}$ , repeat until  $(\mathbf{w}_{\text{opt}}^{\text{Rx}})^H \mathbf{b}_{\text{SI}} \mathbf{b}_{\text{SI}}^H \mathbf{w}_{\text{opt}}^{\text{Rx}} = \kappa_{\text{SI}}$ .

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