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Letter

Inferring the breakdown scales of the chiral expansions for g_A and m_N Andreas Ekström ^a, Daniel R. Phillips ^b, Lucas Platter ^{c,d,*}, Matthias R. Schindler ^e^a Department of Physics, Chalmers University of Technology, SE-412 96, Göteborg, Sweden^b Institute of Nuclear and Particle Physics and Department of Physics and Astronomy, Ohio University, Athens, Ohio, 45701, USA^c Department of Physics and Astronomy, University of Tennessee, Knoxville, Tennessee, 37996, USA^d Physics Division, Oak Ridge National Laboratory, Oak Ridge, Tennessee, 37831, USA^e Department of Physics and Astronomy, University of South Carolina, Columbia, South Carolina, 29208, USA

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ABSTRACT

We apply Bayesian inference to the order-by-order chiral perturbation theory (χ PT) expansions for the axial-vector coupling constant g_A and the nucleon mass m_N , and thereby infer the scales at which χ PT breaks down for these two observables. Using a pointwise Bayesian analysis, we find that the inferred breakdown scales are notably different for the two observables. For the chiral expansion of g_A , we obtain 251^{+20}_{-50} MeV and 211^{+20}_{-30} MeV using two distinct sets of low-energy constants, while for the chiral expansion of m_N we infer a significantly larger breakdown scale of 491^{+60}_{-90} MeV.

1. Introduction

Chiral perturbation theory (χ PT) [1–3] is among the most successful effective field theories in nuclear and particle physics. χ PT is based on the fact that pions are Goldstone bosons, associated with the spontaneously broken $SU(2)_L \times SU(2)_R$ chiral symmetry of quantum chromodynamics (QCD) that emerges in the limit of zero up and down quark masses—the chiral limit. The pions' finite mass arises from explicit chiral symmetry breaking due to nonvanishing quark masses m_q . In χ PT observables are expanded in powers of a ratio Q of light to heavy scales, $Q = \Lambda_l/\Lambda_B$, where the light scale Λ_l is identified with the pion mass M or a momentum p of similar size, and the heavy scale Λ_B denotes the breakdown scale of χ PT. The pion mass itself has such an expansion, where M denotes the leading-order expression of the pion mass with $M^2 \propto m_q$. In the mesonic sector Λ_B is typically assumed to be either $\sim 4\pi F_\pi$ (where $F_\pi = 92.7$ MeV is the pion decay constant) [4] or the mass $m_\rho = 0.770$ GeV of the ρ meson, the lightest QCD excitation not explicitly included in mesonic χ PT.

This framework has also been extended to include nucleons. The non-vanishing of the nucleon mass in the chiral limit introduces additional complexities compared to the meson sector [5]. Different approaches to these issues have been proposed [6–10]; for reviews see, e.g., Refs. [7,11,12]. Here we focus on the formulation of nucleons as heavy baryons [6,13]. At leading order in this approach, the nucleon is treated as a static field, which acts as a source of Goldstone bosons.

Nucleon-pion interactions are then described by an effective Lagrangian which contains increasing powers of momenta and the pion mass, leading to expansions of nucleonic observables in powers of M and p . Prominent examples include the nucleon mass, the nucleon axial-vector coupling, nucleon form factors, and nucleon polarizabilities [14].

Such expansions are of particular importance in lattice QCD, where simulations are frequently performed at pion masses larger than the physical value. Extrapolating these results to the physical point relies on the convergence of the χ PT series. And, even in cases where lattice simulations are performed at the physical pion mass, it may still be advantageous to combine results from simulations carried out at different quark masses.

However, in the one-nucleon sector, the situation is complicated by the appearance of additional scales. In particular, the $\Delta(1232)$ resonance is the lowest-lying (non-pionic) QCD excitation in that sector. Baryon χ PT without explicit Δ degrees of freedom is commonly used; arguments for the inclusion of Δ excitations as active degrees of freedom have been presented since the advent of baryon χ PT [6,15–17].

A prominent example for the need of including the Δ is the chiral expansion of the axial-vector coupling constant g_A : the first nontrivial correction enters at relative order M^2 . The next correction proportional to M^3 is numerically very large, although Ref. [18] argues that recent lattice QCD data implies a much smaller coefficient for this term. This slow convergence has long been attributed to the presence of Δ excitations inside the loop corrections to g_A [18–23] (although loop factors

* Corresponding author.

