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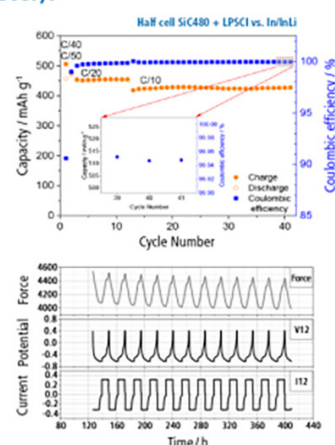
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# Following the Electrochemical Oxidation of Au(111) in Real-Time Using Surface Optical Reflectance and Total-Reflection X-Ray Absorption Spectroscopy

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Under electrochemical polarization, many electrocatalysts can undergo oxidation that alters their reactivity and can lead to restructuring and dissolution. In this context, the structure-property relationships of electrocatalysts, as well as their kinetics and dynamic behaviour, are still poorly understood. This knowledge gap mainly relies on the limitations of many traditional spectroscopic and structure determination methods, which cannot be easily coupled in situ with electrochemical methods. In this work, we performed cyclic voltammetry (CV) combined with 2D surface optical reflectance (2D-SOR) and total reflection X-ray absorption spectroscopy (ReflEXAFS) in a single *operando* experiment, to directly follow the electro-oxidation and reduction of a Au(111) model electrode. Our results show that the surface in 0.05 M H<sub>2</sub>SO<sub>4</sub> forms a self-limiting Au<sup>3+</sup> oxide or hydroxide film around 1.5 V<sub>RHE</sub> during the anodic scan in the CV (2 mV s<sup>-1</sup>), with a thickness of ~5 Å estimated from the 2D-SOR measurements. The film is then rapidly reduced during the cathodic scan around 1.1 V<sub>RHE</sub>.

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Many important electrochemical (EC) reactions occur at EC potentials where the surface of electrodes may be oxidized, such as the Oxygen Evolution Reaction (OER),<sup>1,2</sup> Oxygen Reduction Reaction (ORR),<sup>3</sup> and EC oxidation of Methanol,<sup>4</sup> CO,<sup>5</sup> and Glucose.<sup>6</sup> It has been shown that changes in red-ox chemistry at the surface alters the reactivity<sup>1,5,7</sup> or selectivity<sup>4,8</sup> of reactions and can be coupled to dissolution and hence catalyst degradation.<sup>9,10</sup> These complex processes originate at the electrode-electrolyte interface and their atomic and molecular scale understanding can provide useful insights to design more efficient and cost-effective electrocatalysts.<sup>4,8,11,12</sup> However, traditional electro-analytical tools, e.g. impedance spectroscopy and sweep voltammetry,<sup>13,14</sup> despite successful, do not directly provide such detailed and precise information.<sup>15–17</sup> Therefore, it is essential to use complementary experimental methods that allow to study the dynamic evolution of model electrodes surfaces under relevant conditions, ideally during the EC measurements, to unveil the details of the surface behaviour with a multimodal approach.<sup>18–22</sup>

2D surface optical reflectance (2D-SOR) is emerging as a versatile, powerful and cost-effective method to directly image model surfaces in harsh reactive environments with sub-nanometer surface sensitivity.<sup>23–25</sup> Deconvoluting the contributions to the overall reflectance changes is essential to advance and spread the use of this technique and a suitable approach is combining 2D-SOR with other time-resolved surface-sensitive methods to study model systems. To this end, advances in synchrotron radiation instrumentation and experimental methodologies have enhanced prevailing *operando* surface-sensitive techniques, unlocking new possibilities and perspectives for X-ray studies in heterogeneous catalysis,<sup>21,26–29</sup> thereby paving the way to explore the electrified solid-liquid interface with soft X-rays, e.g. ambient pressure XPS (AP-XPS),<sup>18,28,30,31</sup>

or hard X-rays, e.g. high-energy surface X-ray diffraction (HESXRD),<sup>19,32</sup> and total-reflection X-ray absorption fine structure spectroscopy (ReflEXAFS).<sup>33–36</sup> In the case of surfaces or substrate-supported thin films and nanoparticles, the signal can be enhanced by focusing the incoming X-ray beam on the sample surface, in the so-called grazing incidence (GI) geometry.<sup>21,26,37,38</sup> Provided a sufficiently flat and smooth surface, i.e. mirror-polished, if the grazing angle is set below the critical angle for total external reflection, the X-rays will exhibit the lowest penetration depth in the material.<sup>33,39,40</sup> However, at very shallow incident angles the footprint of e.g. a 100 μm wide X-ray beam on the sample can be several millimetres, hence, this configuration requires a precise alignment in all directions, using a stable and extremely focused beam.<sup>41,42</sup> Finally, the sample size and the sample environment need to be designed carefully to accommodate the X-ray beam footprint, while avoiding excessive attenuation of the X-ray beam through the electrolyte.<sup>41,42</sup> In these conditions, fluorescent detected X-ray absorption fine structure under grazing incidence (GI-XAFS) was shown to be a powerful spectroscopic method for *operando* experiments in heterogeneous catalysis and electrochemistry.<sup>37,42–45</sup> However, GI-XAFS has been only used for nanoparticles and thin films supported by a substrate of a different material, since it can be measured in fluorescence mode unhindered by any scattering from the substrate.<sup>37,42–45</sup> In the case of thick samples where the surface and the bulk contain the same species, e.g. single crystals or industrial alloys, the fluorescence signal from the surface cannot be easily distinguished from that of the bulk.<sup>33</sup> Provided a flat and smooth surface, ReflEXAFS can be used to minimize the substrate contribution, by measuring the reflected beam intensity as a function of the incident beam energy.<sup>33,46–49</sup> Particularly, the possibility to use this method under electrochemical conditions to obtain surface information was demonstrated for sputtered metal films<sup>34,50</sup> and it was only recently shown to be suitable for thick model electrodes.<sup>33,36</sup>