E-mail addresses: andreas.ekstrom@chalmers.se (A. Ekström), phillid1@ohio.edu (D.R. Phillips), lplatter@utk.edu (L. Platter), mschindl@mailbox.sc.edu (M.R. Schindler).<https://doi.org/10.1016/j.physletb.2026.140352>

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of π and other large numerical coefficients also play a role¹). And indeed, such inclusion is mandatory in the large- N_c limit of QCD, in order to preserve unitarity [21,24]. This introduces the Δ -nucleon mass difference as an additional scale of approximately 290 MeV—much lower than either $4\pi F_\pi$ or m_ρ —into the expansion.

These considerations naturally lead us to ask: What information about the single-nucleon χ PT breakdown scale or scales can be extracted from order-by-order expansions of observables in that theory? Earlier work on pion-nucleon scattering, m_N , and g_A [25–28] addressed this question by comparing individual higher-order contributions or the resummation of particular subsets of higher-order contributions to observables with lower-order terms. In general, it was found that baryon χ PT expansions cease to be reliable around pion masses of 300 MeV to 500 MeV, depending on the observable.

Recently, Bayesian statistical techniques have been developed to analyze the convergence pattern of effective field theories [29,30], enabling quantitative estimates of their respective breakdown scales. In Refs. [31–36] these methods were applied to demonstrate that the breakdown scale of chiral EFT, applied to two-nucleon scattering, nuclei with mass number $A = 3$ and 4, and neutron matter, has a most likely value of $\Lambda_B = 600$ MeV. In Refs. [37,38] these methods were applied to pionless EFT for two- and three-nucleon systems and a most likely breakdown scale of approximately the pion mass was inferred (but cf. Ref. [39] for a different perspective).

In this work, we apply these statistical techniques to single-nucleon χ PT to infer the underlying breakdown scales of the χ PT expansions of the nucleon mass m_N and the axial-vector coupling constant g_A . We achieve this as follows. First, we introduce the chiral expansions for m_N and g_A , see Section 2. The Bayesian methodology employed to analyze their respective convergence patterns and the inference of the associated breakdown scales are described in Section 3. We conclude with a discussion of our results and their implications in Section 4.

2. Chiral expansions of m_N and g_A

We use established expressions for the χ PT expansions of m_N and g_A as outlined below. The coefficients of these expansions generally depend on the pion-decay constant F , the nucleon mass \hat{m} , and the nucleon axial-vector coupling \hat{g} in their respective chiral limits, and a number of other low-energy constants (LECs): l_i from the fourth-order pionic Lagrangian and c_i, d_i, e_i from the pion-nucleon Lagrangian at second, third, and fourth order, respectively. Renormalized LECs are denoted by a superscript r and depend on the renormalization scale μ . The renormalized fourth-order mesonic LECs $l_i^r(\mu)$ are related to the scale-independent LECs \bar{l}_i by

$$l_i^r(\mu) = \frac{\beta_{l_i}}{32\pi^2} \left[\bar{l}_i + 2 \ln \left(\frac{M_\pi^{(\text{phys})}}{\mu} \right) \right], \quad (1)$$

with $\beta_{l_3} = -\frac{1}{2}$ and $\beta_{l_4} = 2$ contributing in the following analyses. Analogously, the pion-nucleon LECs $d_i^r(\mu)$ are connected to the scale-independent LECs \bar{d}_i by the expressions [40]

$$d_i^r(\mu) = \bar{d}_i + \frac{\beta_{d_i}}{(4\pi F)^2} \ln \left(\frac{M_\pi^{(\text{phys})}}{\mu} \right), \quad (2)$$

with the β_{d_i} given in Ref. [41]. For the physical pion mass we take $M_\pi^{(\text{phys})} = 0.139$ GeV. For the pion-nucleon LECs we use the values listed in Table 1. They are obtained from a χ PT analysis of the processes $\pi N \rightarrow \pi N$ and $\pi N \rightarrow \pi\pi N$ in Ref. [42]. The values referred to as “Set 1” and “Set 2” in Ref. [43] are the ones we employ in the chiral expansion of g_A . In principle, LECs obtained in a higher-order chiral analysis are given in Ref. [42] and could be used instead. However, these are strongly dependent on the kinematic domain of the data used to infer

Table 1

Values of low-energy constants employed in the chiral expansions of the nucleon mass m_N (Set m_N) and g_A (Sets 1 and 2).