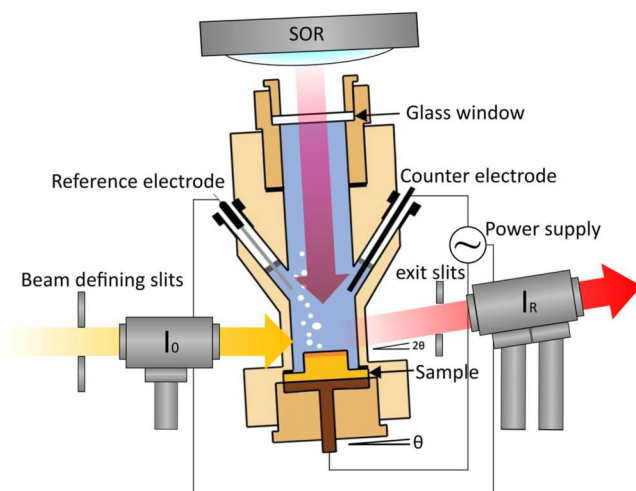
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Au single crystals have been commonly used as model electrodes to investigate the fundamental steps in electrocatalytic reactions,<sup>51</sup> e. g. to study the oxidation of methanol,<sup>52</sup> CO,<sup>53</sup> and glucose.<sup>54</sup> All these reactions take place at high anodic potentials, where the gold surface can be oxidized. In a previous study on the electro-oxidation of Au(111) model electrode in acidic electrolyte, the same EC cell used in this experiment was employed for *operando* time-resolved HESXRD and 2D surface optical reflectance (2D-SOR).<sup>23,32</sup> Thanks to the high beam energy used in the HESXRD experiment (60–80 keV), the attenuation through the electrolyte is significantly lowered and the size of the Ewald sphere is increased, simplifying and accelerating the data acquisition process.<sup>26,32</sup> As a result, the HESXRD measurements revealed a steep decrease in the Au(111) crystal truncation rods (CTR) signal intensity accompanied by a steep decrease in the 2D-SOR intensity when the potential reaches ca.  $1.5 V_{\text{RHE}}$  during cyclic voltammetry (CV) at  $10 \text{ mV s}^{-1}$  in  $0.1 \text{ M HClO}_4$ .<sup>32</sup> At this potential, an anodic current peak is observed in the CV and an ultra-thin gold oxide/hydroxide film has been claimed to form on the Au(111) surface, before significant OER current is observed (OER onset).<sup>1,55–57</sup> However, although HESXRD and 2D-SOR suggest that oxidation and reduction dynamics can be monitored with relatively high time-resolution, the surface structural information from HESXRD is limited: CTR intensities quickly drop and there is no direct evidence of any new structural feature associated to any hydroxide/oxide formation, as also observed on Pt.<sup>17,58</sup> This is believed to result from the amorphous nature of these hydroxide/oxide thin films forming on the surface.<sup>32,34,59</sup> Due to the ambiguity in literature about the nature of these hydroxides, oxides or hydrated-oxides phases, we will generally refer to them as (hydr-)oxides.

As an alternative to HESXRD, RefleXAFS can be used to detect directly the oxidation and reduction of a model gold electrode under constant anodic polarization<sup>33</sup> and recently we have shown that this can be done even dynamically during CV.<sup>36</sup> Although an energy-dependent X-ray reflectance model is needed to accurately quantify the amount of near-surface species using RefleXAFS, the cationic character of the surface was clearly revealed by an increase of the so-called absorption “white line” intensity at the Au  $L_3$ -edge.<sup>60–62</sup> In the present study, we combine for the first time in a single experiment time-resolved RefleXAFS and 2D-SOR to directly follow the electro-oxidation and reduction of Au(111) during CV in  $0.05 \text{ M H}_2\text{SO}_4$  at  $2 \text{ mV s}^{-1}$ . The RefleXAFS data presented in this work belong to our recent multimodal study<sup>36</sup> and are here complemented by 2D-SOR to demonstrate their capabilities and to estimate the (hydr-)oxide film thickness. We show that the Au(111) surface quickly forms an ultra-thin  $\text{Au}^{3+}$  (hydr-)oxide during the anodic (upward) scan around  $1.5 V_{\text{RHE}}$ , displaying a self-limiting behaviour. The thickness for a  $\text{Au}_2\text{O}_3$  film formed above  $1.5 V_{\text{RHE}}$  is estimated from the changes in 2D-SOR intensity to be around  $\sim 5 \text{ \AA}$  and is discussed in comparison to the EC current and RefleXAFS measurements. Finally, besides surface oxidation and reduction phenomena, we discuss the additional surface changes revealed by 2D-SOR as a function of potential in the light of existing surface structure models.