Low-energy constants			
LEC	Set 1	Set 2	Set m_N
c_1 [GeV ⁻¹]	–	–	-1.11
c_2 [GeV ⁻¹]	3.51	4.89	3.13
c_3 [GeV ⁻¹]	-6.63	-7.26	-5.61
c_4 [GeV ⁻¹]	4.01	4.74	–
$(\bar{d}_1 + \bar{d}_2)$ [GeV ⁻²]	4.37	3.39	–
\bar{d}_{10} [GeV ⁻²]	-0.8	10.9	–
\bar{d}_{11} [GeV ⁻²]	-15.6	-30.9	–
\bar{d}_{12} [GeV ⁻²]	5.9	-10.9	–
\bar{d}_{13} [GeV ⁻²]	13.6	27.7	–
\bar{d}_{14} [GeV ⁻²]	-7.43	-7.36	–
\bar{d}_{16} [GeV ⁻²]	0.4	-3.0	–
\bar{d}_{18} [GeV ⁻²]	-0.8	-0.8	–
\bar{e}_1 [GeV ⁻³]	–	–	12.6
$l_3^r(m)$	$1.4 \cdot 10^{-3}$	$1.4 \cdot 10^{-3}$	–
$l_4^r(m)$	$3.7 \cdot 10^{-3}$	$3.7 \cdot 10^{-3}$	–
\hat{g}	1.0	1.3	–
\hat{m} [GeV]	0.87	0.87	0.8695
F [GeV]	0.087	0.087	–
g_A	–	–	1.275
F_π [GeV]	–	–	0.0927
m_N [GeV]	–	–	0.939

them [42]. Other determinations that only consider πN scattering, e.g., from a Roy-Steiner analysis [44], do not constrain all the LECs that contribute to the chiral expansion of g_A . In particular, the LEC \bar{d}_{16} does not contribute to πN scattering at these orders, but enters the M^2 correction in g_A . The LEC values used in the expansion for m_N are referred to as “Set m_N ” and are taken from Ref. [44], with the value of the fourth-order pion-nucleon LEC \bar{e}_1 provided in [45], and based on Refs. [46,47].

The chiral expansion of the nucleon mass to order M^4 as given in Ref. [48] is

$$m_N = \hat{m} - 4c_1 M^2 - \frac{3g_A^2 M^3}{32\pi F_\pi^2} + \left(k_1 \ln \frac{M}{m_N} + k_2 \right) M^4, \quad (3)$$

with the coefficients

$$k_1 = -\frac{3}{32\pi^2 F_\pi^2 m_N} (g_A^2 + m_N (-8c_1 + c_2 + 4c_3)), \quad (4)$$

$$k_2 = \bar{e}_1 - \frac{3}{128\pi^2 F_\pi^2 m_N} (2g_A^2 - m_N c_2). \quad (5)$$

The use of the physical values of the pion decay constant F_π , the nucleon mass m_N and the axial-vector coupling g_A in these expressions instead of the chiral limit values generates differences at order M^5 , so beyond the order to which we are working. While m_N has been calculated to order M^5 [26,49,50] and order M^6 [27,51,52] in various renormalization schemes, using these expressions would require different determinations of the LECs that are currently not available. Another benefit of restricting ourselves to the one-loop analysis of m_N is that it means we consider the same number of orders in the χ PT expansions of g_A and m_N .

Fig. 1 shows the pion mass dependence of m_N obtained in this expansion. This result will serve as our data for the Bayesian analysis of the order-by-order convergence of this expansion and enable us to infer the χ PT breakdown scale for m_N . We will perform the same analysis for g_A , which we discuss next.

¹ We thank M. Hoferichter for pointing this out.

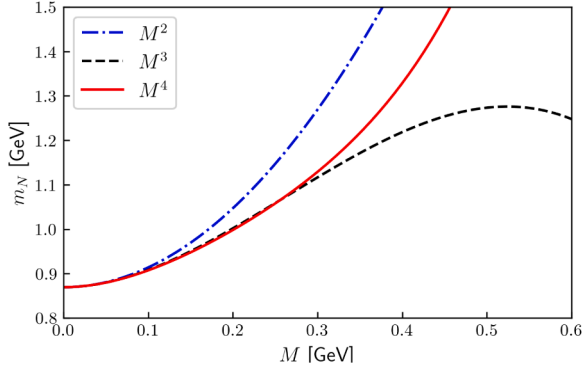


Fig. 1. Pion mass dependence of the nucleon mass m_N using “Set m_N ” given in Table 1.

The chiral expansion of the axial-vector coupling constant to order M^4 was recently derived in Ref. [43]²,

$$g_A = \hat{g} \left[1 + \left(\frac{\alpha_2}{(4\pi F)^2} \ln \left(\frac{M}{\mu} \right) + \beta_2 \right) M^2 + \alpha_3 M^3 \right. \quad (6)$$

$$\left. + \frac{1}{\hat{g}} \left(\frac{\alpha_4}{(4\pi F)^4} \ln^2 \left(\frac{M}{\mu} \right) \right) \right. \quad (7)$$

$$\left. + \frac{\gamma_4}{(4\pi F)^4} \ln \left(\frac{M}{\mu} \right) + \beta_4 + \hat{g} C \right] M^4. \quad (8)$$

The parameters α_2 and β_2 arising from the one-loop calculation were first given in Ref. [7],

$$\alpha_2 = -2 - 4\hat{g}^2 \quad (9)$$

$$\beta_2 = \frac{4}{\hat{g}} d_{16}^r(\mu) - \frac{\hat{g}^2}{(4\pi F)^2}. \quad (10)$$

The contribution cubic in the pion mass is known to give a large correction and was first calculated in Ref. [53],

$$\alpha_3 = \frac{1}{24\pi F^2 \hat{m}} (3 + 3\hat{g}^2 - 4\hat{m}c_3 + 8\hat{m}c_4). \quad (11)$$

The expressions for the coefficients of the M^4 contribution are given in Ref. [43]. The coefficient for the leading non-analytic term is

$$\alpha_4 = -\frac{7}{3} \hat{g}(1 - \hat{g}^2) + 16\hat{g}^5. \quad (12)$$

The parameters β_4 and γ_4 can be arranged according to the power of \hat{g} they include,

$$\gamma_4 = \sum_{i=0}^5 \hat{g}^i \gamma_4^{(i)}, \quad (13)$$

$$\beta_4 = \sum_{i=0}^5 \hat{g}^i \beta_4^{(i)}, \quad (14)$$

with $\gamma_4^{(4)} = \beta_4^{(4)} = 0$. The remaining terms are given by [43]

$$(4\pi F)^4 \beta_4^{(0)} = -4\pi^2 F^2 (2d_{10}^r + 4d_{11}^r + 3d_{12}^r + d_{13}^r),$$

$$(4\pi F)^4 \beta_4^{(1)} = -8\pi^2 F^2 (d_{14}^r + 2(d_1^r + d_2^r)) - \frac{\pi^2}{3} - 32\pi^2 l_3^r + \frac{3575}{864} + \frac{1}{2} \psi_{2/3}^{(1)} + \frac{16\pi^2 F^2}{\hat{m}} (c_2 + 4c_4 + \frac{1}{\hat{m}}),$$

$$(4\pi F)^4 \beta_4^{(2)} = -64\pi^2 F^2 (3d_{16}^r - d_{18}^r),$$

$$(4\pi F)^4 \beta_4^{(3)} = 32\pi^2 (-3l_3^r + l_4^r) - \frac{\pi^2}{27} (61 + 48 \log 3) - \frac{335}{432} - \frac{1}{6} \psi_{2/3}^{(1)} + \frac{32\pi^2 F^2}{\hat{m}^2},$$

² We thank the authors of Ref. [43] for their help in identifying some typos in the original expressions for the expansion coefficients; these are corrected in the following equations.