## Methods

A schematic of the poly-ether ether ketone (PEEK) EC flow-cell combined with RefleXAFS and 2D-SOR used at the Balder beamline of MAX IV (Lund, Sweden) is shown in Fig. 1. The EC cell and 2D-SOR are well described in previous studies,<sup>23,33,63,64</sup> with wall thickness of  $200 \mu\text{m}$ . The EC cell and 2D-SOR setup are mounted on top of a goniometer with the hat-shaped sample surface sitting parallel to the polarization direction of the beam. The 2D-SOR images were acquired at a frame rate of 1 Hz and were normalized dividing all the raw images by the initial raw image acquired at the open circuit potential (OCP) when the surface appeared in a metallic state. For RefleXAFS measurements, each spectrum was recorded in 5 s and it was not necessary to merge several spectra to obtain sufficiently good signal-to-noise ratio. The calculation of the critical

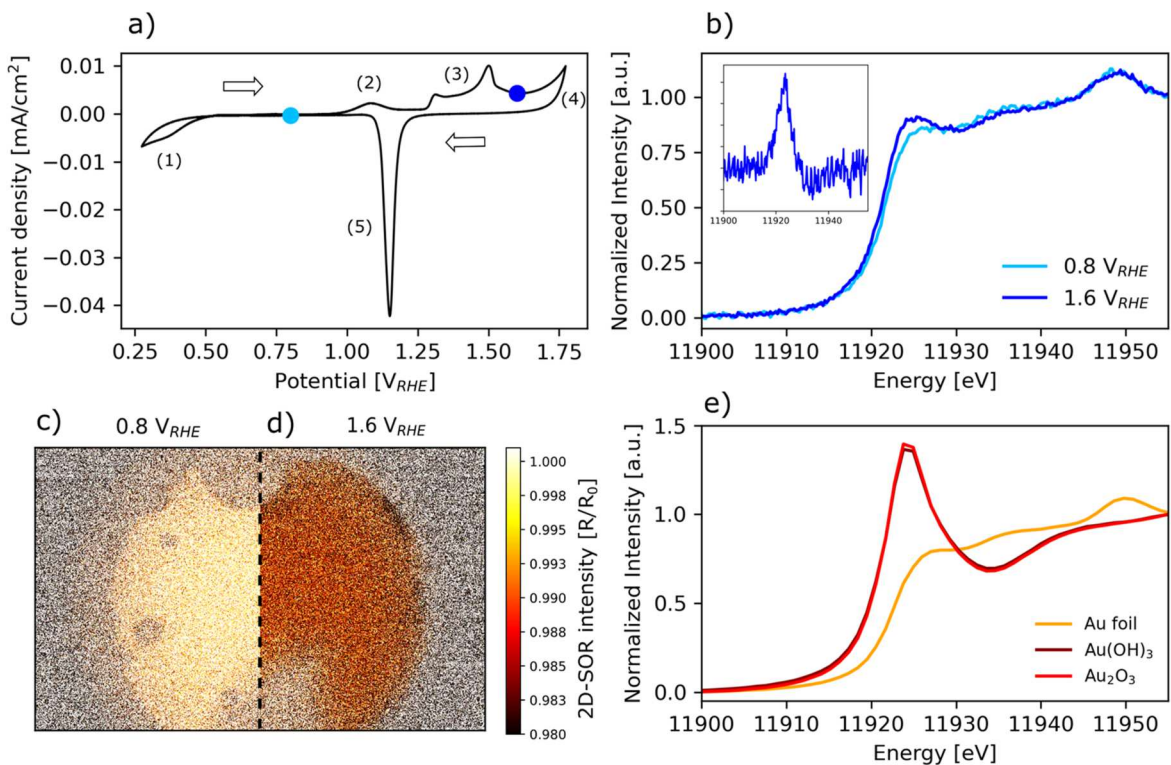


**Figure 1.** Schematic of the EC flow cell combined with 2D-SOR and RefleXAFS setup used at the Balder beamline of MAX IV (Lund, Sweden), adapted from Ref 36.

angle for a thick gold substrate at the interface with ultra-high vacuum (UHV),  $\text{H}_2\text{O}$  or  $\text{H}_2\text{SO}_4$  using the Au  $L_3$ -edge beam energy (11919 eV) are reported in the supplementary information (SI) (Table S1), showing values above  $0.3^\circ$  ( $\sim 5.2 \text{ mrad}$ ). The incident angle used in this experiment was set to  $0.2^\circ$  ( $\sim 3.5 \text{ mrad}$ ). The incident beam ( $I_0$ ) horizontal size was set to a full-width half maximum (FWHM) of  $100 \mu\text{m}$  and the vertical size to a FWHM of  $12 \mu\text{m}$ , which provides a beam footprint of approximately  $3.5 \text{ mm}$ , well below the sample surface diameter of  $7.5 \text{ mm}$ . The Ionization chamber to measure the totally reflected beam ( $I_R$ ) was tilted by approximately  $0.2^\circ$  and then the angular acceptance was defined by horizontal and vertical slits placed between the EC cell and the ionization chamber. Both ionization chambers (to measure respectively  $I_0$  and  $I_R$ ) were filled with  $0.1 \text{ bar}$  of Ar and  $1.9 \text{ bar}$  of  $\text{N}_2$ .