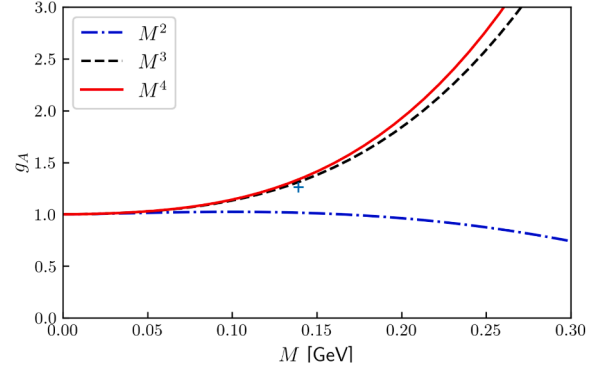


Fig. 2. Pion mass dependence of the axial-vector coupling constant g_A for “Set 1” given in Ref. [43] of LECs and $\hat{g} = 1$. For the unknown LEC, we use $C = 0$.

$$(4\pi F)^4 \beta_4^{(5)} = \frac{41}{36} + \frac{7}{3} \pi^2, \quad (15)$$

where $\psi_{2/3}^{(1)}$ denotes the first derivative of the digamma function evaluated at $\frac{2}{3}$, and

$$\gamma_4^{(0)} = 16\pi^2 F^2 (-20d_{16}^3 + 8d_{18}^r + 14d_{10}^r + 8d_{11}^r + 3d_{12}^r + d_{13}^r),$$

$$\gamma_4^{(1)} = -32\pi^2 F^2 (d_{14}^r - 2(d_1^r + d_2^r)) - 64\pi^2 (l_3^r - l_4^r) - \frac{389}{36} - \frac{64\pi^2 F^2}{\hat{m}} (c_2 + c_3 - c_4 - \frac{1}{2\hat{m}}),$$

$$\gamma_4^{(2)} = 256\pi^2 F^2 (d_{18}^r - 3d_{16}^r),$$

$$\gamma_4^{(3)} = -128\pi^2 (l_3^r - l_4^r) + \frac{1}{9} (13 - 16\pi^2) + \frac{48\pi^2 F^2}{\hat{m}^2},$$

$$\gamma_4^{(5)} = \frac{11}{3}. \quad (16)$$

The constant C denotes a linear combination of LECs from the fifth-order pion-nucleon Lagrangian. Its value is currently not determined, with Ref. [43] exploring its impact by considering two non-zero values. We set $C = 0$ in our analysis, but have checked that non-zero values such as those considered in Ref. [43] do not significantly impact our findings.

The pion mass dependence of g_A obtained in this expansion with LEC values from “Set 1” is shown in Fig. 2.

3. Analysis and results

We seek to infer the posterior probability densities $p(\Lambda_B | \mathbf{y}, I)$ for the breakdown scale Λ_B of χ PT conditioned on a set of order-by-order predictions for the single-nucleon observables m_N and g_A , respectively. The data vector \mathbf{y} contains the chiral expansion for either g_A or m_N , truncated at successive orders, as described in Section 2. The inference is also conditioned on assumptions, denoted I , that are outlined in Section 2 and below. Intuitively, the posterior for Λ_B reflects how well the chiral expansion converges across successive orders and encodes the extent to which the observed convergence pattern is consistent with the natural scales of the χ PT power counting, as reflected in the priors we place.

We use the point-wise method presented in Melendez et al. [30] and thus first use Bayes’ theorem to express the sought posterior as proportional to a likelihood times a prior

$$p(\Lambda_B | \mathbf{y}, I) \propto p(\mathbf{y} | \Lambda_B, I) \cdot p(\Lambda_B | I). \quad (17)$$

For the likelihood $p(\mathbf{y} | \Lambda_B, I)$ we assume that the n th chiral order prediction for an observable $X \in \{g_A, m_N\}$ follows a formal expansion [29]