For easy visualization and comparison with the reference X-ray absorption near-edge spectra (XANES) measured in transmission mode, the energy-dependent reflectance is normalized in the same way as a traditional XANES data treatment,<sup>35,65,66</sup> i.e. normalizing the  $-\ln(I_R/I_0)$  as shown in Fig. S1. Due to the nature of the reflection process and the anomaly in the refractive index close to an absorption edge, the contribution of edge features to the RefleXAFS spectra can arise from both the contribution of the evanescent wave absorption process as well as transmission through island-like features under shallow incidence and reflection angle. For an incidence angle far below the critical angle the spectral shape of the absorption fine structure comes close to traditional transmission data.<sup>49,50</sup> Significant deviations of the RefleXAFS features measured in total reflection compared to traditional reference XANES are indeed not evident in our data. While disentangling the individual contributions for fully quantitative determinations is in focus of our future work, we here perform a rather qualitative comparison to normal reference transmission spectra and take advantage of 2D-SOR to quantify the (hydr-)oxide thickness. The reference XANES spectra were measured in transmission mode from a Au foil provided at the beamline, and from pellets of  $\text{Au}_2\text{O}_3$  and  $\text{Au}(\text{OH})_3$  powders purchased from American Elements® (US). The powders were exposed to ambient air at room temperature during the pellet preparation and during measurements, while cellulose was used as a binder.

The single-crystalline Au(111) sample was provided by Surface Preparation Laboratory (SPL, Netherlands) and the top-most surface was mirror polished from SPL with an average roughness  $R_a < 0.03 \mu\text{m}$ . After polishing and prior to the experiment, the sample was flame annealed with a butane torch and subsequently cooled in air. The EC cell and tubing system were cleaned with  $30/70 \text{ v/v HNO}_3(65\%)/\text{H}_2\text{SO}_4(96\%)$  solution followed by rinsing with



**Figure 2.** (a) The first CV at  $2 \text{ mV s}^{-1}$  in  $0.05 \text{ M H}_2\text{SO}_4$  between  $0.27$  and  $1.77 \text{ V}_{\text{RHE}}$  during the time-resolved experiment. The arrows show the directions of the scan, the blue dots correspond to the potential where the RefleXAFS spectra shown in (b) and the 2D-SOR images shown in (c) and (d) were obtained. (b) Example of the normalized time-resolved RefleXAFS spectra measured at  $0.8 \text{ V}_{\text{RHE}}$  and  $1.6 \text{ V}_{\text{RHE}}$  during the upward CV scan. To highlight the white line intensity increase, the inset shows the difference between the two spectra. (c), (d) Normalized 2D-SOR images measured during the CV at the two potentials corresponding to the spectra shown in (b). The intensity is normalized to the reflectance at OCP. (e) Reference XANES spectra of Au foil and  $\text{Au}_2\text{O}_3$  and  $\text{Au}(\text{OH})_3$  pellets.

ultrapure Milli-Q  $\text{H}_2\text{O}$  (mQ,  $18.2 \text{ M}\Omega$ ) boiling water. The glassware was cleaned with a  $50/50 \text{ v/v HNO}_3(65\%)/\text{H}_2\text{SO}_4(96\%)$  solution followed by rinsing cycles with mQ boiling water. The electrolyte was prepared with Suprapur<sup>®</sup>  $\text{H}_2\text{SO}_4(96\%)$  and mQ and then purged with Ar bubbling for at least 1 hour before the experiment.

The EC measurements were performed using an Autolab PGSTAT101 potentiostat. A Pt rod was used as counter electrode, and an eDAQ ET072-1 leakless miniature Ag/AgCl was used as reference electrode (RE), which was first calibrated with respect to a standard Ag/AgCl RE. The time-resolved experiment was performed in static electrolyte and consisted of 2 CV cycles performed at  $2 \text{ mV s}^{-1}$  in the potential window  $0.27$ – $1.77 \text{ V}_{\text{RHE}}$ , while measuring 2D-SOR and RefleXAFS. The CV shown in this work is not iR-compensated. We notice here that the sides of the single-crystal are exposed to the electrolyte and thus contribute to the overall voltametric response (at least 50% of the total surface area).

## Results and Discussion

Figure 2a shows the first of the two CV cycles of Au(111) in  $0.05 \text{ M H}_2\text{SO}_4$  in the range  $0.27$ – $1.77 \text{ V}_{\text{RHE}}$  at  $2 \text{ mV s}^{-1}$  performed during the time-resolved measurements. The arrows in Fig. 2a indicate the directions of the anodic (upward) and cathodic (downward) scans of the CV. The two blue coloured dots correspond to the potentials in the anodic (upward) scan at which the time-resolved RefleXAFS spectra shown in Fig. 2b were measured. The inset of Fig. 2b shows the difference between the two spectra. Figures 2c and 2d show the 2D-SOR images obtained during the time-resolved measurements at the potentials corresponding to the RefleXAFS spectra shown in Fig. 2b. The reference XANES spectra of  $\text{Au}_2\text{O}_3$ ,  $\text{Au}(\text{OH})_3$  and Au foil are shown in Fig. 2e, where  $\text{Au}(\text{OH})_3$  shows the same XANES features of  $\text{Au}_2\text{O}_3$  and are used here as a reference for  $\text{Au}^{3+}$  species.

As can be observed in the CV of Fig. 2a, almost no current is measured between  $0.5 \text{ V}_{\text{RHE}}$  and  $0.9 \text{ V}_{\text{RHE}}$ , supposedly because this region lies where there should only be the current associated to the charging and restructuring of the EC double layer (EDL).<sup>67,68</sup> Below  $0.5 \text{ V}_{\text{RHE}}$ , an increasing cathodic current is observed (1) and is attributed to the oxygen reduction reaction (ORR) from the small amount of dissolved oxygen left in the electrolyte.<sup>33</sup> During ORR,  $\text{O}_2$  can be converted to  $\text{H}_2\text{O}$  ( $4e^-$  pathway) or to  $\text{H}_2\text{O}_2$  ( $2e^-$  pathway) depending on the selectivity of the catalysts, electrolyte pH and applied potential.<sup>69</sup> Differently from e.g. Pt, Au is more likely to undergo both pathways, reducing  $\text{O}_2$  to both  $\text{H}_2\text{O}$  and  $\text{H}_2\text{O}_2$ .<sup>70</sup> In fact, the anodic peak observed in the upward scan around  $1.1 \text{ V}_{\text{RHE}}$  (2) could be associated to oxidation of the produced  $\text{H}_2\text{O}_2$ , as also observed in previous studies.<sup>70</sup> At higher potentials, the characteristic so-called oxidation peaks (3) of Au are revealed between  $1.3 \text{ V}_{\text{RHE}}$  and  $1.6 \text{ V}_{\text{RHE}}$ , where each gold facet is expected to oxidize at a discrete potential depending on the surface orientation.<sup>55,71</sup> Since the sides of the single crystal are also exposed to the electrolyte, additional oxidation peaks appear in this potential range close to the Au(111) oxidation peak, but our surface sensitive methods allow to identify the potentials where oxidation of the Au(111) surface take place. At higher potentials in Fig. 2a, the further increase of the current above  $1.75 \text{ V}_{\text{RHE}}$  (4) is attributed to the OER onset.<sup>1</sup> During the cathodic (downward) scan, a current peak (5) is observed around  $1.1 \text{ V}_{\text{RHE}}$ , commonly attributed to the reduction of the (hydr-)oxide.<sup>9,72</sup>

As can be seen in Fig. 2b, the main change observed in the RefleXAFS spectra is the small increase of the white line intensity around  $11923 \text{ eV}$ , which is indicative of a cationic character present at the surface.<sup>33,60,73</sup> To emphasize the increase of the white line intensity, the inset in Fig. 2b shows the difference RefleXAFS spectrum obtained by subtracting the spectrum at  $0.8 \text{ V}_{\text{RHE}}$  from the spectrum at  $1.6 \text{ V}_{\text{RHE}}$ , as also shown in Fig. S2. For comparison, also

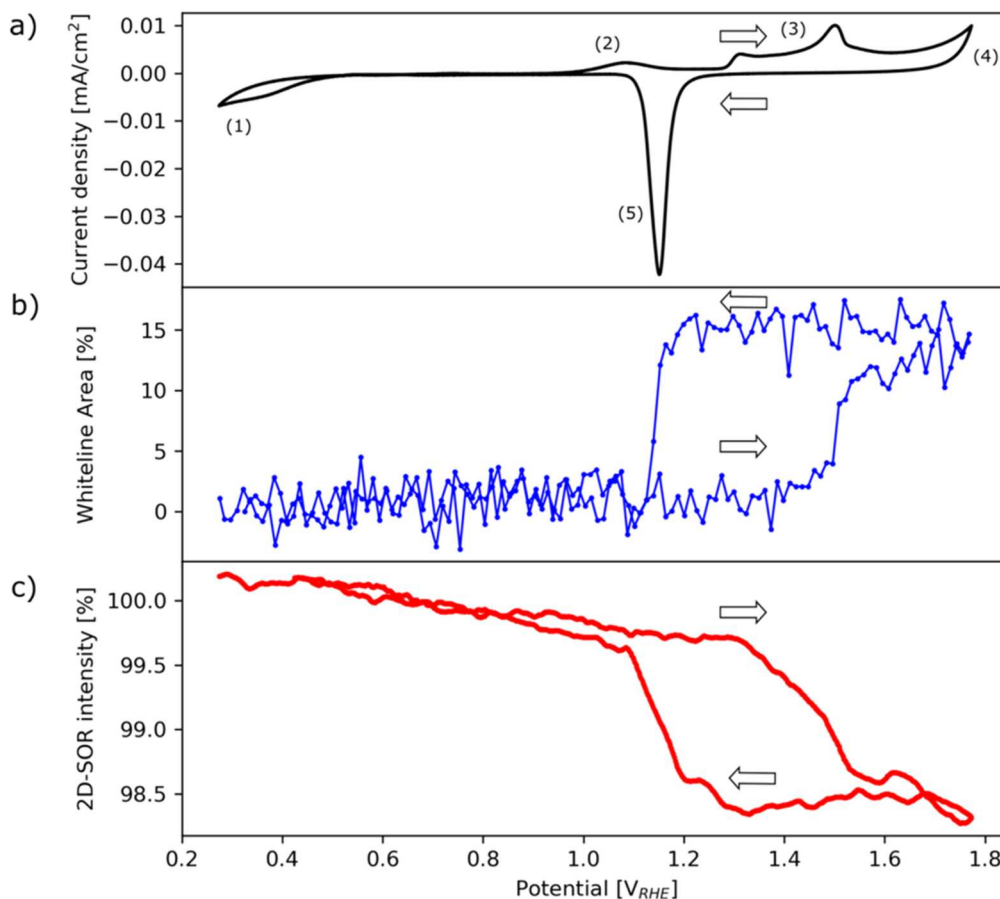
the difference reference XANES for the Au<sub>2</sub>O<sub>3</sub> pellet is plotted in Fig. S2, where the metallic reference XANES spectrum of the Au foil is subtracted from that of the Au<sub>2</sub>O<sub>3</sub> pellet. Despite some uncertainties about the exact near-edge XAFS features of differently oxidized Au-species, Au<sup>3+</sup> ions are expected to largely influence the white line intensity.<sup>33,60,73</sup> Indeed, a clear increase of the white line intensity is observed in the reference XANES of the Au<sub>2</sub>O<sub>3</sub> and Au(OH)<sub>3</sub> pellets (Fig. 2e) and is highlighted in the difference reference XANES (Fig. S2), suggesting that the surface could be oxidized to a 3+ state, as proposed by previous density functional theory and surface-enhanced Raman spectroscopy studies.<sup>1</sup> These details are further disclosed in our recent work.<sup>36</sup> In conjunction with the increase of the white line intensity described above, a clear decrease in the 2D-SOR intensity is observed (Figs. 2c, 2d) during the anodic (upward) scan, which can be attributed to a change of the surface refractive index due to its oxidation.<sup>32,71</sup>