$$X^{(n)} = X_{\text{ref}} + \sum_{i=0}^n c_i Q^i, \quad (18)$$

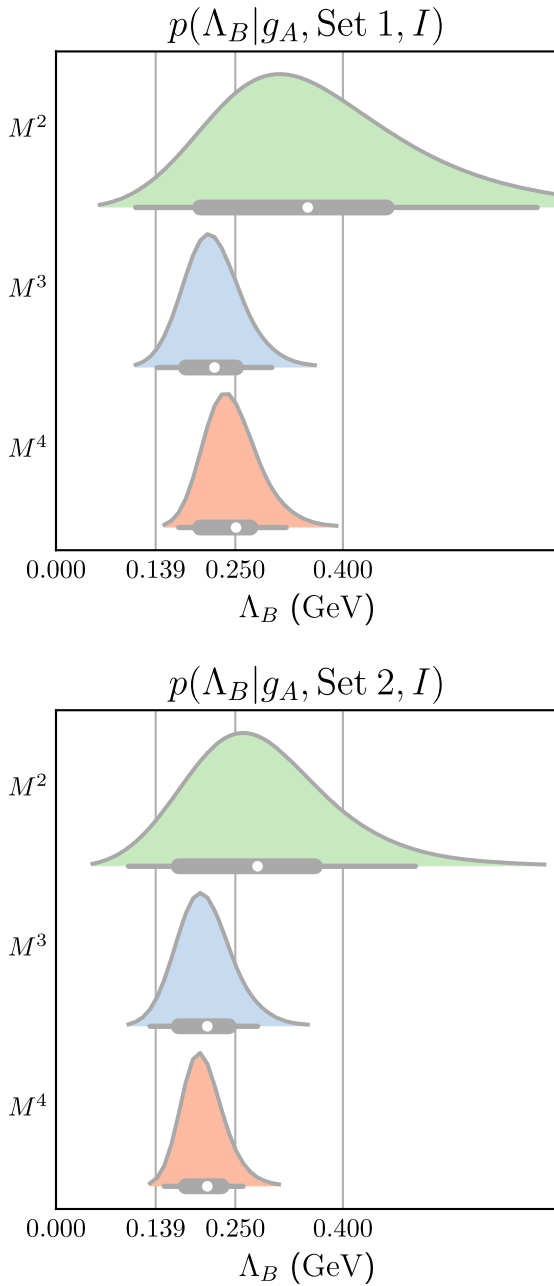


Fig. 3. Posteriors for the breakdown scale Λ_B obtained from the analysis of the order-by-order expansion of the axial-vector coupling constant g_A and using the values from Set 1 (top panel) and Set 2 (bottom panel) in Table 1 for the LECs.

with dimensionless expansion parameter $Q = M/\Lambda_B$ and coefficients c_i ³. The breakdown scale for the observable X corresponds to the pion mass at which all terms in its χ PT expansion give contributions of the same size. Therefore, once M actually reaches Λ_B we are well beyond the point at which χ PT is a useful calculational tool for X . We assume natural-sized coefficients by assigning a normal prior $c_i \stackrel{\text{iid}}{\sim} \mathcal{N}(0, \bar{c}^2)$ with a conjugate inverse-chi-squared χ^{-2} hyperprior for the variance \bar{c}^2 , i.e., we have $\bar{c}^2 \sim \chi^{-2}(\nu_0 = 2, \tau_0^2 = 1)$. This choice places about 67% of the probability for \bar{c}^2 within the natural range $[1/3, 3]$. For both ob-

³ Note that these c_i coefficients are distinct and not the same as the LECs entering the chiral expansions.

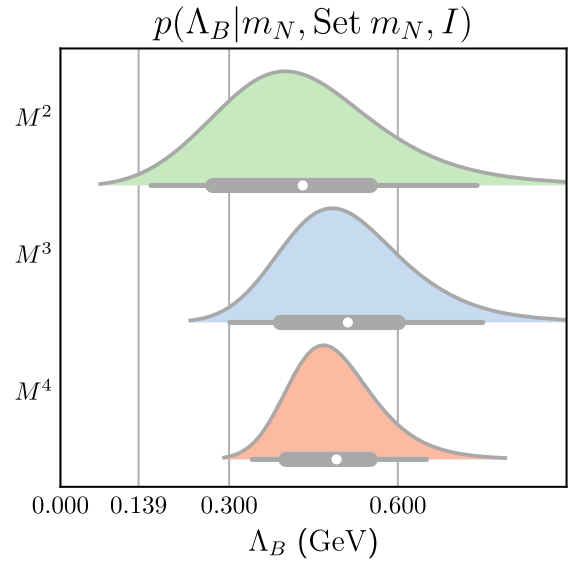


Fig. 4. Posteriors for the breakdown scale Λ_B obtained from the analysis of the order-by-order expansion of nucleon mass m_N and using the values from “Set m_N ” in Table 1 for the LECs.

Table 2

Median values and 68% degree of belief intervals (highest posterior density) for the breakdown scales, obtained at different orders in the chiral expansions for g_A and m_N . All values in MeV.