As a summary of the entire time-resolved RefleXAFS experiment, a complete dataset of the normalized RefleXAFS spectra during the two CV cycles in the potential range between 0.27 and 1.77 V<sub>RHE</sub> is shown in Fig. S3. Figures 3b and 3c show the changes of the RefleXAFS white line and 2D-SOR intensities during the CV scan. The white line intensity is calculated as the area integrated under the first peak in the difference RefleXAFS spectra around 11923 eV, e.g. the peak shown in the inset of Fig. 2b. The integrated area is then normalized to the area integrated from the difference reference XANES shown in Fig. S2a, in this manner a 100% increase of the RefleXAFS white line intensity would be what is expected for a thick (hydr-)oxide, where the entire absorbing volume would only consist of Au<sup>3+</sup> species, as a reference. The 2D-SOR intensity is calculated from the blue ROI shown in Fig. S4 and is normalized with respect to the ROI intensity at OCP. Finally, the plot of the 2D-

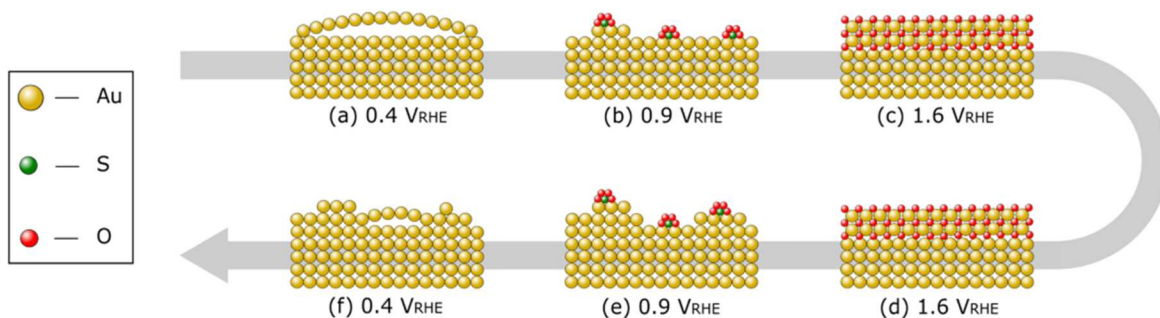
SOR intensity is smoothed using a kernel smoothing function with a moving average of 50 datapoints. Additional ROIs have been chosen for comparison, all of them showing the same trend (Fig. S4). Sped up movies of the related time-resolved 2D-SOR and RefleXAFS measurements during the CV cycles are uploaded as supplementary material.

In Fig. 3b during the anodic (upward) scan, a steep increase of the white line intensity is observed around 1.5 V<sub>RHE</sub>, in correspondence of the main oxidation peak in Fig. 3a, and is thus attributed to a quick oxidation of the Au(111) surface. These results are in good agreement with previous studies on Au(111) using EC scanning tunnelling microscopy,<sup>74</sup> 2D-SOR<sup>23,32</sup> and HESXRD.<sup>23,32</sup> Above 1.5 V<sub>RHE</sub>, the (hydr-)oxide signal only slightly increases while approaching the OER onset (4), this suggests a self-limiting behaviour of the thin (hydr-)oxide film in this potential region.<sup>13</sup> As the potential scan is reversed downward (cathodic scan), the white line intensity is stable, until it quickly reduces when the reduction potential is approached (5). The drop of the RefleXAFS signal is sharp and coincides exactly with the reduction peak in the CV (5). Finally, at low potentials in Fig. 3b, the white line intensity does not show significant changes during ORR (1) as well as in the entire EDL region, suggesting that the RefleXAFS measurements were not sensitive to the small changes of the near-edge features expected for e.g. the adsorption and desorption of oxygenated species and the lifting of the surface reconstruction taking place in the EDL region.<sup>32,67,75</sup> On the contrary, in this low potential region the 2D-SOR measurements exhibit a small linear decrease of the intensity with increasing polarization, further discussed below.

In Fig. 3c, the overall trend of the 2D-SOR intensity during the oxidation-reduction of the surface is inversely correlated to that of the white line intensity, and it agrees well with the previous 2D-SOR



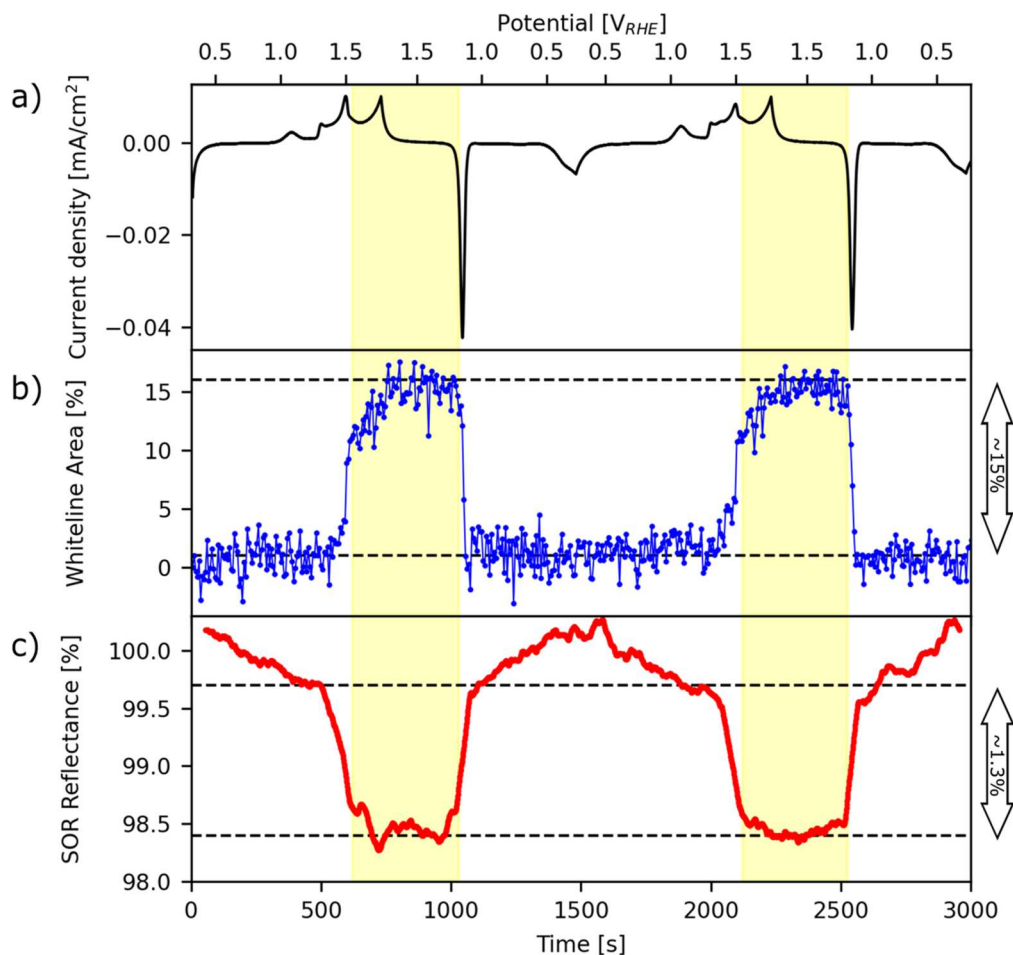
**Figure 3.** (a) CV of Au (111) in 0.05 M H<sub>2</sub>SO<sub>4</sub> in the range 0.27–1.77 V<sub>RHE</sub> at 2 mV s<sup>-1</sup>. (b) RefleXAFS white line intensity normalized to the intensity of the reference XANES. (c) 2D-SOR intensity normalized to the reflectance at OCP.



**Figure 4.** Ball models of the Au(111) surface during the CV in 0.05 M  $\text{H}_2\text{SO}_4$ , inspired by Kondo et al.<sup>75</sup>

studies during CV on Au(111) in  $\text{H}_2\text{SO}_4$  and  $\text{HClO}_4$ .<sup>32,64</sup> However, a small linear decrease of the SOR intensity with increasing polarization is observed in the low potential region, and might be attributed to the adsorption/desorption of oxygenated species and the reconstruction of the surface in the ORR and EDL regions,<sup>32,76</sup> as shown in the proposed atomic model (Fig. 4) inspired by Kondo et al.<sup>75</sup> According to the previous XRR<sup>75</sup> and HESXRD<sup>32</sup> studies, the pristine surface exhibits the typical herringbone-like surface reconstruction below ca. 0.5–0.6  $V_{\text{RHE}}$  in the anodic (upward) scan (Fig. 4a). In this potential region the 2D-SOR measurements show the highest reflectance (Fig. 3c). Above 0.5–0.6  $V_{\text{RHE}}$ , the 2D-SOR intensity starts decreasing slowly with the increasing anodic

polarization, and the onset of such decrease was previously shown to coincide with the removal of the herringbone reconstruction,<sup>32</sup> which occurs in conjunction with the adsorption of sulphate anions ( $\text{SO}_4^{2-}$  or  $\text{HSO}_4^-$ ) (Figs. 4b, 4e) and potentially other oxygenated species, e.g.  $\text{OH}^-$ .<sup>67,68,75</sup> Furthermore, there can also be other simultaneous phenomena that might influence the 2D-SOR intensity in this potential region other than adsorption, e.g. the creation of Au nano-islands as a result of the herringbone lifting,<sup>59,77</sup> or changes in the optical reflectivity of a metallic surface due to the presence of an electric field.<sup>78</sup> Above 1.5  $V_{\text{RHE}}$  the surface appears oxidized (Fig. 4c), as previously described, and it remains oxidized during the downward scan (Fig. 4d) until the reduction peak is approached.