Order	$\Lambda_B(g_A; \text{Set 1})$	$\Lambda_B(g_A; \text{Set 2})$	$\Lambda_B(m_N; \text{Set } m_N)$
M^2	351 (201,461)	281 (171,361)	431 (271,551)
M^3	221 (181,251)	211 (171,241)	511 (391,601)
M^4	251 (201,271)	211 (181,231)	491 (401,551)

servables, we set the reference scale X_{ref} equal to the leading-order contribution, so that $c_0 = 1$. We then quantify the expansion coefficients c_i in Eq. (18) from the order-by-order calculations of X at $M = [9.85, 301.84]$ MeV. Here, we selected expansion data at two M -values to avoid over-confident posteriors by remaining consistent with the assumption of independent data⁴. For the prior $p(\Lambda_B|I)$ we assume a log-uniform distribution with compact support on the interval $[M_\pi^{(\text{phys})}/40, 40M_\pi^{(\text{phys})}]$. From this we infer posterior probability distributions for the χ PT breakdown scales for g_A and m_N as shown in Figs. 3 and 4, respectively. The order-by-order posteriors for Λ_B converge steadily, for both observables. The results for median values and 68% degree of belief intervals are summarized in Table 2.

We checked that our Bayesian analysis is robust with respect to variations in the employed priors and to different choices of point-wise M -values used for extracting the order-by-order expansion data. As we increase the number of soft-scale evaluation points, the posterior modes of the breakdown scales remain unchanged, while the corresponding distributions become narrower. This is not a true reduction in posterior uncertainty since our analysis does not account for the finite correlation length of the EFT expansion coefficients c_i .

4. Summary and discussion

We have used Bayesian methods to infer a breakdown scale of approximately 230 MeV when analyzing the χ PT expansion of the

⁴ One might be concerned that this interval includes M values that are potentially larger than Λ_B . However, this does not invalidate the use of Eq. (17) to calculate the Λ_B posterior.

axial-vector coupling g_A and 490 MeV when considering the expansion of the nucleon mass, m_N . We note that these values for Λ_B were derived using point estimates of the LECs that appear in the χ PT expansion of m_N and g_A . In this paper we considered neither the uncertainties on the LECs that appear in Table 1 nor the fact that different analysis choices lead to markedly different values for the d_i 's. Bayesian parameter estimation for the LECs in the m_N expansion was discussed more than fifteen years ago [54], but only with the breakdown scale fixed. A complete Bayesian analysis of the convergence of the EFT would estimate the LECs from data in the presence of truncation errors and then consistently determine Λ_B as part of that inference process. Refs. [32,39] provide examples of such an analysis for, respectively, χ EFT for few-nucleon bound-state observables and pionless EFT for nucleon-nucleon scattering.

While it has been known for some time that the convergence of baryon χ PT for g_A is worse than that for m_N , our Bayesian analysis quantifies the strength of this inference. We conjecture that the much lower number found for Λ_B in the case of the expansion of g_A is connected to the nucleon- Δ mass splitting. Meanwhile, the breakdown scale inferred in the case of m_N is closer to the mass scale of light mesonic degrees of freedom not explicitly included in χ PT.

This emphasizes that the breakdown scale inferred from an effective field theory expansion of an observable will typically depend on the observable(s) used for inference. We note that the breakdown scale of nucleon-nucleon scattering in chiral effective theory has been inferred to be higher than both breakdown scales found here, at approximately 600 MeV [31–34,36]. Even though there is evidence that the breakdown scale in nucleon-nucleon scattering is lower in a strictly renormalizable implementation of χ EFT [55], nucleon-nucleon scattering does not appear to show strong sensitivity to the presence of the Δ -excitation.

However, it remains an open question whether there are certain nuclear matrix elements that are influenced by Δ excitation and so also exhibit a lower Λ_B . For example, the two-nucleon axial current involves the linear combination $c_3 + 2c_4$ of pion-nucleon LECs. These are the same LECs responsible for the large M^3 correction in the chiral expansion of g_A . However, in the case of the two-nucleon axial current they occur with the same sign, leading to cancellation instead of reinforcement. Thus, ultimately the contribution of this current to most nuclear β -decay matrix elements is of the size expected from the χ EFT counting.

Our results also raise the important question of whether the breakdown scale inferred for observables in powers of the pion mass M is the same for observables that are also momentum-dependent and thus also expanded in powers of the momentum \mathbf{q} . This outcome would, for example, have important consequences for χ PT calculations of form factors such as the axial form factor.

Data availability

No data was used for the research described in the article.

Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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