**Figure 5.** (a) Current density measured during two CV cycles on Au(111) in 0.05 M  $\text{H}_2\text{SO}_4$  between 0.27  $V_{\text{RHE}}$  and 1.77  $V_{\text{RHE}}$  at 2  $\text{mV s}^{-1}$ , plotted vs time. The first CV cycle corresponds to Fig. 3a. (b) RefleXAFS white line intensity, measured during the two CV cycles shown in panel a, plotted vs time. The white line intensity is normalized to the reference XANES intensity as in Fig. 3b. The yellow region indicates where the surface is clearly oxidized (above  $\sim 10\%$  increase), according to the RefleXAFS signal. (c) 2D-SOR intensity measured during the two CV cycles, plotted vs time. The 2D-SOR intensity is normalized to the reflectance at OCP as in Fig. 3c.

Then, the (hydr)-oxide is reduced around 1.1  $V_{\text{RHE}}$  and the 2D-SOR intensity in Fig. 3c steeply increases, but the surface is expected to be rougher and with smaller or no regions exhibiting the reconstructed surface<sup>67,77,79</sup> (Fig. 4f).

For a better comparison of the relative increase of the RefleXAFS white line intensity and the relative decrease of the 2D-SOR intensity over time, the results are summarised in Fig. 5 for both the CV cycles. The yellow region in Figs. 5a and 5b highlights where the surface is expected to be oxidized, while the dashed lines define the increase of the RefleXAFS white line intensity ( $\sim 15\%$ ) and the decrease of the 2D-SOR intensity ( $\sim 1.3\%$ ) due to surface oxidation. The dependence of the 2D-SOR intensity on the (hydr)-oxide thickness could be modelled with the Fresnel's equation<sup>71,80,81</sup> (Fig. S5). Using the refractive indexes of  $\text{H}_2\text{O}$ , bulk Au, and bulk  $\text{Au}_2\text{O}_3$ , we estimate a thickness of  $\sim 5 \text{ \AA}$ , in good agreement with the previous XRR studies<sup>75</sup> and with the apparent thickness estimated from the reduction peak charge in Fig. S6 ( $\sim 6 \text{ \AA}$ ). These values are also meaningful when compared to the intensity increase of the RefleXAFS white line intensity ( $\sim 15\%$  increase): the X-rays penetration depth in the material in total reflection condition corresponds to 2–3 nm, suggesting that the (hydr)-oxide film formed below the onset of OER should correspond to only a few atomic layers.

### Conclusions













We have followed the electro-oxidation and reduction of a Au(111) surface using RefleXAFS and 2D-SOR simultaneously during CV in 0.05 M  $\text{H}_2\text{SO}_4$  at 2 mV  $\text{s}^{-1}$ , with a time resolution of one RefleXAFS spectrum measured every 5 s. Around 1.5  $V_{\text{RHE}}$  during the anodic (upward) CV scan, a sudden increase of the Au  $L_{3\text{-edge}}$  white line intensity is observed in the RefleXAFS spectra which demonstrate the formation of an ultra-thin  $\text{Au}^{3+}$  (hydr)-oxide film. The white line intensity does not further increase significantly, suggesting that the surface (hydr)-oxide shows a self-limiting thickness in this potential range. Similarly, the 2D-SOR intensity shows a significant decrease at the oxidation potential, that can be explained by a change of the surface refractive index during oxidation. The drop in the 2D-SOR signal can be used to estimate the ultra-thin surface (hydr)-oxide thickness: using the refractive index of a bulk  $\text{Au}_2\text{O}_3$  oxide the self-limiting thickness of the film is  $\sim 5 \text{ \AA}$ , in good agreement with previous XRR studies and with the presumed thickness obtained from the reduction peak charge. At low potentials, the RefleXAFS measurements do not show significant changes in the EDL region and during ORR. Instead, the 2D-SOR revealed a small, linear, intensity decrease with increasing polarization in this potential region which might be attributed to the adsorption/desorption of species and to the reconstruction of the surface, although the exact nature of these changes remains unknown. These results highlight the unique features of combined multimodal RefleXAFS and 2D-SOR, showing they can provide high surface sensitivity and reasonable time-resolution, in real *operando* conditions, independent of the sample thickness. Particularly, RefleXAFS measurements are not limited by the crystallinity of the sample and can outperform fluorescence-mode GI-XAFS measurements in terms of surface sensitivity. 2D-SOR has proven to be a powerful, relatively cheap and easy-to-use lab-scale tool giving complementary information to the RefleXAFS and CV experiments. Finally, we believe that these results can provide useful insights for electro-catalysts characterization and for fundamental studies on the behaviour of model electrodes in the field of electrocatalysis.

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